



General Assembly

January Session, 2011

Raised Bill No. 6335

LCO No. 3153

03153_____GAE

Referred to Committee on Government Administration and Elections

Introduced by:
(GAE)

AN ACT CONCERNING REVISIONS TO CAMPAIGN FINANCE LAWS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Subdivision (25) of section 9-601 of the general statutes is
2 repealed and the following is substituted in lieu thereof (*Effective from*
3 *passage and applicable to primaries and elections held on and after said date*):

4 (25) "Organization expenditure" means an expenditure by a party
5 committee, legislative caucus committee or legislative leadership
6 committee for the benefit of a candidate or candidate committee for the
7 office of Governor, Secretary of the State, State Treasurer, state senator
8 or state representative for:

9 (A) The preparation, display or mailing or other distribution of a
10 party candidate listing. As used in this subparagraph, "party candidate
11 listing" means any communication that meets the following criteria: (i)
12 The communication lists the name or names of candidates for election
13 to public office, (ii) the communication is distributed through public
14 advertising such as broadcast stations, cable television, newspapers or
15 similar media, or through direct mail, telephone, electronic mail,

16 publicly accessible sites on the Internet or personal delivery, (iii) the
17 treatment of all candidates in the communication is substantially
18 similar, and (iv) the content of the communication is limited to (I) for
19 each such candidate, identifying information, including photographs,
20 the office sought, the office currently held by the candidate, if any, the
21 party enrollment of the candidate, a brief statement concerning the
22 candidate's positions, philosophy, goals, accomplishments or
23 biography and the positions, philosophy, goals or accomplishments of
24 the candidate's party, (II) encouragement to vote for each such
25 candidate, and (III) information concerning voting, including voting
26 hours and locations;

27 (B) A document in printed or electronic form, including a party
28 platform, a copy of an issue paper, information pertaining to the
29 requirements of this title, a list of registered voters and voter
30 identification information, which document is created or maintained
31 by a party committee, legislative caucus committee or legislative
32 leadership committee for the general purposes of party or caucus
33 building and is provided (i) to a candidate who is a member of the
34 party that has established such party committee, or (ii) to a candidate
35 who is a member of the party of the caucus or leader who has
36 established such legislative caucus committee or legislative leadership
37 committee, whichever is applicable;

38 (C) A campaign event at which a candidate or candidates are
39 present;

40 (D) The retention of the services of an advisor to provide assistance
41 relating to campaign organization, financing, accounting, strategy, law
42 or media; or

43 (E) The use of offices, telephones, computers and similar equipment,
44 [which does not result in additional cost to the party committee,
45 legislative caucus committee or legislative leadership committee.]

46 Sec. 2. Subsection (b) of section 9-601a of the general statutes is

47 repealed and the following is substituted in lieu thereof (*Effective from*
48 *passage and applicable to primaries and elections held on and after said date*):

49 (b) As used in this chapter and [sections 9-700 to 9-716, inclusive]
50 chapter 157, "contribution" does not mean:

51 (1) A loan of money made in the ordinary course of business by a
52 national or state bank;

53 (2) Any communication made by a corporation, organization or
54 association to its members, owners, stockholders, executive or
55 administrative personnel, or their families;

56 (3) Nonpartisan voter registration and get-out-the-vote campaigns
57 by any corporation, organization or association aimed at its members,
58 owners, stockholders, executive or administrative personnel, or their
59 families;

60 (4) Uncompensated services provided by individuals volunteering
61 their time on behalf of a party committee, political committee, slate
62 committee or candidate committee, including any services provided
63 for the benefit of participating and nonparticipating candidates under
64 the Citizens' Election Program and any unreimbursed payment for
65 travel expenses within the state made by an individual who, on the
66 individual's own behalf, volunteers the individual's personal services
67 to any single candidate;

68 (5) The use of real or personal property, and the cost of invitations,
69 food or beverages, voluntarily provided by an individual to a
70 candidate, including a participating or nonparticipating candidate
71 under the Citizens' Election Program, or on behalf of [a state central or
72 town committee] any single party or slate committee, in rendering
73 voluntary personal services for candidate or party-related activities at
74 the individual's [residence] residential premises or a community room
75 in the individual's residence facility, to the extent that the cumulative
76 value of the invitations, food or beverages provided for any single

77 event by [the] an individual on behalf of any single candidate does not
78 exceed [two] four hundred dollars with respect to any single election,
79 and does not exceed eight hundred dollars for any single event hosted
80 by two or more individuals who reside at the same residential
81 premises or on behalf of [all state central and town committees] any
82 single party or slate committee, provided the cumulative value of the
83 invitations, food or beverages provided by an individual on behalf of
84 any single candidate with respect to any single election, and on behalf
85 of any single party or slate committee, does not exceed [four] eight
86 hundred dollars in any calendar year or single election, as the case
87 may be;

88 (6) The sale of food or beverage for use in a candidate's campaign or
89 for use by a [state central or town] party or slate committee at a
90 discount, if the charge is not less than the cost to the vendor, to the
91 extent that the cumulative value of the discount given to or on behalf
92 of any single candidate does not exceed two hundred dollars with
93 respect to any single election, and on behalf of [all state central and
94 town committees] any party or slate committee does not exceed four
95 hundred dollars in a calendar year or single election, as the case may
96 be;

97 [(7) Any unreimbursed payment for travel expenses made by an
98 individual who on the individual's own behalf volunteers the
99 individual's personal services to any single candidate to the extent the
100 cumulative value does not exceed two hundred dollars with respect to
101 any single election, and on behalf of all state central or town
102 committees does not exceed four hundred dollars in a calendar year;]

103 (7) The display of a lawn sign by a human being or on real property;

104 (8) The payment, by a party committee [, political committee or an
105 individual,] or slate committee of the costs of preparation, display,
106 mailing or other distribution incurred by the committee or individual
107 with respect to any printed slate card, sample ballot or other printed
108 list containing the names of three or more candidates;

109 (9) The donation of any item of personal property by an individual
110 to a committee for a fund-raising affair, including a tag sale or auction,
111 or the purchase by an individual of any such item at such an affair, to
112 the extent that the cumulative value donated or purchased does not
113 exceed fifty dollars;

114 (10) (A) The purchase of advertising space which clearly identifies
115 the purchaser, in a program for a fund-raising affair sponsored by the
116 candidate committee of a candidate for an office of a municipality,
117 provided the cumulative purchase of such space does not exceed two
118 hundred fifty dollars from any single such candidate or the candidate's
119 committee with respect to any single election campaign if the
120 purchaser is a business entity or fifty dollars for purchases by any
121 other person;

122 (B) The purchase of advertising space which clearly identifies the
123 purchaser, in a program for a fund-raising affair or on signs at a fund-
124 raising affair sponsored by a town committee, provided the
125 cumulative purchase of such space does not exceed two hundred fifty
126 dollars from any single town committee in any calendar year if the
127 purchaser is a business entity or fifty dollars for purchases by any
128 other person. Notwithstanding the provisions of this subparagraph,
129 the following may not purchase advertising space in a program for a
130 fund-raising affair or on signs at a fund-raising affair sponsored by a
131 town committee: (i) A communicator lobbyist, (ii) a member of the
132 immediate family of a communicator lobbyist, (iii) a state contractor,
133 (iv) a prospective state contractor, or (v) a principal of a state
134 contractor or prospective state contractor. As used in this
135 subparagraph, "state contractor", "prospective state contractor" and
136 "principal of a state contractor or prospective state contractor" have the
137 same meanings as provided in subsection (g) of section 9-612;

138 (11) The payment of money by a candidate to the candidate's
139 candidate committee;

140 (12) The donation of goods or services by a business entity to a

141 committee for a fund-raising affair, including a tag sale or auction, to
142 the extent that the cumulative value donated does not exceed one
143 hundred dollars;

144 (13) The advance of a security deposit by an individual to a
145 telephone company, as defined in section 16-1, for telecommunications
146 service for a committee, provided the security deposit is refunded to
147 the individual;

148 (14) The provision of facilities, equipment, technical and managerial
149 support, and broadcast time by a community antenna television
150 company, as defined in section 16-1, for community access
151 programming pursuant to section 16-331a, unless (A) the major
152 purpose of providing such facilities, equipment, support and time is to
153 influence the nomination or election of a candidate, or (B) such
154 facilities, equipment, support and time are provided on behalf of a
155 political party;

156 (15) The sale of food or beverage by a town committee to an
157 individual at a town fair, county fair or similar mass gathering held
158 within the state, to the extent that the cumulative payment made by
159 any one individual for such items does not exceed fifty dollars;

160 (16) An organization expenditure by a party committee, legislative
161 caucus committee or legislative leadership committee;

162 (17) The donation of food or beverage by an individual for
163 consumption at a slate, candidate, legislative caucus, legislative
164 leadership or party committee meeting, event or activity that is not a
165 fund-raising affair to the extent that the cumulative value of the food
166 or beverages donated by an individual for a single meeting or event
167 does not exceed fifty dollars; or

168 (18) The value associated with the de minimis campaign activity on
169 behalf of a party committee, political committee, slate committee
170 legislative caucus committee, legislative leadership committee, or

171 candidate committee, including for activities undertaken for the
172 benefit of participating and nonparticipating candidates under the
173 Citizens' Election Program; [of] (A) The sending of electronic mail or
174 messages from an individual's personal computer or cellular telephone
175 when compensation is not remitted to such individual for the sending
176 of such electronic mail or messages, [; or] (B) the posting or display of a
177 candidate's name or group of candidates' names at a town fair by a
178 party committee, or (C) the use of personal property or a service that is
179 customarily attendant to the occupancy of a residential dwelling, or
180 the donation of an item or items of personal property that are
181 customarily used for campaign purposes, by an individual, to a
182 candidate committee, provided the cumulative fair market value of
183 such item or items of personal property does not exceed fifty dollars in
184 the aggregate for any single election or calendar year, as the case may
185 be. [; or]

186 [(19) The display of a lawn sign by a human being or on real
187 property.]

188 Sec. 3. Subsection (a) of section 9-603 of the general statutes is
189 repealed and the following is substituted in lieu thereof (*Effective from*
190 *passage*):

191 (a) Statements filed by party committees, political committees
192 formed to aid or promote the success or defeat of a referendum
193 question proposing a constitutional convention, constitutional
194 amendment or revision of the Constitution [, individual lobbyists,] and
195 those political committees and candidate committees formed to aid or
196 promote the success or defeat of any candidate for the office of
197 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,
198 State Comptroller, Attorney General, judge of probate and members of
199 the General Assembly, shall be filed with the State Elections
200 Enforcement Commission. [A copy of each statement filed by a town
201 committee shall be filed at the same time with the town clerk of the
202 municipality in which the committee is situated.] A political committee

203 formed for a slate of candidates in a primary for the office of justice of
204 the peace shall file statements with [both the State Elections
205 Enforcement Commission and] the town clerk of the municipality in
206 which the primary is to be held.

207 Sec. 4. Subsection (b) of section 9-606 of the general statutes is
208 repealed and the following is substituted in lieu thereof (*Effective from*
209 *passage and applicable to primaries and elections held on and after said date*):

210 (b) A contribution in the form of a check drawn on a joint bank
211 account shall, for the purpose of allocation, be deemed to be a
212 contribution made by the individual who signed the check. If a check
213 is signed by more than one individual, the total amount of the check
214 shall be divided equally among the cosigners for the purpose of
215 allocation, except such contribution shall be allocated in accordance
216 with the provisions of a written statement, if any, from the holders of
217 such joint bank account that indicates how such contribution should be
218 differently allocated. If a committee receives an anonymous
219 contribution, [of more than fifteen dollars] the campaign treasurer
220 shall immediately remit the contribution to the [State Treasurer] State
221 Elections Enforcement Commission for deposit in the General Fund.
222 [The State Treasurer shall deposit the contribution in the General
223 Fund.]

224 Sec. 5. Section 9-607 of the general statutes is amended by adding
225 subsection (n) as follows (*Effective from passage and applicable to primaries*
226 *and elections held on and after said date*):

227 (NEW) (n) Notwithstanding the provisions of sections 9-601, as
228 amended by this act, 9-601a and 9-718, any paid committee worker
229 may volunteer his or her services to a candidate committee, including
230 a candidate committee of a participating or nonparticipating candidate
231 under the Citizens' Election Program, provided such committee
232 worker executes an affidavit indicating the number of unpaid,
233 volunteer hours that such committee worker provides to such
234 candidate committee. Nothing in this section shall be construed to

235 permit a business to make a contribution. Such committee worker shall
236 give such affidavit to the campaign treasurer who shall concomitantly
237 file such affidavit with the State Elections Enforcement Commission
238 when such treasurer files any requisite report under section 9-608, as
239 amended by this act.

240 Sec. 6. Subsection (a) of section 9-608 of the general statutes is
241 repealed and the following is substituted in lieu thereof (*Effective from*
242 *passage and applicable to primaries and elections held on and after said date*):

243 (a) (1) Each campaign treasurer of a committee [, other than a state
244 central committee,] shall file a statement, sworn under penalty of false
245 statement with the proper authority in accordance with the provisions
246 of section 9-603, as amended by this act, (A) on the tenth calendar day
247 in the months of January, April, July and October, provided, if such
248 tenth calendar day is a Saturday, Sunday or legal holiday, the
249 statement shall be filed on the next business day, (B) on the seventh
250 day preceding each regular state election, except that (i) in the case of a
251 candidate or exploratory committee established for an office to be
252 elected at a municipal election, the statement shall be filed on the
253 seventh day preceding a regular municipal election in lieu of such
254 date, [and] (ii) in the case of a town committee, the statement shall be
255 filed on the seventh day preceding each municipal election in addition
256 to such date, and (iii) in the case of a candidate committee in a state
257 election that is required to file any supplemental campaign finance
258 statement pursuant to subdivisions (1) and (2) of subsection (a) of
259 section 9-712 such supplemental campaign finance statement shall
260 satisfy the filing requirements of this subparagraph, and (C) if the
261 committee has made or received a contribution or expenditure in
262 connection with any other election, a primary or a referendum, on the
263 seventh day preceding the election, primary or referendum, provided
264 in the case of a candidate committee in a primary that is required to
265 file any supplemental campaign finance statement pursuant to
266 subdivisions (1) and (2) of subsection (a) of section 9-712, as amended
267 by this act, such supplemental campaign finance statement shall satisfy

268 the filing requirements of this subparagraph. The [statement]
269 statements required pursuant to this subdivision shall be complete as
270 of midnight of the last day of the month preceding the month in which
271 the statement is required to be filed, except that for the statement
272 required to be filed on the seventh day preceding the election, primary
273 or referendum, the statement shall be complete as of [seven days]
274 midnight of the second day immediately preceding the required filing
275 day. The statement shall cover a period to begin with the first day not
276 included in the last filed statement. In the case of a candidate
277 committee, the statement required to be filed in January shall be in lieu
278 of the statement formerly required to be filed within forty-five days
279 following an election.

280 (2) Each campaign treasurer of a candidate committee, within thirty
281 days following any primary, and each campaign treasurer of a political
282 committee formed for a single primary, election or referendum, within
283 forty-five days after any election or referendum not held in November,
284 shall file statements in the same manner as is required of them under
285 subdivision (1) of this subsection. If the campaign treasurer of a
286 candidate committee established by a candidate, who is unsuccessful
287 in the primary or has terminated his candidacy prior to the primary,
288 distributes all surplus funds within thirty days following the
289 scheduled primary and discloses the distribution on the postprimary
290 statement, such campaign treasurer shall not be required to file any
291 subsequent statement unless the committee has a deficit, in which case
292 he shall file any required statements in accordance with the provisions
293 of subdivision (3) of subsection (e) of this section.

294 [(3) In the case of state central committees, (A) on the tenth calendar
295 day in the months of January, April and July, provided, if such tenth
296 calendar day is a Saturday, Sunday or legal holiday, on the next
297 business day, and (B) on the twelfth day preceding any election, the
298 campaign treasurer of each such committee shall file with the proper
299 authority, a statement, sworn under penalty of false statement,
300 complete as of the last day of the month immediately preceding the

301 month in which such statement is to be filed in the case of statements
302 required to be filed in January, April and July, and complete as of the
303 nineteenth day preceding an election, in the case of the statement
304 required to be filed on the twelfth day preceding an election, and in
305 each case covering a period to begin with the first day not included in
306 the last filed statement.]

307 Sec. 7. Subsections (c) to (e), inclusive, of section 9-608 of the general
308 statutes are repealed and the following is substituted in lieu thereof
309 (*Effective from passage and applicable to primaries and elections held on and*
310 *after said date*):

311 (c) (1) Each statement filed under subsection (a), (e) or (f) of this
312 section shall include, but not be limited to: (A) An itemized accounting
313 of each contribution, if any, including the full name and complete
314 address of each contributor and the amount of the contribution; (B) [in
315 the case of anonymous contributions, the total amount received and
316 the denomination of the bills; (C)] an itemized accounting of each
317 expenditure, if any, including the full name and complete address of
318 each payee, including secondary payees whenever the primary or
319 principal payee is known to include charges which the primary payee
320 has already paid or will pay directly to another person, vendor or
321 entity, the amount and the purpose of the expenditure, the candidate
322 supported or opposed by the expenditure, whether the expenditure is
323 made independently of the candidate supported or is an in-kind
324 contribution to the candidate, and a statement of the balance on hand
325 or deficit, as the case may be; [(D)] (C) an itemized accounting of each
326 expense incurred but not paid, provided if the expense is incurred by
327 use of a credit card, the accounting shall include secondary payees,
328 and the amount owed to each such payee; [(E)] (D) the name and
329 address of any person who is the guarantor of a loan to, or the cosigner
330 of a note with, the candidate on whose behalf the committee was
331 formed, or the campaign treasurer in the case of a party committee or a
332 political committee or who has advanced a security deposit to a
333 telephone company, as defined in section 16-1, for telecommunications

334 service for a committee; [(F)] (E) for each business entity or person
335 purchasing advertising space in a program for a fund-raising affair or
336 on signs at a fund-raising affair, the name and address of the business
337 entity or the name and address of the person, and the amount and
338 aggregate amounts of such purchases; [(G)] (F) for each individual
339 who contributes in excess of one hundred dollars but not more than
340 one thousand dollars, in the aggregate, to the extent known, the
341 principal occupation of such individual and the name of the
342 individual's employer, if any; [(H)] (G) for each individual who
343 contributes in excess of one thousand dollars in the aggregate, the
344 principal occupation of such individual, the name of the individual's
345 employer, if any; [(I)] (H) for each itemized contribution made by a
346 lobbyist, the spouse of a lobbyist or any dependent child of a lobbyist
347 who resides in the lobbyist's household, a statement to that effect; and
348 [(J)] (I) for each individual who contributes in excess of four hundred
349 dollars in the aggregate to or for the benefit of any candidate's
350 campaign for nomination at a primary or election to the office of chief
351 executive officer or a slate or town committee financing the
352 nomination or election or a candidate for chief executive officer of a
353 town, city or borough, a statement indicating whether the individual
354 or a business with which he is associated has a contract with said
355 municipality that is valued at more than five thousand dollars. Each
356 campaign treasurer shall include in such statement (i) an itemized
357 accounting of the receipts and expenditures relative to any testimonial
358 affair held under the provisions of section 9-609 or any other fund-
359 raising affair, which is referred to in subsection (b) of section 9-601a
360 and (ii) the date, location and a description of the affair, except that a
361 campaign treasurer shall not be required to include the name of any
362 individual who has purchased items at a fund-raising affair, if the
363 cumulative value of items purchased by such individual does not
364 exceed fifty dollars, or the name of any individual who has donated
365 food or beverages for a meeting. Any campaign treasurer shall not be
366 required to report any receipts or expenditures related to any de
367 minimis donations described in subdivision (17) of subsection (b) of

368 section 9-601a.

369 (2) Each contributor described in subparagraph [(G), (H), (I) or (J)]
370 (F), (G), (H) or (I) of subdivision (1) of this subsection shall, at the time
371 the contributor makes such a contribution, provide the information
372 which the campaign treasurer is required to include under said
373 subparagraph in the statement filed under subsection (a), (e) or (f) of
374 this section. Notwithstanding any provision of subdivision (2) of
375 section 9-7b, any contributor described in subparagraph [(G)] (F) of
376 subdivision (1) of this subsection who does not provide such
377 information at the time the contributor makes such a contribution and
378 any treasurer shall not be subject to the provisions of subdivision (2) of
379 section 9-7b. If a campaign treasurer receives a contribution from an
380 individual which separately, or in the aggregate, is in excess of one
381 thousand dollars and the contributor has not provided the information
382 required by said subparagraph [(H)] (G) or if a campaign treasurer
383 receives a contribution from an individual to or for the benefit of any
384 candidate's campaign for nomination at a primary or election to the
385 office of chief executive officer of a town, city or borough, which
386 separately, or in the aggregate, is in excess of four hundred dollars and
387 the contributor has not provided the information required by said
388 subparagraph [(J)] (I), the campaign treasurer: (i) Within three business
389 days after receiving the contribution, shall send a request for such
390 information to the contributor by certified mail, return receipt
391 requested; (ii) shall not deposit the contribution until the campaign
392 treasurer obtains such information from the contributor,
393 notwithstanding the provisions of section 9-606, as amended by this
394 act; and (iii) shall return the contribution to the contributor if the
395 contributor does not provide the required information within fourteen
396 days after the treasurer's written request or the end of the reporting
397 period in which the contribution was received, whichever is later. Any
398 failure of a contributor to provide the information which the campaign
399 treasurer is required to include under said subparagraph [(G) or (I)] (F)
400 or (H), which results in noncompliance by the campaign treasurer with
401 the provisions of said subparagraph [(G) or (I)] (F) or (H), shall be a

402 complete defense to any action against the campaign treasurer for
403 failure to disclose such information.

404 (3) In addition to the requirements of subdivision (2) of this
405 subsection, each contributor who makes a contribution to a candidate
406 or exploratory committee for Governor, Lieutenant Governor,
407 Attorney General, State Comptroller, Secretary of the State, State
408 Treasurer, state senator or state representative, any political committee
409 established or controlled by such candidates or authorized to make
410 contributions to such candidates or committees, and any party
411 committee that separately, or in the aggregate, exceeds fifty dollars
412 shall provide with the contribution: [a certification that the contributor
413 is not a principal of a state contractor or prospective state contractor, as
414 defined in subsection (g) of section 9-612, nor a communicator lobbyist
415 or a member of the immediate family of a communicator lobbyist and
416 shall provide the name of the employer of the contributor] (A) The
417 name of the contributor's employer, (B) whether the contributor is a
418 "communicator lobbyist", as defined in section 1-91, or an immediate
419 family member of a communicator lobbyist, (C) whether the
420 contributor is a "state contractor" or "principal of a state contractor or
421 prospective state contractor", as such terms are defined in section 9-
422 612, and (D) a certification that the contributor is not prohibited from
423 making a contribution to such candidate or committee pursuant to
424 subsection (g) of section 9-610 and subsection (g) of section 9-612. The
425 State Elections Enforcement Commission shall prepare a sample form
426 for such certification by the contributor and shall make it available to
427 campaign treasurers and contributors. Such sample form shall include
428 an explanation of the contribution prohibitions and exceptions
429 contained in subsections (g) and (i) of section 9-610 and subsection (g)
430 of section 9-612 in addition to an explanation of the terms
431 "communicator lobbyist", [and] "principal of a state contractor or
432 principal of a prospective state contractor", "immediate family member
433 of a communicator lobbyist", "state contractor" and "prospective state
434 contractor". The information on such sample form shall be included in
435 any written solicitation conducted by any such committee. If a

436 campaign treasurer receives such a contribution and the contributor
437 has not provided such certification, the campaign treasurer shall: [(A)]
438 (i) Not later than three business days after receiving the contribution,
439 send a request for the certification to the contributor by certified mail,
440 return receipt requested; [(B)] (ii) not deposit the contribution until the
441 campaign treasurer obtains the certification from the contributor,
442 notwithstanding the provisions of section 9-606, as amended by this
443 act; and [(C)] (iii) return the contribution to the contributor if the
444 contributor does not provide the certification not later than fourteen
445 days after the treasurer's written request or at the end of the reporting
446 period in which the contribution was received, whichever is later. No
447 treasurer shall be required to obtain and keep more than one
448 certification from each contributor, unless information certified to by
449 the contributor, other than the amount contributed, changes. If a
450 campaign treasurer deposits a contribution based on a certification that
451 is later determined to be false, the treasurer shall [not be in violation of
452 this subdivision] have a complete defense to any action against such
453 campaign treasurer for the receipt of such contribution. Such defense
454 shall not be available to a campaign treasurer who knew or had reason
455 to know that the certification was false prior to depositing such
456 contribution.

457 (4) Contributions from a single individual to a campaign treasurer
458 in the aggregate totaling fifty dollars or less need not be individually
459 identified in the statement, but a sum representing the total amount of
460 all such contributions made by all such individuals during the period
461 to be covered by such statement shall be a separate entry, identified
462 only by the words "total contributions from small contributors".

463 (5) Each statement filed by the campaign treasurer of a party
464 committee, a legislative caucus committee or a legislative leadership
465 committee shall include an itemized accounting of each organization
466 expenditure made by the committee. Concomitant with the filing of
467 any such statement containing an accounting of an organization
468 expenditure made by the committee for the benefit of [a participating]

469 any candidate for the office of state senator, [or] state representative,
470 Governor, Lieutenant Governor, Attorney General, Secretary of the
471 State, State Comptroller or State Treasurer such campaign treasurer
472 shall provide notice of [the amount and purpose of] the organization
473 expenditure to the candidate committee of such candidate.

474 (6) In addition to the other applicable requirements of this section,
475 the campaign treasurer of a candidate committee of [a participating]
476 any candidate for the office of state senator, [or] state representative,
477 Governor, Lieutenant Governor, Attorney General, Secretary of the
478 State, State Comptroller or State Treasurer who has received the
479 benefit of any organization expenditure shall, not later than the time of
480 dissolving such committee, file a statement with the State Elections
481 Enforcement Commission that lists, if known to such candidate
482 committee, the committee which made such organization expenditure
483 for such candidate's behalf. [and the amount and purpose of such
484 organization expenditure.]

485 (7) Statements filed in accordance with this section shall remain
486 public records of the state for five years from the date such statements
487 are filed.

488 (d) At the time of filing statements required under this section, the
489 campaign treasurer of each candidate committee shall send to the
490 candidate a duplicate statement and the campaign treasurer of each
491 party committee and each political committee other than an
492 exploratory committee shall send to the chairman of the committee a
493 duplicate statement. Each statement required to be filed with the
494 commission under this section, [and subsection (g) of section 9-610,]
495 subsection (e) of section 9-612, section 9-706 or section 9-712 shall be
496 deemed to be filed in a timely manner if: (1) For a statement filed as a
497 hard copy, including, but not limited to, a statement delivered by the
498 United States Postal Service, courier service, parcel service or hand
499 delivery, the statement is received by the commission by five o'clock
500 p.m. on the day the statement is required to be filed, (2) for a statement

501 authorized by the commission to be filed electronically, including, but
502 not limited to, a statement filed via electronic mail, facsimile machine,
503 a web-based program created by the commission or other electronic
504 means, the statement is transmitted to the commission by midnight on
505 the day the statement is required to be filed, or (3) for a statement
506 required to be filed pursuant to subsection (e) of section 9-612, section
507 9-706 or section 9-712 by the deadline specified in each such section.
508 Any other filing required pursuant to this section shall be deemed to
509 be filed in a timely manner if it is delivered by hand to the office of the
510 proper authority before four-thirty o'clock p.m. or postmarked by the
511 United States Postal Service before midnight on the required filing
512 day. If the day for any such filing falls on a Saturday, Sunday or legal
513 holiday, the statement shall be filed on the next business day
514 thereafter.

515 (e) (1) Notwithstanding any provisions of this chapter, in the event
516 of a surplus the campaign treasurer of a candidate committee or of a
517 political committee, other than a political committee formed for
518 ongoing political activities or an exploratory committee, shall
519 distribute or expend such surplus not later than [ninety] one hundred
520 twenty days after a primary which results in the defeat of the
521 candidate, an election or referendum not held in November or by
522 [January] March thirty-first following an election or referendum held
523 in November, in the following manner:

524 (A) Such committees may distribute their surplus to a party
525 committee, or a political committee organized for ongoing political
526 activities, return such surplus to all contributors to the committee on a
527 prorated basis of contribution, distribute all or any part of such surplus
528 to the Citizens' Election Fund established in section 9-701 or distribute
529 such surplus to any charitable organization which is a tax-exempt
530 organization under Section 501(c)(3) of the Internal Revenue Code of
531 1986, or any subsequent corresponding internal revenue code of the
532 United States, as from time to time amended, provided (i) no candidate
533 committee may distribute such surplus to a committee which has been

534 established to finance future political campaigns of the candidate, and
535 (ii) a candidate committee which received moneys from the Citizens'
536 Election Fund shall distribute such surplus to such fund; [, and (iii) a
537 candidate committee for a nonparticipating candidate, as described in
538 subsection (b) of section 9-703, may only distribute any such surplus to
539 the Citizens' Election Fund or to a charitable organization;]

540 (B) Each such political committee established by an organization
541 which received its funds from the organization's treasury shall return
542 its surplus to its sponsoring organization;

543 (C) (i) Each political committee formed solely to aid or promote the
544 success or defeat of any referendum question, which does not receive
545 contributions from a business entity or an organization, shall distribute
546 its surplus to a party committee, to a political committee organized for
547 ongoing political activities, to a national committee of a political party,
548 to all contributors to the committee on a prorated basis of contribution,
549 to state or municipal governments or agencies or to any organization
550 which is a tax-exempt organization under Section 501(c)(3) of the
551 Internal Revenue Code of 1986, or any subsequent corresponding
552 internal revenue code of the United States, as from time to time
553 amended. (ii) Each political committee formed solely to aid or promote
554 the success or defeat of any referendum question, which receives
555 contributions from a business entity or an organization, shall distribute
556 its surplus to all contributors to the committee on a prorated basis of
557 contribution, to state or municipal governments or agencies, or to any
558 organization which is tax-exempt under said provisions of the Internal
559 Revenue Code. Notwithstanding the provisions of this subsection, a
560 committee formed for a single referendum shall not be required to
561 expend its surplus not later than ninety days after the referendum and
562 may continue in existence if a substantially similar referendum
563 question on the same issue will be submitted to the electorate within
564 six months after the first referendum. If two or more substantially
565 similar referenda on the same issue are submitted to the electorate,
566 each no more than six months apart, the committee shall expend such

567 surplus within ninety days following the date of the last such
568 referendum;

569 (D) The campaign treasurer of the candidate committee of a
570 candidate who is elected to office may, upon the authorization of such
571 candidate, expend surplus campaign funds to pay for the cost of
572 clerical, secretarial or other office expenses necessarily incurred by
573 such candidate in preparation for taking office; except such surplus
574 shall not be distributed for the personal benefit of any individual or to
575 any organization; [and]

576 (E) The campaign treasurer of a candidate committee, or of a
577 political committee, other than a political committee formed for
578 ongoing political activities or an exploratory committee, shall, prior to
579 the dissolution of such committee, either (i) distribute any equipment
580 purchased, including, but not limited to, computer equipment, to any
581 recipient as set forth in subparagraph (A) of this subdivision, or (ii) sell
582 any equipment purchased, including but not limited to computer
583 equipment, to any person for fair market value and then distribute the
584 proceeds of such sale to any recipient as set forth in said subparagraph
585 (A);

586 (F) The campaign treasurer of a qualified candidate committee may,
587 following an election or unsuccessful primary, provide a post-primary
588 thank you meal or a post-election thank you meal for committee
589 workers, provided such meal (i) occurs not later than fourteen days
590 after the applicable election or primary day, and (ii) the cost for such
591 meal does not exceed the limits established by the commission
592 pursuant to regulation;

593 (G) The campaign treasurer of a qualified candidate committee may,
594 following an election or unsuccessful primary, make payment to a
595 campaign treasurer for services rendered to the candidate committee,
596 provided such payment does not exceed one thousand dollars; and

597 (H) The campaign treasurer of a qualified candidate committee

598 may, following an election or unsuccessful primary, utilize grant funds
599 received by such candidate committee from the Citizens' Election Fund
600 for the purpose of complying with any audit conducted by the State
601 Elections Enforcement Commission pursuant to subdivision (5) of
602 subsection (a) of section 9-7b.

603 (2) Notwithstanding any provisions of this chapter, the campaign
604 treasurer of the candidate committee of a candidate who has
605 withdrawn from a primary or election may, prior to the primary or
606 election, distribute its surplus to any organization which is tax-exempt
607 under Section 501(c)(3) of the Internal Revenue Code of 1986, or any
608 subsequent corresponding internal revenue code of the United States,
609 as from time to time amended, or return such surplus to all
610 contributors to the committee on a prorated basis of contribution.

611 (3) Not later than seven days after such distribution or not later than
612 seven days after all funds have been expended in accordance with
613 subparagraph (D) of subdivision (1) of this subsection, the campaign
614 treasurer shall file a supplemental statement, sworn under penalty of
615 false statement, with the proper authority, identifying all further
616 contributions received since the previous statement and explaining
617 how any surplus has been distributed or expended in accordance with
618 this section. No surplus may be distributed or expended until after the
619 election, primary or referendum.

620 (4) In the event of a deficit, the campaign treasurer shall file a
621 supplemental statement ninety days after an election, primary or
622 referendum not held in November or on the seventh calendar day in
623 February, or the next business day if such day is a Saturday, Sunday or
624 legal holiday, after an election or referendum held in November, with
625 the proper authority and, thereafter, on the seventh day of each month
626 following if on the last day of the previous month there was an
627 increase or decrease in the deficit in excess of five hundred dollars
628 from that reported on the last statement filed. The campaign treasurer
629 shall file such supplemental statements as required until the deficit is

630 eliminated. If any such committee does not have a surplus or a deficit,
 631 the statement required to be filed not later than forty-five days
 632 following any election or referendum not held in November or on the
 633 seventh calendar day in January, or the next business day if such day is
 634 a Saturday, Sunday or legal holiday, following an election or
 635 referendum held in November, or not later than thirty days following
 636 any primary shall be the last required statement.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>from passage and applicable to primaries and elections held on and after said date</i>	9-601(25)
Sec. 2	<i>from passage and applicable to primaries and elections held on and after said date</i>	9-601a(b)
Sec. 3	<i>from passage</i>	9-603(a)
Sec. 4	<i>from passage and applicable to primaries and elections held on and after said date</i>	9-606(b)
Sec. 5	<i>from passage and applicable to primaries and elections held on and after said date</i>	9-607
Sec. 6	<i>from passage and applicable to primaries and elections held on and after said date</i>	9-608(a)
Sec. 7	<i>from passage and applicable to primaries and elections held on and after said date</i>	9-608(c) to (e)

Statement of Purpose:

To amend various campaign finance statutes.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]