



General Assembly

January Session, 2011

Raised Bill No. 6272

LCO No. 2821

02821_____GAE

Referred to Committee on Government Administration and Elections

Introduced by:
(GAE)

AN ACT CONCERNING REVISIONS TO THE STATE CODES OF ETHICS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Section 1-79 of the general statutes is repealed and the
2 following is substituted in lieu thereof (*Effective October 1, 2011*):

3 The following terms, when used in this part, shall have the
4 following meanings unless the context otherwise requires:

5 [(a)] (1) "Blind trust" means a trust established by a public official or
6 state employee or member of his immediate family for the purpose of
7 divestiture of all control and knowledge of assets.

8 [(b)] (2) "Business with which he is associated" means any sole
9 proprietorship, partnership, firm, corporation, trust or other entity
10 through which business for profit or not for profit is conducted in
11 which the public official or state employee or member of his
12 immediate family is a director, officer, owner, limited or general
13 partner, beneficiary of a trust or holder of stock constituting five per
14 cent or more of the total outstanding stock of any class, provided, a

15 public official or state employee, or member of his immediate family,
16 shall not be deemed to be associated with a not for profit entity solely
17 by virtue of the fact that the public official or state employee or
18 member of his immediate family is an unpaid director or officer of the
19 not for profit entity. "Officer" refers only to the president, executive or
20 senior vice president or treasurer of such business.

21 [(c)] (3) "Candidate for public office" means any individual who has
22 filed a declaration of candidacy or a petition to appear on the ballot for
23 election as a public official, or who has raised or expended money in
24 furtherance of such candidacy, or who has been nominated for
25 appointment to serve as a public official, but shall not include a
26 candidate for the office of senator or representative in Congress.

27 [(d)] (4) "Board" means the Citizen's Ethics Advisory Board
28 established in section 1-80, as amended by this act.

29 [(e)] (5) "Gift" means anything of value, which is directly and
30 personally received, unless consideration of equal or greater value is
31 given in return. "Gift" [shall] does not include:

32 [(1)] (A) A political contribution otherwise reported as required by
33 law or a donation or payment as described in subdivision (9) or (10) of
34 subsection (b) of section 9-601a;

35 [(2)] (B) Services provided by persons volunteering their time, if
36 provided to aid or promote the success or defeat of any political party,
37 any candidate or candidates for public office or the position of
38 convention delegate or town committee member or any referendum
39 question;

40 [(3)] (C) A commercially reasonable loan made on terms not more
41 favorable than loans made in the ordinary course of business;

42 [(4)] (D) A gift received from [(A)] (i) an individual's spouse, fiance
43 or fiancée, [(B)] (ii) the parent, brother or sister of such spouse or such
44 individual, or [(C)] (iii) the child of such individual or the spouse of

45 such child;

46 [(5)] (E) Goods or services [(A) which] (i) that are provided to a state
47 agency or quasi-public agency [(i)] (I) for use on state or quasi-public
48 agency property, or [(ii)] (II) that support an event, and [(B) which] (ii)
49 that facilitate state or quasi-public agency action or functions. As used
50 in this [subdivision] subparagraph, "state property" means [(i)]
51 property owned by the state or a quasi-public agency, or [(ii)] property
52 leased to a state agency or quasi-public agency;

53 [(6)] (F) A certificate, plaque or other ceremonial award costing less
54 than one hundred dollars;

55 [(7)] (G) A rebate, discount or promotional item available to the
56 general public;

57 [(8)] (H) Printed or recorded informational material germane to
58 state action or functions;

59 [(9)] (I) Food or beverage or both, costing less than fifty dollars in
60 the aggregate per recipient in a calendar year, and consumed on an
61 occasion or occasions at which the person paying, directly or
62 indirectly, for the food or beverage, or his representative, is in
63 attendance;

64 [(10)] (J) Food or beverage or both, costing less than fifty dollars per
65 person and consumed at a publicly noticed legislative reception to
66 which all members of the General Assembly are invited and which is
67 hosted not more than once in any calendar year by a lobbyist or
68 business organization. For the purposes of such limit, [(A)] (i) a
69 reception hosted by a lobbyist who is an individual shall be deemed to
70 have also been hosted by the business organization which he owns or
71 is employed by, and [(B)] (ii) a reception hosted by a business
72 organization shall be deemed to have also been hosted by all owners
73 and employees of the business organization who are lobbyists. In
74 making the calculation for the purposes of such fifty-dollar limit, the

75 donor shall divide the amount spent on food and beverage by the
76 number of persons whom the donor reasonably expects to attend the
77 reception;

78 [(11)] (K) Food or beverage or both, costing less than fifty dollars per
79 person and consumed at a publicly noticed reception to which all
80 members of the General Assembly from a region of the state are
81 invited and which is hosted not more than once in any calendar year
82 by a lobbyist or business organization. For the purposes of such limit,
83 [(A)] (i) a reception hosted by a lobbyist who is an individual shall be
84 deemed to have also been hosted by the business organization which
85 he owns or is employed by, and [(B)] (ii) a reception hosted by a
86 business organization shall be deemed to have also been hosted by all
87 owners and employees of the business organization who are lobbyists.
88 In making the calculation for the purposes of such fifty-dollar limit, the
89 donor shall divide the amount spent on food and beverage by the
90 number of persons whom the donor reasonably expects to attend the
91 reception. As used in this subdivision, "region of the state" means the
92 established geographic service area of the organization hosting the
93 reception;

94 [(12)] (L) A gift, including, but not limited to, food or beverage or
95 both, provided by an individual for the celebration of a major life
96 event, provided any such gift provided by an individual who is not a
97 member of the family of the recipient shall not exceed one thousand
98 dollars in value;

99 [(13)] (M) Gifts costing less than one hundred dollars in the
100 aggregate or food or beverage provided at a hospitality suite at a
101 meeting or conference of an interstate legislative association, by a
102 person who is not a registrant or is not doing business with the state of
103 Connecticut;

104 [(14)] (N) Admission to a charitable or civic event, including food
105 and beverage provided at such event, but excluding lodging or travel
106 expenses, at which a public official or state employee participates in

107 his or her official capacity, or at which a candidate for public office
108 participates in his or her capacity as a candidate, provided such
109 admission is provided by the primary sponsoring entity;

110 [(15)] (O) Anything of value provided by an employer of [(A)] (i) a
111 public official, [(B)] (ii) a state employee, [or (C)] (iii) a candidate for
112 public office, or (iv) a spouse of a public official or state employee, to
113 such official, employee, candidate or spouse, provided such benefits
114 are customarily and ordinarily provided to others in similar
115 circumstances;

116 [(16)] (P) Anything having a value of not more than ten dollars,
117 provided the aggregate value of all things provided by a donor to a
118 recipient under this subdivision in any calendar year shall not exceed
119 fifty dollars;

120 [(17)] (Q) Training that is provided by a vendor for a product
121 purchased by a state or quasi-public agency which is offered to all
122 customers of such vendor; or

123 [(18)] (R) Travel expenses, lodging, food, beverage and other
124 benefits customarily provided by a prospective employer, when
125 provided to a student at a public institution of higher education whose
126 employment is derived from such student's status as a student at such
127 institution, in connection with bona fide employment discussions.

128 [(f)] (6) "Immediate family" means any spouse, children or
129 dependent relatives who reside in the individual's household.

130 [(g)] (7) "Individual" means a natural person.

131 [(h)] (8) "Member of an advisory board" means any individual [(1)]
132 (A) appointed by a public official as an advisor or consultant or
133 member of a committee, commission or council established to advise,
134 recommend or consult with a public official or branch of government
135 or committee thereof, [(2)] (B) who receives no public funds other than
136 per diem payments or reimbursement for his actual and necessary

137 expenses incurred in the performance of his official duties, and [(3)]
138 (C) who has no authority to expend any public funds or to exercise the
139 power of the state.

140 [(i)] (9) "Person" means an individual, sole proprietorship, trust,
141 corporation, limited liability company, union, association, firm,
142 partnership, committee, club or other organization or group of
143 persons.

144 [(j)] (10) "Political contribution" has the same meaning as in section
145 9-601a except that for purposes of this part, the provisions of
146 subsection (b) of [that] said section shall not apply.

147 [(k)] (11) "Public official" means any state-wide elected officer, any
148 member or member-elect of the General Assembly, any person
149 appointed to any office of the legislative, judicial or executive branch
150 of state government by the Governor or an appointee of the Governor,
151 with or without the advice and consent of the General Assembly, any
152 public member or representative of the teachers' unions or state
153 employees' unions appointed to the Investment Advisory Council
154 pursuant to subsection (a) of section 3-13b, any person appointed or
155 elected by the General Assembly or by any member of either house
156 thereof, any member or director of a quasi-public agency and the
157 spouse of the Governor, but shall not include a member of an advisory
158 board, a judge of any court either elected or appointed or a senator or
159 representative in Congress.

160 [(l)] (12) "Quasi-public agency" means the Connecticut Development
161 Authority, Connecticut Innovations, Incorporated, Connecticut Health
162 and Education Facilities Authority, Connecticut Higher Education
163 Supplemental Loan Authority, Connecticut Housing Finance
164 Authority, [Connecticut] State Housing Authority, Connecticut
165 Resources Recovery Authority, Lower Fairfield County Convention
166 Center Authority, Capital City Economic Development Authority,
167 Connecticut Lottery Corporation, Bradley Board of Directors,
168 Connecticut Transportation Strategy Board, The University of

169 Connecticut Health Center Finance Corporation, Tweed-New Haven
170 Airport Authority and Health Information Technology Exchange of
171 Connecticut.

172 [(m)] (13) "State employee" means any employee in the executive,
173 legislative or judicial branch of state government, whether in the
174 classified or unclassified service and whether full or part-time, and any
175 employee of a quasi-public agency, but shall not include a judge of any
176 court, either elected or appointed.

177 [(n)] (14) "Trust" means a trust in which any public official or state
178 employee or member of his immediate family has a present or future
179 interest which exceeds ten per cent of the value of the trust or exceeds
180 fifty thousand dollars, whichever is less, but shall not include blind
181 trusts.

182 [(o)] (15) "Business organization" means a sole proprietorship,
183 corporation, limited liability company, association, firm or partnership,
184 other than a client lobbyist, which is owned by, or employs, one or
185 more individual lobbyists.

186 [(p)] (16) "Client lobbyist" means a [person] lobbyist on behalf of
187 whom lobbying takes place and who makes expenditures for lobbying
188 and in furtherance of lobbying.

189 [(q)] (17) "Necessary expenses" means a public official's or state
190 employee's expenses for an article, appearance or speech or for
191 participation at an event, in his official capacity, which shall be limited
192 to necessary travel expenses, lodging for the nights before, of and after
193 the appearance, speech or event, meals and any related conference or
194 seminar registration fees.

195 [(r)] (18) "Lobbyist" and "registrant" shall be construed as defined in
196 section 1-91, as amended by this act.

197 [(s)] (19) "Legal defense fund" means a fund established for the
198 payment of legal expenses of a public official or state employee

199 incurred as a result of defending himself or herself in an
200 administrative, civil, criminal or constitutional proceeding concerning
201 matters related to the official's or employee's service or employment
202 with the state or a quasi-public agency.

203 [(t)] (20) "State agency" means any office, department, board,
204 council, commission, institution, constituent unit of the state system of
205 higher education, vocational-technical school or other agency in the
206 executive, legislative or judicial branch of state government.

207 Sec. 2. Section 1-80 of the general statutes is repealed and the
208 following is substituted in lieu thereof (*Effective October 1, 2011*):

209 (a) There shall be an Office of State Ethics that shall be an
210 independent state agency and shall constitute a successor agency to the
211 State Ethics Commission, in accordance with the provisions of sections
212 4-38d and 4-39. Said office shall consist of an executive director,
213 general counsel, ethics enforcement officer and such other staff as
214 hired by the executive director. Within the Office of State Ethics, there
215 shall be the Citizen's Ethics Advisory Board that shall consist of nine
216 members, appointed as follows: One member shall be appointed by the
217 speaker of the House of Representatives, one member by the president
218 pro tempore of the Senate, one member by the majority leader of the
219 Senate, one member by the minority leader of the Senate, one member
220 by the majority leader of the House of Representatives, one member by
221 the minority leader of the House of Representatives, and three
222 members by the Governor. Members of the board shall serve for four-
223 year terms which shall commence on October 1, 2005, except that
224 members first appointed shall have the following terms: The Governor
225 shall appoint two members for a term of three years and one member
226 for a term of four years; the majority leader of the House of
227 Representatives, minority leader of the House of Representatives and
228 the speaker of the House of Representatives shall each appoint one
229 member for a term of two years; the president pro tempore of the
230 Senate, the majority leader of the Senate and the minority leader of the

231 Senate shall each appoint one member for a term of four years. No
232 individual shall be appointed to more than one four-year term as a
233 member of the board, provided, members may not continue in office
234 once their term has expired and members first appointed may not be
235 reappointed. No more than five members shall be members of the
236 same political party. The members appointed by the majority leader of
237 the Senate and the majority leader of the House of Representatives
238 shall be selected from a list of nominees proposed by a citizen group
239 having an interest in ethical government. The majority leader of the
240 Senate and the majority leader of the House of Representatives shall
241 each determine the citizen group from which each will accept such
242 nominations. One member appointed by the Governor shall be
243 selected from a list of nominees proposed by a citizen group having an
244 interest in ethical government. The Governor shall determine the
245 citizen group from which the Governor will accept such nominations.

246 (b) All members shall be electors of the state. No member shall be a
247 state employee. No member or employee of [such] said board shall (1)
248 hold or campaign for any public office; (2) have held public office or
249 have been a candidate for public office for a three-year period prior to
250 appointment; (3) hold office in any political party or political
251 committee or be a member of any organization or association
252 organized primarily for the purpose of influencing legislation or
253 decisions of public agencies; or (4) be an individual who is a registrant
254 as defined in [subsection (q) of] section 1-91, as amended by this act.

255 (c) Any vacancy on the board shall be filled by the appointing
256 authority having the power to make the original appointment. An
257 individual selected by the appointing authority to fill a vacancy shall
258 be eligible for appointment to one full four-year term thereafter. Any
259 vacancy occurring on the board shall be filled within thirty days.

260 (d) The board shall elect a chairperson who shall, except as
261 provided in subsection (b) of section 1-82 and subsection (b) of section
262 1-93, preside at meetings of the board and a vice-chairperson to

263 preside in the absence of the chairperson. Six members of the board
264 shall constitute a quorum. Except as provided in subdivision (3) of
265 subsection (a) of section 1-81, as amended by this act, subsections (a)
266 and (b) of section 1-82, subsection (b) of section 1-88, [subdivision (5)]
267 subsection (e) of section 1-92, as amended by this act, subsections (a)
268 and (b) of section 1-93 and subsection (b) of section 1-99, a majority
269 vote of the members shall be required for action of the board. The
270 chairperson or any three members may call a meeting.

271 (e) Any matter before the board, except hearings held pursuant to
272 the provisions of subsection (b) of section 1-82 or subsection (b) of
273 section 1-93, may be assigned by the board to two of its members to
274 conduct an investigation or hearing, as the case may be, to ascertain
275 the facts and report thereon to the board with a recommendation for
276 action.

277 (f) Members of the board shall be compensated at the rate of two
278 hundred dollars per day for each day they attend a meeting or hearing
279 and shall receive reimbursement for their necessary expenses incurred
280 in the discharge of their official duties.

281 (g) The board shall not be construed to be a board or commission
282 within the meaning of section 4-9a.

283 (h) The members and employees of the Citizen's Ethics Advisory
284 Board and the Office of State Ethics shall adhere to the following code
285 of ethics under which the members and employees shall: (1) Observe
286 high standards of conduct so that the integrity and independence of
287 the Citizen's Ethics Advisory Board and the Office of State Ethics may
288 be preserved; (2) respect and comply with the law and conduct
289 themselves at all times in a manner which promotes public confidence
290 in the integrity and impartiality of the board and the Office of State
291 Ethics; (3) be faithful to the law and maintain professional competence
292 in the law; (4) be unswayed by partisan interests, public clamor or fear
293 of criticism; (5) maintain order and decorum in proceedings of the
294 board and Office of State Ethics; (6) be patient, dignified and courteous

295 to all persons who appear in board or Office of State Ethics
296 proceedings and with other persons with whom the members and
297 employees deal in their official capacities; (7) refrain from making any
298 statement outside of a board or Office of State Ethics proceeding,
299 which would have a likelihood of prejudicing a board or Office of State
300 Ethics proceeding; (8) refrain from making any statement outside of a
301 board or Office of State Ethics proceeding that a reasonable person
302 would expect to be disseminated by means of public communication if
303 the member or employee should know that such statement would
304 have a likelihood of materially prejudicing or embarrassing a
305 complainant or a respondent; (9) preserve confidences of complainants
306 and respondents; (10) exercise independent professional judgment on
307 behalf of the board and Office of State Ethics; and (11) represent the
308 board and Office of State Ethics competently.

309 (i) No member or employee of the board or Office of State Ethics
310 may make a contribution, as defined in section 9-601a, to any person
311 subject to the provisions of this part.

312 (j) Members of the board shall recuse themselves from participating
313 in any proceeding or matter undertaken pursuant to this chapter that
314 involves the person who appointed such member to the board.

315 (k) No member of the board may represent any business or person,
316 other than himself or herself, before the board for a period of one year
317 following the end of such member's service on the board. No business
318 or person that appears before the board shall employ or otherwise
319 engage the services of a former member of the board for a period of
320 one year following the end of such former member's service on the
321 board.

322 [(l) No member of the board may hold any other position in state
323 employment for a period of one year following the end of such
324 member's service on the board, including, but not limited to, service as
325 a member on a state board or commission, service as a judge of the
326 Superior Court or service as a state agency commissioner.]

327 [(m)] (l) Upon request of any aggrieved party, the board shall delay
328 the effect of any decision rendered by the board for a period not to
329 exceed more than seven days following the rendering of such decision.

330 Sec. 3. Section 1-81 of the general statutes is repealed and the
331 following is substituted in lieu thereof (*Effective October 1, 2011*):

332 (a) The board and general counsel and staff of the Office of State
333 Ethics shall:

334 (1) Compile and maintain an index of all reports, advisory opinions,
335 informal staff letters, memoranda issued in accordance with subsection
336 (b) of section 1-82 and statements filed by and with the Office of State
337 Ethics to facilitate public access to such reports and advisory opinions,
338 informal staff letters, memoranda statements as provided by this part;

339 (2) Preserve advisory opinions and informal staff letters,
340 permanently; preserve memoranda issued in accordance with
341 subsection (b) of section 1-82 and statements and reports filed by and
342 with the board for a period of five years from the date of receipt;

343 (3) Upon the concurring vote of a majority of the board present and
344 voting, issue advisory opinions with regard to the requirements of this
345 part or part IV of this chapter, upon the request of any person subject
346 to the provisions of this part or part IV of this chapter, and publish
347 such advisory opinions in the Connecticut Law Journal. Advisory
348 opinions rendered by the board, until amended or revoked, shall be
349 binding on the board and shall be deemed to be final decisions of the
350 board for purposes of appeal to the superior court, in accordance with
351 the provisions of section 4-175 or 4-183. Any advisory opinion
352 concerning the person who requested the opinion and who acted in
353 reliance thereon, in good faith, shall be binding upon the board, and it
354 shall be an absolute defense in any criminal action brought under the
355 provisions of this part or part IV of this chapter, that the accused acted
356 in reliance upon such advisory opinion;

357 (4) Respond to inquiries and provide advice regarding the code of
358 ethics either verbally or through informal letters;

359 (5) Provide yearly training to all state employees regarding the code
360 of ethics;

361 (6) Make legislative recommendations to the General Assembly and
362 report annually, [prior to April] not later than February fifteenth, to the
363 Governor summarizing the activities of the [commission;] Office of
364 State Ethics; and

365 (7) Meet not less than once per month with the office's executive
366 director and ethics enforcement officer. [; and]

367 [(8)] (b) The [commission] Office of State Ethics may enter into such
368 contractual agreements as may be necessary for the discharge of its
369 duties, within the limits of its appropriated funds and in accordance
370 with established procedures.

371 [(b)] (c) The Office of State Ethics shall employ an executive director,
372 general counsel and ethics enforcement officer, each of whom shall be
373 exempt from classified state service. The salary for the executive
374 director, general counsel and the ethics enforcement officer shall be
375 determined by the Commissioner of Administrative Services in
376 accordance with accepted personnel practices. No one person may
377 serve in more than one of the positions described in this subsection.
378 The Office of State Ethics may employ necessary staff within available
379 appropriations. Such necessary staff of the Office of State Ethics shall
380 be in classified state service.

381 [(c)] (d) The executive director, described in subsection (b) of this
382 section, shall be appointed by the Citizen's Ethics Advisory Board for
383 an open-ended term. Such appointment shall not be made until all the
384 initial board members appointed to terms commencing on October 1,
385 2005, are appointed by their respective appointing authorities,
386 pursuant to subsection (a) of section 1-80, as amended by this act. The

387 board shall annually evaluate the performance of the executive
388 director, in writing, and may remove the executive director, in
389 accordance with the provisions of chapter 67.

390 ~~[(d)]~~ (e) The general counsel and ethics enforcement officer
391 described in subsection ~~[(b)]~~ (c) of this section, and other staff of the
392 Office of State Ethics shall be appointed by the executive director of the
393 Office of State Ethics. The executive director shall annually evaluate
394 the performance of the general counsel, ethics enforcement officer and
395 such other staff, in writing, and may remove the general counsel or
396 ethics enforcement officer, in accordance with the provisions of
397 chapter 67, or such other staff, in accordance with any applicable
398 collective bargaining agreement.

399 ~~[(e)]~~ (f) There shall be a legal division within the Office of State
400 Ethics. The legal division shall provide the board with legal advice on
401 matters before said board and shall represent the board in all matters
402 in which the board is a party, without the assistance of the Attorney
403 General unless the board requests such assistance. The legal division
404 shall, under the direction of the general counsel, provide information
405 and written and verbal opinions to persons subject to the code and to
406 the general public. The general counsel, described in subsection ~~[(b)]~~
407 (c) of this section, shall supervise such division. The investigation or
408 instigation of a complaint may not occur solely because of information
409 received by the legal division.

410 ~~[(f)]~~ (g) There shall be an enforcement division within the Office of
411 State Ethics. The enforcement division shall be responsible for
412 investigating complaints brought to or by the board. The ethics
413 enforcement officer, described in subsection ~~[(b)]~~ (c) of this section,
414 shall supervise the enforcement division. The enforcement division
415 shall employ such attorneys and investigators, as necessary, within
416 available appropriations, and may refer matters to the office of the
417 Chief State's Attorney, as appropriate.

418 ~~[(g)]~~ (h) The Citizen's Ethics Advisory Board shall adopt regulations

419 in accordance with chapter 54 to carry out the purposes of this part.
420 Such regulations shall not be deemed to govern the conduct of any
421 judge trial referee in the performance of such judge trial referee's
422 duties pursuant to this chapter.

423 [(h)] (i) In consultation with the executive director of the Office of
424 State Ethics, the general counsel shall oversee yearly training of all
425 state personnel in the code of ethics, provide training on the code of
426 ethics to other individuals or entities subject to the code and shall
427 make recommendations as to public education regarding ethics.

428 Sec. 4. Subsection (e) of section 1-82 of the general statutes is
429 repealed and the following is substituted in lieu thereof (*Effective from*
430 *passage*):

431 (e) No person shall take or threaten to take official action against an
432 individual for such individual's disclosure of information to the board
433 or the general counsel, ethics enforcement officer or staff of the Office
434 of State Ethics under the provisions of this part or section 1-101nn.
435 After receipt of information from an individual under the provisions of
436 this part or section 1-101nn, the Office of State Ethics shall not disclose
437 the identity of such individual without such individual's consent
438 unless the Office of State Ethics determines that such disclosure is
439 unavoidable during the course of an investigation. No person shall be
440 subject to civil liability for any good faith disclosure that such person
441 makes to the [commission] Office of State Ethics.

442 Sec. 5. Subsection (a) of section 1-83 of the general statutes is
443 repealed and the following is substituted in lieu thereof (*Effective*
444 *October 1, 2011*):

445 (a) (1) All state-wide elected officers, members of the General
446 Assembly, department heads and their deputies, members of the
447 Gaming Policy Board, the executive director of the Division of Special
448 Revenue within the Department of Revenue Services, members or
449 directors of each quasi-public agency, members of the Investment

450 Advisory Council, state marshals and such members of the Executive
451 Department and such employees of quasi-public agencies as the
452 Governor shall require, shall file, under penalty of false statement, a
453 statement of financial interests for the preceding calendar year with the
454 Office of State Ethics on or before [the] May first [next in] following
455 any year in which they hold such [a] an office or position. Any such
456 individual who leaves his or her office or position shall file a statement
457 of financial interests covering that portion of the year during which
458 such individual held his or her office or position. The Office of State
459 Ethics shall notify such individuals of the requirements of this
460 subsection not later than thirty days after their departure from such
461 office or position. Such individuals shall file such statement [within]
462 not later than sixty days after receipt of the notification.

463 (2) Each state agency, department, board and commission shall
464 develop and implement, in cooperation with the Office of State Ethics,
465 an ethics statement as it relates to the mission of the agency,
466 department, board or commission. The executive head of each such
467 agency, department, board or commission shall be directly responsible
468 for the development and enforcement of such ethics statement and
469 shall file a copy of such ethics statement with the Department of
470 Administrative Services and the Office of State Ethics.

471 Sec. 6. Subsection (j) of section 1-84 of the general statutes is
472 repealed and the following is substituted in lieu thereof (*Effective*
473 *October 1, 2011*):

474 (j) No public official, state employee or candidate for public office,
475 or a member of any such person's staff or immediate family shall
476 knowingly accept any gift, as defined in [subsection (e) of] subdivision
477 (5) of section 1-79, as amended by this act, from a person known to be a
478 registrant or anyone known to be acting on behalf of a registrant.

479 Sec. 7. Subsection (m) of section 1-84 of the general statutes is
480 repealed and the following is substituted in lieu thereof (*Effective*
481 *October 1, 2011*):

482 (m) No public official or state employee shall knowingly accept,
483 directly or indirectly, any gift, as defined in [subsection (e)]
484 subdivision (5) of section 1-79, as amended by this act, from any
485 person the public official or state employee knows or has reason to
486 know: (1) Is doing business with or seeking to do business with the
487 department or agency in which the public official or state employee is
488 employed; (2) is engaged in activities which are directly regulated by
489 such department or agency; or (3) is prequalified under section 4a-100.
490 No person shall knowingly give, directly or indirectly, any gift or gifts
491 in violation of this provision. For the purposes of this subsection, the
492 exclusion to the term "gift" in [subdivision (12) of subsection (e)]
493 subparagraph (L) of subdivision (5) of section 1-79, as amended by this
494 act, for a gift for the celebration of a major life event shall not apply.
495 Any person prohibited from making a gift under this subsection shall
496 report to the Office of State Ethics any solicitation of a gift from such
497 person by a state employee or public official.

498 Sec. 8. Section 1-84c of the general statutes is repealed and the
499 following is substituted in lieu thereof (*Effective October 1, 2011*):

500 Nothing in this chapter shall prohibit the donation of goods or
501 services, as described in [subdivision (5) of subsection (e)]
502 subparagraph (E) of subdivision (5) of section 1-79, as amended by this
503 act, to a state agency or quasi-public agency, the donation of the use of
504 facilities to facilitate state agency or quasi-public agency action or
505 functions or the donation of real property to a state agency or quasi-
506 public agency. As used in this section, "state agency" and "quasi-public
507 agency" have the same meanings as provided in section 1-79, as
508 amended by this act.

509 Sec. 9. Subsection (a) of section 1-86 of the general statutes is
510 repealed and the following is substituted in lieu thereof (*Effective*
511 *October 1, 2011*):

512 (a) Any public official or state employee, other than an elected state
513 official, who, in the discharge of such official's or employee's official

514 duties, would be required to take an action that would affect a
515 financial interest of such official or employee, such official's or
516 employee's spouse, parent, brother, sister, child or the spouse of a
517 child, employer other than the state or a business with which such
518 official or employee is associated, other than an interest of a de
519 minimis nature, an interest that is not distinct from that of a substantial
520 segment of the general public or an interest in substantial conflict with
521 the performance of official duties as defined in section 1-85 has a
522 potential conflict of interest. Under such circumstances, such official or
523 employee shall, if such official or employee is a member of a state
524 regulatory agency, either excuse himself or herself from the matter or
525 prepare a written statement signed under penalty of false statement
526 describing the matter requiring action and the nature of the potential
527 conflict and explaining why despite the potential conflict, such official
528 or employee is able to vote and otherwise participate fairly, objectively
529 and in the public interest. Such public official or state employee shall
530 deliver a copy of the statement to the Office of State Ethics and enter a
531 copy of the statement in the journal or minutes of the agency. If such
532 official or employee is not a member of a state regulatory agency, such
533 official or employee shall, in the case of either a substantial or potential
534 conflict, prepare a written statement signed under penalty of false
535 statement describing the matter requiring action and the nature of the
536 conflict and deliver a copy of the statement to such official's or
537 employee's immediate superior, if any, who shall assign the matter to
538 another employee, or if such official or employee has no immediate
539 superior, such official or employee shall take such steps as the Office of
540 State Ethics shall prescribe or advise.

541 Sec. 10. Subsection (d) of section 1-88 of the general statutes is
542 repealed and the following is substituted in lieu thereof (*Effective*
543 *October 1, 2011*):

544 (d) Any person who knowingly acts in such person's financial
545 interest in violation of section 1-84, as amended by this act, 1-85, 1-86,
546 as amended by this act, [or] 1-86d, 1-86e or 1-101nn, or any person who

547 knowingly receives a financial advantage resulting from a violation of
548 any of said sections shall be liable for damages in the amount of such
549 advantage. If the board determines that any person may be so liable, it
550 shall immediately inform the Attorney General of that possibility.

551 Sec. 11. Section 1-91 of the general statutes is repealed and the
552 following is substituted in lieu thereof (*Effective October 1, 2011*):

553 When used in this part, unless the context otherwise requires:

554 [(a)] (1) "Administrative action" means any action or nonaction of
555 any executive agency of the state with respect to the proposal, drafting,
556 development, consideration, amendment, adoption or repeal of any
557 rule, regulation or utility rate, and any action or nonaction of any
558 executive agency or quasi-public agency, as defined in section 1-79, as
559 amended by this act, regarding a contract, grant, award, purchasing
560 agreement, loan, bond, certificate, license, permit or any other matter
561 which is within the official jurisdiction or cognizance of such an
562 agency.

563 [(b)] (2) "Candidate for public office" means any person who has
564 filed a declaration of candidacy or a petition to appear on the ballot for
565 election as a public official, or who has raised or expended money in
566 furtherance of such candidacy, or who has been nominated for
567 appointment to serve as a public official; but shall not include a
568 candidate for the office of senator or representative in Congress.

569 [(c)] (3) "Board" means the Citizen's Ethics Advisory Board
570 established under section 1-80, as amended by this act.

571 [(d)] (4) "Compensation" means any value received or to be received
572 by a person acting as a lobbyist, whether in the form of a fee, salary or
573 forbearance.

574 [(e)] (5) "Executive agency" means a commission, board, agency, or
575 other body or official in the executive branch of the state government
576 and any independent body of the state government that is not a part of

577 the legislative or judicial branch.

578 [(f)] (6) "Expenditure" means any advance, conveyance, deposit,
579 distribution, transfer of funds, loan, payment, unless expressly
580 excluded; any payments for telephone, mailing, postage, printing and
581 other clerical or office services and materials; any paid
582 communications, costing fifty dollars or more in any calendar year,
583 disseminated by means of any printing, broadcasting or other
584 medium, provided such communications refer to pending
585 administrative or legislative action; any contract, agreement, promise
586 or other obligation; any solicitation or solicitations, costing fifty dollars
587 or more in the aggregate for any calendar year, of other persons to
588 communicate with a public official or state employee for the purpose
589 of influencing any legislative or administrative act and any pledge,
590 subscription of money or anything of value. "Expenditure" [shall] does
591 not include the payment of a registrant's fee pursuant to section 1-95,
592 as amended by this act, any expenditure made by any club, committee,
593 partnership, organization, business, union, association or corporation
594 for the purpose of publishing a newsletter or other release to its
595 members, shareholders or employees, or contributions, membership
596 dues or other fees paid to associations, nonstock corporations or tax-
597 exempt organizations under Section 501(c) of the Internal Revenue
598 Code of 1986, or any subsequent corresponding internal revenue code
599 of the United States, as from time to time amended.

600 [(g)] (7) "Gift" means anything of value, which is directly and
601 personally received, unless consideration of equal or greater value is
602 given in return. "Gift" [shall] does not include:

603 [(1)] (A) A political contribution otherwise reported as required by
604 law or a donation or payment described in subdivision (9) or (10) of
605 subsection (b) of section 9-601a;

606 [(2)] (B) Services provided by persons volunteering their time, if
607 provided to aid or promote the success or defeat of any political party,
608 any candidate or candidates for public office or the position of

609 convention delegate or town committee member or any referendum
610 question;

611 [(3)] (C) A commercially reasonable loan made on terms not more
612 favorable than loans made in the ordinary course of business;

613 [(4)] (D) A gift received from [(A)] (i) the individual's spouse, fiance
614 or fiancée, [(B)] (ii) the parent, brother or sister of such spouse or such
615 individual, or [(C)] (iii) the child of such individual or the spouse of
616 such child;

617 [(5)] (E) Goods or services [(A)] (i) which are provided to a state
618 agency or quasi-public agency [(i)] (I) for use on state or quasi-public
619 agency property, or [(ii)] (II) that support an event, and [(B)] (ii) which
620 facilitate state or quasi-public agency action or functions. As used in
621 this subdivision, "state property" means [(i)] property owned by the
622 state or a quasi-public agency, or [(ii)] property leased to a state or
623 quasi-public agency;

624 [(6)] (F) A certificate, plaque or other ceremonial award costing less
625 than one hundred dollars;

626 [(7)] (G) A rebate, discount or promotional item available to the
627 general public;

628 [(8)] (H) Printed or recorded informational material germane to
629 state action or functions;

630 [(9)] (I) Food or beverage or both, costing less than fifty dollars in
631 the aggregate per recipient in a calendar year, and consumed on an
632 occasion or occasions at which the person paying, directly or
633 indirectly, for the food or beverage, or his representative, is in
634 attendance;

635 [(10)] (J) Food or beverage or both, costing less than fifty dollars per
636 person and consumed at a publicly noticed legislative reception to
637 which all members of the General Assembly are invited and which is

638 hosted not more than once in any calendar year by a lobbyist or
639 business organization. For the purposes of such limit, [(A)] (i) a
640 reception hosted by a lobbyist who is an individual shall be deemed to
641 have also been hosted by the business organization which he owns or
642 is employed by, and [(B)] (ii) a reception hosted by a business
643 organization shall be deemed to have also been hosted by all owners
644 and employees of the business organization who are lobbyists. In
645 making the calculation for the purposes of such fifty-dollar limit, the
646 donor shall divide the amount spent on food and beverage by the
647 number of persons whom the donor reasonably expects to attend the
648 reception;

649 [(11)] (K) Food or beverage or both, costing less than fifty dollars per
650 person and consumed at a publicly noticed reception to which all
651 members of the General Assembly from a region of the state are
652 invited and which is hosted not more than once in any calendar year
653 by a lobbyist or business organization. For the purposes of such limit,
654 [(A)] (i) a reception hosted by a lobbyist who is an individual shall be
655 deemed to have also been hosted by the business organization which
656 he owns or is employed by, and [(B)] (ii) a reception hosted by a
657 business organization shall be deemed to have also been hosted by all
658 owners and employees of the business organization who are lobbyists.
659 In making the calculation for the purposes of such fifty-dollar limit, the
660 donor shall divide the amount spent on food and beverage by the
661 number of persons whom the donor reasonably expects to attend the
662 reception. As used in this [subdivision] subparagraph, "region of the
663 state" means the established geographic service area of the
664 organization hosting the reception;

665 [(12)] (L) A gift, including, but not limited to, food or beverage or
666 both, provided by an individual for the celebration of a major life
667 event, provided any such gift provided by an individual who is not a
668 member of the family of the recipient shall not exceed one thousand
669 dollars in value;

670 [(13)] (M) Gifts costing less than one hundred dollars in the
671 aggregate or food or beverage provided at a hospitality suite at a
672 meeting or conference of an interstate legislative association, by a
673 person who is not a registrant or is not doing business with the state of
674 Connecticut;

675 [(14)] (N) Admission to a charitable or civic event, including food
676 and beverage provided at such event, but excluding lodging or travel
677 expenses, at which a public official or state employee participates in
678 his or her official capacity, provided such admission is provided by the
679 primary sponsoring entity;

680 [(15)] (O) Anything of value provided by an employer of [(A)] (i) a
681 public official, [(B)] (ii) a state employee, or [(C)] (iii) a spouse of a
682 public official, [or] state employee, to such official, employee or
683 spouse, provided such benefits are customarily and ordinarily
684 provided to others in similar circumstances;

685 [(16)] (P) Anything having a value of not more than ten dollars,
686 provided the aggregate value of all things provided by a donor to a
687 recipient under this subdivision in any calendar year shall not exceed
688 fifty dollars; or

689 [(17)] (Q) Training that is provided by a vendor for a product
690 purchased by a state or quasi-public agency which is offered to all
691 customers of such vendor.

692 [(h)] (8) "Immediate family" means any spouse, dependent children
693 or dependent relatives who reside in the individual's household.

694 [(i)] (9) "Individual" means a natural person.

695 [(j)] (10) "Legislative action" means introduction, sponsorship,
696 consideration, debate, amendment, passage, defeat, approval, veto,
697 overriding of a veto or any other official action or nonaction with
698 regard to any bill, resolution, amendment, nomination, appointment,
699 report, or any other matter pending or proposed in a committee or in

700 either house of the legislature, or any matter which is within the
701 official jurisdiction or cognizance of the legislature.

702 [(k)] (11) "Lobbying" means communicating directly or soliciting
703 others to communicate with any official or his staff in the legislative or
704 executive branch of government or in a quasi-public agency, for the
705 purpose of influencing any legislative or administrative action except
706 that the term "lobbying" does not include [(1)] (A) communications by
707 or on behalf of a party to, or an intervenor in, a contested case, as
708 described in regulations adopted by the commission in accordance
709 with the provisions of chapter 54, before an executive agency or a
710 quasi-public agency, as defined in section 1-79, as amended by this act,
711 [(2)] (B) communications by a representative of a vendor or by an
712 employee of the registered client lobbyist which representative or
713 employee acts as a salesperson and does not otherwise engage in
714 lobbying regarding any administrative action, [(3)] (C)
715 communications by an attorney made while engaging in the practice of
716 law and regarding any matter other than legislative action as defined
717 in [subsection (j)] subdivision (10) of this section or the proposal,
718 drafting, development, consideration, amendment, adoption or repeal
719 of any rule or regulation, or [(4)] (D) other communications exempted
720 by regulations adopted by the commission in accordance with the
721 provisions of chapter 54.

722 [(l)] (12) "Lobbyist" means a person who in lobbying and in
723 furtherance of lobbying makes or agrees to make expenditures, or
724 receives or agrees to receive compensation, reimbursement, or both,
725 and such compensation, reimbursement or expenditures are [two]
726 three thousand dollars or more in any calendar year or the combined
727 amount thereof is [two] three thousand dollars or more in any such
728 calendar year. Lobbyist shall not include:

729 [(1)] (A) A public official, employee of a branch of state government
730 or a subdivision thereof, or elected or appointed official of a
731 municipality or his designee other than an independent contractor,

732 who is acting within the scope of his authority or employment;

733 [(2)] (B) A publisher, owner or an employee of the press, radio or
734 television while disseminating news or editorial comment to the
735 general public in the ordinary course of business;

736 [(3)] (C) An individual representing himself or another person
737 before the legislature or a state agency other than for the purpose of
738 influencing legislative or administrative action;

739 [(4)] (D) Any individual or employee who receives no compensation
740 or reimbursement specifically for lobbying and who limits his
741 activities solely to formal appearances to give testimony before public
742 sessions of committees of the General Assembly or public hearings of
743 state agencies and who, if he testifies, registers his appearance in the
744 records of such committees or agencies;

745 [(5)] (E) A member of an advisory board acting within the scope of
746 his appointment;

747 [(6)] (F) A senator or representative in Congress acting within the
748 scope of his office;

749 [(7)] (G) Any person who receives no compensation or
750 reimbursement specifically for lobbying and who spends no more than
751 five hours in furtherance of lobbying unless such person [(A)] (i)
752 exclusive of salary, receives compensation or makes expenditures, or
753 both, of [two] three thousand dollars or more in any calendar year for
754 lobbying or the combined amount thereof is [two] three thousand
755 dollars or more in any such calendar year, or [(B)] (ii) expends fifty
756 dollars or more for the benefit of a public official in the legislative or
757 executive branch, a member of his staff or immediate family;

758 [(8)] (H) A communicator lobbyist who receives or agrees to receive
759 compensation, reimbursement, or both, the aggregate amount of which
760 is less than [two] three thousand dollars from each client in any
761 calendar year.

762 [(m)] (13) "Member of an advisory board" means any person
763 appointed by a public official as an advisor or consultant or member of
764 a committee, commission or council established to advise, recommend
765 or consult with a public official or branch of government or committee
766 thereof and who receives no public funds other than per diem
767 payments or reimbursement for his actual and necessary expenses
768 incurred in the performance of his official duties and who has no
769 authority to expend any public funds or to exercise the power of the
770 state.

771 [(n)] (14) "Person" means an individual, a business, corporation,
772 limited liability company, union, association, firm, partnership,
773 committee, club or other organization or group of persons.

774 [(o)] (15) "Political contribution" has the same meaning as in section
775 9-601a except that for purposes of this part, the provisions of
776 subsection (b) of [that] said section shall not apply.

777 [(p)] (16) "Public official" means any state-wide elected state officer,
778 any member or member-elect of the General Assembly, any person
779 appointed to any office of the legislative, judicial or executive branch
780 of state government by the Governor, with or without the advice and
781 consent of the General Assembly, the spouse of the Governor and any
782 person appointed or elected by the General Assembly or any member
783 of either house thereof; but shall not include a member of an advisory
784 board or a senator or representative in Congress.

785 [(q)] (17) "Registrant" means a person who is required to register
786 pursuant to section 1-94, as amended by this act.

787 [(r)] (18) "Reimbursement" means any money or thing of value
788 received or to be received in the form of payment for expenses as a
789 lobbyist, not including compensation.

790 [(s)] (19) "State employee" means any employee in the executive,
791 judicial or legislative branch of state government, whether in the

792 classified or unclassified service and whether full or part-time.

793 [(t)] (20) "Business organization" means a sole proprietorship,
794 corporation, limited liability company, association, firm or partnership,
795 other than a client lobbyist, which is owned by, or employs one or
796 more individual lobbyists.

797 [(u)] (21) "Client lobbyist" means a [lobbyist] person on behalf of
798 whom lobbying takes place and who makes expenditures for lobbying
799 and in furtherance of lobbying.

800 [(v)] (22) "Communicator lobbyist" means a lobbyist who
801 communicates directly or solicits others to communicate with an
802 official or his staff in the legislative or executive branch of government
803 or in a quasi-public agency for the purpose of influencing legislative or
804 administrative action.

805 [(w)] (23) "State agency" means any office, department, board,
806 council, commission, institution, constituent unit of the state system of
807 higher education, vocational-technical school or other agency in the
808 executive, legislative or judicial branch of state government.

809 [(x)] (24) "Quasi-public agency" means quasi-public agency, as
810 defined in section 1-79, as amended by this act.

811 Sec. 12. Subsection (a) of section 1-92 of the general statutes is
812 repealed and the following is substituted in lieu thereof (*Effective*
813 *October 1, 2011*):

814 (a) The Citizen's Ethics Advisory Board shall adopt regulations, in
815 accordance with chapter 54, to carry out the purposes of this part. Such
816 regulations shall not be deemed to govern the conduct of any judge
817 trial referee in the performance of such judge trial referee's duties
818 pursuant to this chapter. Not later than January 1, 1992, the board shall
819 adopt regulations which further clarify the meaning of the terms
820 "directly and personally received" and "major life event", as used in
821 [subsection (e)] subdivision (5) of section 1-79, as amended by this act,

822 and [subsection (g)] subdivision (7) of section 1-91, as amended by this
823 act.

824 Sec. 13. Subsection (e) of section 1-92 of the general statutes is
825 repealed and the following is substituted in lieu thereof (*Effective*
826 *October 1, 2011*):

827 (e) Upon the concurring vote of a majority of its members present
828 and voting, the board shall issue advisory opinions with regard to the
829 requirements of this part or part III of this chapter, upon the request of
830 any person, subject to the provisions of this part or part III of this
831 chapter, and publish such advisory opinions in the Connecticut Law
832 Journal. Advisory opinions rendered by the board, until amended or
833 revoked, shall be binding on the board and shall be deemed to be final
834 decisions of the board for purposes of appeal to the superior court, in
835 accordance with the provisions of section 4-175 or 4-183. Any advisory
836 opinion concerning any person subject to the provisions of this part
837 who requested the opinion and who acted in reliance thereon, in good
838 faith, shall be binding upon the board, and it shall be an absolute
839 defense in any criminal action brought under the provisions of this
840 part or part III of this chapter that the accused acted in reliance upon
841 such advisory opinion.

842 Sec. 14. Section 1-94 of the general statutes is repealed and the
843 following is substituted in lieu thereof (*Effective July 1, 2011*):

844 (a) A lobbyist shall register with the Office of State Ethics pursuant
845 to this part if it or he:

846 (1) Receives or agrees to receive compensation or reimbursement for
847 actual expenses, or both, in a combined amount of [two] three
848 thousand dollars or more in a calendar year for lobbying, whether that
849 receipt of compensation or reimbursement or agreement to receive
850 such compensation or reimbursement is solely for lobbying or the
851 lobbying is [incidental to that] within the scope of such person's
852 [regular] employment; or

853 (2) Makes or incurs an obligation to make expenditures of [two]
854 three thousand dollars or more in a calendar year for lobbying.

855 (b) Any person who lobbies in the scope of such person's regular
856 employment shall maintain a record of his or her time and
857 expenditures in furtherance of lobbying for the purpose of reporting
858 and potential registration with the Office of State Ethics.

859 Sec. 15. Section 1-95 of the general statutes is repealed and the
860 following is substituted in lieu thereof (*Effective July 1, 2011*):

861 (a) Each registrant shall file every two years with the Office of State
862 Ethics on a registration form signed under penalty of false statement
863 on or before January fifteenth of odd-numbered years or prior to the
864 commencement of lobbying whichever is later. If the registrant is not
865 an individual, an authorized officer or agent of the registrant shall sign
866 the form. Such registration shall be on a form prescribed by the board
867 and shall include:

868 (1) If the registrant is an individual, the registrant's name,
869 permanent address and temporary address while lobbying and the
870 name, address and nature of business of any person who compensates
871 or reimburses, or agrees to compensate or reimburse the registrant and
872 the terms of the compensation, reimbursement or agreement, but shall
873 not include the compensation paid to an employee for his involvement
874 in activities other than lobbying;

875 (2) If the registrant is a corporation, the name, address, place of
876 incorporation and the principal place of business of the corporation;

877 (3) If the registrant is an association, group of persons or an
878 organization, the name and address of the principal officers and
879 directors of such association, group of persons or organization. If the
880 registrant is formed primarily for the purpose of lobbying, it shall
881 disclose the name and address of any person contributing [two] three
882 thousand dollars or more to the registrant's lobbying activities in any

883 calendar year;

884 (4) If the registrant is not an individual, the name and address of
885 each individual who will lobby on the registrant's behalf; and

886 (5) The identification, with reasonable particularity, of areas of
887 legislative or administrative action on which the registrant expects to
888 lobby, including the names of executive agencies and quasi-public
889 agencies and, where applicable, solicitations for state contracts and
890 procurements.

891 (b) Each registrant shall pay a reasonable fee not in excess of the cost
892 of administering the registration form provided for in subsection (a) of
893 this section plus the cost of collecting, filing, copying and distributing
894 the information filed by registrants under section 1-96, as amended by
895 this act, but not less than twenty-five dollars. A registrant who
896 commences lobbying in an even-numbered year shall file with the
897 Office of State Ethics, on or before January fifteenth of such even-
898 numbered year or prior to the commencement of lobbying, whichever
899 is later, a registration form signed under penalty of false statement and
900 shall pay one-half of the biennial registration fee established by the
901 board.

902 (c) Each registrant shall file a notice of termination within thirty
903 days after he ceases the activity that required his registration, provided
904 the registrant does not intend to resume the activity during the
905 biennial period for which he is registered; but termination shall not
906 relieve him of the reporting requirements of section 1-96, as amended
907 by this act, for the period preceding the date his notice of termination
908 is received by the Office of State Ethics or for the period commencing
909 on such date and ending on December thirty-first of the year in which
910 termination occurs.

911 (d) In addition to the requirements of subsections (a) to (c),
912 inclusive, of this section, the registration of a: (1) Client lobbyist, as
913 defined in section 1-91, as amended by this act, shall include: (A) The

914 name of such company or association, (B) the nature of such company
915 or association, (C) the primary business address of such company or
916 association, (D) the name of the person responsible for oversight of
917 such client lobbyist's lobbying activities, (E) the job title of such person
918 and any applicable contact information for such person, including, but
919 not limited to, phone number, facsimile number, electronic mail
920 address and business mailing address; and (2) communicator lobbyist,
921 as defined in section 1-91, as amended by this act, shall include the
922 name of the person with whom such communicator lobbyist has
923 primary contact for each client of such communicator lobbyist and any
924 applicable contact information for such person, including, but not
925 limited to, phone number, facsimile number, electronic mail address
926 and business mailing address.

927 Sec. 16. Section 1-96 of the general statutes is repealed and the
928 following is substituted in lieu thereof (*Effective October 1, 2011*):

929 (a) Each client lobbyist registrant shall file with the Office of State
930 Ethics between the first and tenth day of April, July and January a
931 financial report, signed under penalty of false statement. The April and
932 July reports shall cover its lobbying activities during the previous
933 calendar quarter and the January report shall cover its lobbying
934 activities during the previous two calendar quarters. In addition to
935 such reports, each client lobbyist registrant which attempts to
936 influence legislative action shall file, under penalty of false statement,
937 interim monthly reports of its lobbying activities for each month the
938 General Assembly is in regular session, except that no monthly report
939 shall be required for any month in which it neither expends nor agrees
940 to expend one hundred dollars or more in furtherance of lobbying.
941 Such interim monthly reports shall be filed with the Office of State
942 Ethics no later than the tenth day of the month following the last day
943 of the month reported. If the client lobbyist registrant is not an
944 individual, an authorized officer or agent of the client lobbyist
945 registrant shall sign the form. A communicator lobbyist for a
946 municipality or any subdivision of a municipality, a branch of state

947 government or any subdivision of state government or a quasi-public
948 agency shall file the reports described in this subsection utilizing the
949 client lobbyist reporting schedule.

950 (b) Each individual communicator lobbyist registrant and each
951 business organization communicator lobbyist registrant shall file
952 annually with the Office of State Ethics between the first and tenth day
953 of January a report or reports, signed under penalty of false statement,
954 reporting the amounts of compensation and reimbursement received
955 from each of his clients during the previous year. In addition, each
956 individual communicator lobbyist registrant and each business
957 organization communicator lobbyist registrant shall: (1) Report the
958 fundamental terms of contracts, agreements or promises to pay or
959 receive compensation or reimbursement or to make expenditures in
960 furtherance of lobbying, including the categories of work to be
961 performed and the dollar value or compensation rate of the contract, at
962 the time of registration; (2) report, in accordance with the schedule set
963 forth in subsection (a) of this section, any amendments to these
964 fundamental terms, including any agreements to subcontract lobbying
965 work; and (3) report, in accordance with the provisions of subsection
966 (a) of this section, any expenditures for the benefit of a public official
967 or state employee in the legislative or executive branch, [or] a member
968 of the staff or immediate family of such official or employee which are
969 unreimbursed and required to be itemized. Such report shall not
970 include any expenditures for the benefit of a public official or state
971 employee in the legislative or executive branch who is (A) the spouse,
972 fiancée or fiancé of the individual communicator lobbyist making the
973 expenditure, (B) the parent, brother or sister of such spouse or such
974 individual communicator lobbyist, or (C) the child of such individual
975 communicator lobbyist or the spouse of such child. Such report shall
976 not include the disclosure of food and beverage provided by a
977 communicator lobbyist registrant to a public official or state employee
978 in the legislative or executive branch, or a member of [his] the staff or
979 immediate family of such official or employee, at a major life event, as
980 defined by the Citizen's Ethics Advisory Board, of the registrant. All

981 such information shall be reported under penalty of false statement.

982 (c) An individual communicator lobbyist registrant shall file a
983 separate report for each person from whom he received compensation
984 or reimbursement. Notwithstanding any provision of this subsection to
985 the contrary, a business organization to which one or more individual
986 communicator lobbyist registrants belong may file a single report for
987 each client lobbyist in lieu of any separate reports that individual
988 registrants are required to file pursuant to this subsection.

989 (d) Each registrant who files a notice of termination under
990 subsection (c) of section 1-95, as amended by this act, shall file with the
991 Office of State Ethics a financial report, under penalty of false
992 statement, between the first and tenth day of January of the year
993 following termination.

994 (e) Each client lobbyist registrant financial report shall be on a form
995 prescribed by the board and shall state expenditures made and the
996 fundamental terms of contracts, agreements or promises to pay
997 compensation or reimbursement or to make expenditures in
998 furtherance of lobbying. Any such fundamental terms shall be
999 reported once in the monthly, quarterly or post-termination report
1000 next following the entering into of such contract. Such financial report
1001 shall include an itemized statement of each expenditure of ten dollars
1002 or more per person for each occasion made by the reporting registrant
1003 or a group of registrants which includes the reporting registrant for the
1004 benefit of a public official or state employee in the legislative or
1005 executive branch, a member of [his] the staff or immediate family of
1006 such official or employee, itemized by date, beneficiary, amount and
1007 circumstances of the transaction. The requirement of an itemized
1008 statement shall not apply to an expenditure made by a reporting
1009 registrant or a group of registrants which includes the reporting
1010 registrant for (1) the benefit of the members of the General Assembly at
1011 an event that is a reception to which all such members are invited or all
1012 members of a region of the state, as such term is used in [subdivision

1013 (11) of subsection (g)] subdivision (7) of section 1-91, as amended by
1014 this act, are invited, unless the expenditure is thirty dollars or more per
1015 person, or (2) benefits personally and directly received by a public
1016 official or state employee at a charitable or civic event at which the
1017 public official or state employee participates in his or her official
1018 capacity, unless the expenditure is thirty dollars or more per person,
1019 per event. If the compensation is required to be reported for an
1020 individual whose lobbying is [incidental to his regular] within the
1021 scope of such person's employment, it shall be sufficient to report a
1022 prorated amount based on the value of the time devoted to lobbying.
1023 On the first financial report following registration each client lobbyist
1024 registrant shall include any expenditures incident to lobbying activities
1025 which were received or expended prior to registration and not
1026 previously reported to the Office of State Ethics.

1027 (f) The Citizen's Ethics Advisory Board shall, by regulations
1028 adopted in accordance with chapter 54, establish minimum amounts
1029 for each item required to be reported, below which reporting may be
1030 made in the aggregate. The provisions of this subsection shall not
1031 apply to expenditures made for the benefit of a public official or a
1032 member of such person's staff or immediate family.

1033 (g) Each former registrant shall (1) report receipts or expenditures
1034 incident to lobbying activities during his period of registration which
1035 are received or expended following termination of registration and (2)
1036 report each expenditure of ten dollars or more per person for each
1037 occasion made by him for the benefit of a public official or state
1038 employee in the legislative or executive branch or a member of [such
1039 official's] the immediate family or staff [which] of such official or
1040 employee that occurs within six months after termination of
1041 registration.

1042 (h) The Office of State Ethics shall, [within] not later than thirty days
1043 after receipt of a financial report [which] that contains the name of a
1044 public official or state employee in the legislative or executive branch

1045 or a member of [such official's] the staff or immediate family of such
1046 official or employee, send a written notice to such public official or
1047 employee, of the filing of the report and the name of the person who
1048 filed it.

1049 Sec. 17. Section 1-96d of the general statutes is repealed and the
1050 following is substituted in lieu thereof (*Effective October 1, 2011*):

1051 Each registrant or business organization that hosts a legislative
1052 reception to which all members are invited, or all members of a region
1053 in the state, as such term is used in subparagraph (K) of subdivision
1054 [(11) of subsection (g)] (Z) of section 1-91, as amended by this act, are
1055 invited, shall include in its invitation or any published notice of such
1056 reception whether the registrant or business organization reasonably
1057 expects such expenditures to be reportable pursuant to subsection (e)
1058 of section 1-96, as amended by this act.

1059 Sec. 18. Subsection (a) of section 1-97 of the general statutes is
1060 repealed and the following is substituted in lieu thereof (*Effective*
1061 *October 1, 2011*):

1062 (a) No registrant or anyone acting on behalf of a registrant shall
1063 knowingly give a gift, as defined in [subsection (g)] subdivision (Z) of
1064 section 1-91, as amended by this act, to any state employee, public
1065 official, candidate for public office or a member of any such person's
1066 staff or immediate family. Nothing in this section shall be construed to
1067 permit any activity prohibited under section 53a-147 or 53a-148.

1068 Sec. 19. Subsection (a) of section 1-100b of the general statutes is
1069 repealed and the following is substituted in lieu thereof (*Effective*
1070 *October 1, 2011*):

1071 (a) The Office of State Ethics, upon a finding that a communicator
1072 lobbyist has violated the provisions of subsection [(i)] (h) of section 9-
1073 610, may suspend [said] such lobbyist's registration for a period of not
1074 more than the remainder of the term of such registration and may

1075 prohibit [said] such lobbyist from engaging in the profession of
1076 lobbyist for a period of not more than three years.

1077 Sec. 20. Subsection (c) of section 1-100b of the general statutes is
1078 repealed and the following is substituted in lieu thereof (*Effective from*
1079 *passage*):

1080 (c) The Office of State Ethics shall make any finding under
1081 subsection (a) or (b) of this section in accordance with the same
1082 procedure set forth in section 1-93 for a finding by the [commission]
1083 board of a violation of part II of chapter 10.

1084 Sec. 21. Section 2-16a of the general statutes is repealed and the
1085 following is substituted in lieu thereof (*Effective October 1, 2011*):

1086 No state representative or state senator who is elected at the 1994
1087 state election or any election thereafter shall engage in the profession
1088 of lobbyist, as that term is defined in [subsection (l)] subdivision (12) of
1089 section 1-91, as amended by this act, until one year after the expiration
1090 of the term for which such state representative or state senator was
1091 elected.

1092 Sec. 22. Subsection (b) of section 3-13l of the general statutes is
1093 repealed and the following is substituted in lieu thereof (*Effective*
1094 *October 1, 2011*):

1095 (b) For purposes of this section:

1096 (1) "Finder's fee" means compensation in the form of cash, cash
1097 equivalents or other things of value paid to or received by a third party
1098 in connection with an investment transaction to which the state, any
1099 political subdivision of the state or any quasi-public agency, as defined
1100 in section 1-120, is a party for any services, and includes, but is not
1101 limited to, any fee paid for lobbying, as defined in [subsection (k)]
1102 subdivision (11) of section 1-91, as amended by this act, and as defined
1103 by the Citizen's Ethics Advisory Board, in consultation with the
1104 Treasurer, in the regulations adopted under subparagraph (C)(ii) of

1105 subdivision (3) of this subsection or as prescribed by the Treasurer
1106 until such regulations are adopted.

1107 (2) "Finder's fee" does not mean (A)(i) compensation earned for the
1108 rendering of investment services, as defined in subsection (f) of section
1109 9-612, or for acting as a licensed real estate broker or real estate sales
1110 person under the provisions of section 20-312, or under a comparable
1111 statute of the jurisdiction in which the subject property is located, or
1112 (ii) marketing fees or due diligence fees earned by the payee in
1113 connection with the offer, sale or purchase of any security or
1114 investment interest, in accordance with criteria prescribed under
1115 subparagraph (C)(ii) of subdivision (3) of this subsection, (B)
1116 compensation paid to (i) persons who are investment professionals
1117 engaged in the ongoing business of representing investment services
1118 providers, or (ii) third parties for services connected to the issuance of
1119 debt by the state, any political subdivision of the state or any quasi-
1120 public agency, as defined in section 1-120, and (C) any compensation
1121 which is so defined by the regulations adopted under subparagraph
1122 (C)(ii) of subdivision (3) of this subsection, or any compensation which
1123 meets criteria prescribed by the Treasurer until such regulations are
1124 adopted. As used in this section, "offer" and "sale" have the meaning
1125 provided in section 36b-3.

1126 (3) "Investment professional" means an individual or firm whose
1127 primary business is bringing together institutional funds and
1128 investment opportunities and who (A) is a broker-dealer or investment
1129 adviser agent licensed or registered (i) under the Connecticut Uniform
1130 Securities Act; (ii) in the case of an investment adviser agent, with the
1131 Securities and Exchange Commission, in accordance with the
1132 Investment Advisors' Act of 1940; or (iii) in the case of a broker-dealer,
1133 with the National Association of Securities Dealers in accordance with
1134 the Securities Exchange Act of 1934, or (B) is licensed under section 20-
1135 312, or under a comparable statute of the jurisdiction in which the
1136 subject property is located, or (C) (i) furnishes an investment manager
1137 with marketing services including, but not limited to, developing an

1138 overall marketing strategy focusing on more than one institutional
1139 fund, designing or publishing marketing brochures or other
1140 presentation material such as logos and brands for investment
1141 products, responding to requests for proposals, completing due
1142 diligence questionnaires, identifying a range of potential investors, or
1143 such other services as may be identified in regulations adopted under
1144 clause (ii) of this subparagraph; and (ii) meets criteria prescribed (I) by
1145 the Treasurer until regulations are adopted under this subparagraph,
1146 or (II) by the Citizen's Ethics Advisory Board, in consultation with the
1147 Treasurer, in regulations adopted in accordance with the provisions of
1148 chapter 54. Prior to adopting such regulations, the Citizen's Ethics
1149 Advisory Board shall transmit the proposed regulations to the
1150 Treasurer not later than one hundred twenty days before any period
1151 for public comment on such regulations commences and shall consider
1152 any comments or recommendations the Treasurer may have regarding
1153 such regulations. In developing such regulations, the Citizen's Ethics
1154 Advisory Board shall ensure that the state will not be competitively
1155 disadvantaged by such regulations relative to any legitimate financial
1156 market.

1157 Sec. 23. Section 4-250 of the general statutes is repealed and the
1158 following is substituted in lieu thereof (*Effective October 1, 2011*):

1159 As used in sections 4-250 to 4-252, as amended by this act:

1160 (1) "Gift" has the same meaning as provided in section 1-79, as
1161 amended by this act, except that the exclusion in [subdivision (12) of
1162 subsection (e)] subparagraph (L) of subdivision (5) of [said] section 1-
1163 79, as amended by this act, for a gift for the celebration of a major life
1164 event shall not apply;

1165 (2) "Quasi-public agency", "public official" and "state employee"
1166 have the same meanings as provided in section 1-79, as amended by
1167 this act;

1168 (3) "State agency" means any office, department, board, council,

1169 commission, institution or other agency in the executive, legislative or
1170 judicial branch of state government;

1171 (4) "Large state contract" means an agreement or a combination or
1172 series of agreements between a state agency or a quasi-public agency
1173 and a person, firm or corporation, having a total value of more than
1174 five hundred thousand dollars in a calendar or fiscal year, for (A) a
1175 project for the construction, alteration or repair of any public building
1176 or public work, (B) services, including, but not limited to, consulting
1177 and professional services, (C) the procurement of supplies, materials or
1178 equipment, (D) a lease, or (E) a licensing arrangement. The term "large
1179 state contract" shall not include a contract between a state agency or a
1180 quasi-public agency and a political subdivision of the state;

1181 (5) "Principals and key personnel" means officers, directors,
1182 shareholders, members, partners and managerial employees; and

1183 (6) "Participated substantially" means participation that is direct,
1184 extensive and substantive, and not peripheral, clerical or ministerial.

1185 Sec. 24. Subsection (b) of section 4e-34 of the general statutes is
1186 repealed and the following is substituted in lieu thereof (*Effective*
1187 *October 1, 2011*):

1188 (b) Causes for such disqualification shall include the following:

1189 (1) Conviction of, or entry of a plea of guilty or nolo contendere or
1190 admission to, the commission of a criminal offense as an incident to
1191 obtaining or attempting to obtain a public or private contract or
1192 subcontract, or in the performance of such contract or subcontract;

1193 (2) Conviction of, or entry of a plea of guilty or nolo contendere or
1194 admission to, the violation of any state or federal law for
1195 embezzlement, theft, forgery, bribery, falsification or destruction of
1196 records, receiving stolen property or any other offense indicating a
1197 lack of business integrity or business honesty which affects
1198 responsibility as a state contractor;

1199 (3) Conviction of, or entry of a plea of guilty or nolo contendere or
1200 admission to, a violation of any state or federal antitrust, collusion or
1201 conspiracy law arising out of the submission of bids or proposals on a
1202 public or private contract or subcontract;

1203 (4) Accumulation of two or more suspensions pursuant to section
1204 4e-35 within a twenty-four-month period;

1205 (5) A wilful, negligent or reckless failure to perform in accordance
1206 with the terms of one or more contracts or subcontracts, agreements or
1207 transactions with state contracting agencies;

1208 (6) A history of failure to perform or of unsatisfactory performance
1209 on one or more public contracts, agreements or transactions with state
1210 contracting agencies;

1211 (7) A wilful violation of a statutory or regulatory provision or
1212 requirement applicable to a contract, agreement or transaction with
1213 state contracting agencies;

1214 (8) A wilful or egregious violation of the ethical standards set forth
1215 in sections 1-84, as amended by this act, [and] 1-86e and 1-101nn, as
1216 determined by the Citizen's Ethics Advisory Board; or

1217 (9) Any other cause or conduct the board determines to be so
1218 serious and compelling as to affect responsibility as a state contractor,
1219 including, but not limited to:

1220 (A) Disqualification by another state for cause;

1221 (B) The fraudulent or criminal conduct of any officer, director,
1222 shareholder, partner, employee or other individual associated with a
1223 contractor, bidder or proposer of such contractor, bidder or proposer,
1224 provided such conduct occurred in connection with the individual's
1225 performance of duties for or on behalf of such contractor, bidder or
1226 proposer and such contractor, bidder or proposer knew or had reason
1227 to know of such conduct;

1228 (C) The existence of an informal or formal business relationship
1229 with a contractor who has been disqualified from bidding or
1230 proposing on state contracts of any state contracting agency.

1231 Sec. 25. Section 12-557d of the general statutes is repealed and the
1232 following is substituted in lieu thereof (*Effective October 1, 2011*):

1233 (a) There shall be a Gaming Policy Board within the Division of
1234 Special Revenue. Said board shall consist of five members appointed
1235 by the Governor with the advice and consent of both houses of the
1236 General Assembly. Not more than three members of said board in
1237 office at any one time shall be members of the same political party. On
1238 or before July 1, 1979, the Governor shall nominate three members
1239 who shall serve until July 1, 1981, and two members who shall serve
1240 until July 1, 1983. The General Assembly shall confirm or reject such
1241 nominations in the manner prescribed by section 4-7 before
1242 adjournment sine die of the 1979 regular session, except that if the
1243 nominations cannot be acted on by both houses of the General
1244 Assembly during said regular session, the General Assembly shall
1245 confirm or reject the nominations at a special session which shall be
1246 called, notwithstanding sections 2-6 and 2-7, immediately following
1247 adjournment sine die of the 1979 session reconvened in accordance
1248 with article third of the amendments to the Constitution of
1249 Connecticut, except that if no session is held pursuant to said article,
1250 the General Assembly shall meet in special session, notwithstanding
1251 sections 2-6 and 2-7, not later than August 1, 1979, to confirm or reject
1252 such nominations. Any special session called pursuant to this section
1253 shall be held for the sole purpose of confirming or rejecting the initial
1254 nominations made by the Governor to the board. Thereafter members
1255 shall serve for a term of four years and the procedure prescribed by
1256 section 4-7 shall apply to such appointments, except that the Governor
1257 shall submit such nominations on or before May first, and both houses
1258 shall confirm or reject the nominations before adjournment sine die.
1259 Members shall receive fifty dollars per day for each day they are
1260 engaged in the business of the board and shall be reimbursed for

1261 necessary expenses incurred in the performance of their duties. The
1262 executive director shall serve on the board ex officio without voting
1263 rights.

1264 (b) To insure the highest standard of legalized gambling regulation
1265 at least four of the board members shall have training or experience in
1266 at least one of the following fields: Corporate finance, economics, law,
1267 accounting, law enforcement, computer science or the pari-mutuel
1268 industry. At least two of these fields shall be represented on the board
1269 at any one time.

1270 (c) No board member shall accept any form of employment by a
1271 business organization regulated under this chapter for a period of two
1272 years following the termination of his service as a board member. The
1273 provisions of sections 1-82, as amended by this act, 1-82a and 1-88, as
1274 amended by this act, shall apply to any alleged violation of this
1275 subsection.

1276 (d) No board member shall engage in any oral ex parte
1277 communications with any representative, agent, officer or employee of
1278 any business organization regulated under this chapter concerning any
1279 matter pending or impending before the board.

1280 (e) The members of the board shall not participate actively in
1281 political management and campaigns. Such activity includes holding
1282 office in a political party, political organization or political club,
1283 campaigning for a candidate in a partisan election by making speeches,
1284 writing on behalf of a candidate, soliciting votes in support of or in
1285 opposition to a candidate and making contributions of time and
1286 money to political parties.

1287 (f) The Division of Special Revenue shall provide staff support for
1288 the board.

1289 Sec. 26. Subsection (d) of section 16-2 of the general statutes is
1290 repealed and the following is substituted in lieu thereof (*Effective*

1291 *October 1, 2011*):

1292 (d) The commissioners of the authority shall serve full time and
1293 shall [make full public disclosure of their assets, liabilities and income
1294 at the time of their appointment, and thereafter each member of the
1295 authority shall make such disclosure on or before July thirtieth of each
1296 year of such member's term, and shall file such disclosure with the
1297 office of the Secretary of the State] file a statement of financial interests
1298 with the Office of State Ethics in accordance with section 1-83, as
1299 amended by this act. Each commissioner shall receive annually a
1300 salary equal to that established for management pay plan salary group
1301 seventy-five by the Commissioner of Administrative Services, except
1302 that the chairperson shall receive annually a salary equal to that
1303 established for management pay plan salary group seventy-seven.

1304 Sec. 27. Subsection (k) of section 16-2 of the general statutes is
1305 repealed and the following is substituted in lieu thereof (*Effective*
1306 *October 1, 2011*):

1307 (k) No commissioner of the authority shall, for a period of one year
1308 following the termination of his or her service as a commissioner,
1309 accept employment: (1) By a public service company or by any person,
1310 firm or corporation engaged in lobbying activities with regard to
1311 governmental regulation of public service companies; (2) by a certified
1312 telecommunications provider or by any person, firm or corporation
1313 engaged in lobbying activities with regard to governmental regulation
1314 of persons, firms or corporations so certified; or (3) by an electric
1315 supplier or by any person, firm or corporation engaged in lobbying
1316 activities with regard to governmental regulation of electric suppliers.
1317 No such commissioner who is also an attorney shall in any capacity,
1318 appear or participate in any matter, or accept any compensation
1319 regarding a matter, before the authority, for a period of one year
1320 following the termination of his or her service as a commissioner. The
1321 provisions of sections 1-82, as amended by this act, 1-82a and 1-88, as
1322 amended by this act, shall apply to any alleged violation of this

1323 subsection.

1324 Sec. 28. Section 52-259a of the general statutes is repealed and the
1325 following is substituted in lieu thereof (*Effective October 1, 2011*):

1326 (a) Any member of the Division of Criminal Justice or the Division
1327 of Public Defender Services, any employee of the Judicial Department,
1328 acting in the performance of such employee's duties, the Attorney
1329 General, an assistant attorney general, the Consumer Counsel, any
1330 attorney employed by the Office of Consumer Counsel within the
1331 Department of Public Utility Control, the Department of Revenue
1332 Services, the Commission on Human Rights and Opportunities, the
1333 Freedom of Information Commission, the Board of Labor Relations,
1334 the Office of Protection and Advocacy for Persons with Disabilities, the
1335 Office of the Victim Advocate or the Department of Social Services, the
1336 Office of State Ethics or any attorney appointed by the court to assist
1337 any of them or to act for any of them in a special case or cases, while
1338 acting in such attorney's official capacity or in the capacity for which
1339 such attorney was appointed, shall not be required to pay the fees
1340 specified in sections 52-258, 52-259, and 52-259c, subsection (a) of
1341 section 52-356a, subsection (a) of section 52-361a, section 52-367a,
1342 subsection (b) of section 52-367b and subsection (n) of section 46b-231.

1343 (b) (1) The Immigration and Naturalization Service shall not be
1344 required to pay any fees specified in section 52-259 for any certified
1345 copy of any criminal record.

1346 (2) The Office of the Federal Public Defender shall not be required to
1347 pay any fees specified in section 52-259 for any certified copy of any
1348 criminal record.

1349 (3) An employee of the United States Probation Office, acting in the
1350 performance of such employee's duties, shall not be required to pay
1351 any fee specified in section 52-259 for any certified copy of any
1352 criminal record.

1353 Sec. 29. (NEW) (*Effective July 1, 2011*) The Office of State Ethics shall
1354 adopt regulations, in accordance with the provisions of chapter 54 of
1355 the general statutes, to establish guidelines for the determination of
1356 when lobbying is within the scope of a person's employment, as
1357 described in sections 1-94 and 1-96 of the general statutes, as amended
1358 by this act. Such regulations shall, at a minimum, address the
1359 distinction between a person who is specifically directed by such
1360 person's employer to lobby and a person who is not specifically
1361 directed by such person's employer to lobby.

1362 Sec. 30. Subsection (p) of section 1-84 of the general statutes is
1363 repealed and the following is substituted in lieu thereof (*Effective*
1364 *October 1, 2011*):

1365 (p) (1) No public official or state employee or member of the
1366 immediate family of a public official or state employee shall knowingly
1367 accept, directly or indirectly, any gift or gifts costing one hundred
1368 dollars or more in the aggregate in any calendar year from a public
1369 official or state employee who is under the supervision of such public
1370 official or state employee.

1371 (2) No public official or state employee or member of the immediate
1372 family of a public official or state employee shall knowingly accept,
1373 directly or indirectly, any gift or gifts costing one hundred dollars or
1374 more in the aggregate in any calendar year from a public official or
1375 state employee who is a supervisor of such public official or state
1376 employee.

1377 (3) No public official or state employee shall knowingly give,
1378 directly or indirectly, any gift in violation of subdivision (1) or (2) of
1379 this subsection.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>October 1, 2011</i>	1-79

Sec. 2	October 1, 2011	1-80
Sec. 3	October 1, 2011	1-81
Sec. 4	from passage	1-82(e)
Sec. 5	October 1, 2011	1-83(a)
Sec. 6	October 1, 2011	1-84(j)
Sec. 7	October 1, 2011	1-84(m)
Sec. 8	October 1, 2011	1-84c
Sec. 9	October 1, 2011	1-86(a)
Sec. 10	October 1, 2011	1-88(d)
Sec. 11	October 1, 2011	1-91
Sec. 12	October 1, 2011	1-92(a)
Sec. 13	October 1, 2011	1-92(e)
Sec. 14	July 1, 2011	1-94
Sec. 15	July 1, 2011	1-95
Sec. 16	October 1, 2011	1-96
Sec. 17	October 1, 2011	1-96d
Sec. 18	October 1, 2011	1-97(a)
Sec. 19	October 1, 2011	1-100b(a)
Sec. 20	from passage	1-100b(c)
Sec. 21	October 1, 2011	2-16a
Sec. 22	October 1, 2011	3-131(b)
Sec. 23	October 1, 2011	4-250
Sec. 24	October 1, 2011	4e-34(b)
Sec. 25	October 1, 2011	12-557d
Sec. 26	October 1, 2011	16-2(d)
Sec. 27	October 1, 2011	16-2(k)
Sec. 28	October 1, 2011	52-259a
Sec. 29	July 1, 2011	New section
Sec. 30	October 1, 2011	1-84(p)

Statement of Purpose:

To permit the Office of State Ethics to recover the amount of any financial benefit received by a state contractor for certain violations, to add a violation of section 1-101nn of the general statutes to the list of violations that are grounds for disqualifying a state contractor, to give the Office of State Ethics the authority to interpret Parts III and IV of chapter 10 of the general statutes, to limit gift giving between supervisors and subordinates to one hundred dollars per year, to give the Office of State Ethics the ability to enforce the revolving door restrictions applying to former Gaming Policy Board members and

Department of Public Utility Control Commissioners, to increase the threshold for lobbyist registration to \$3,000, to require lobbyists to report gifts to state employees, to limit certain lobbyist registration and reporting requirements to those who lobby within the scope of employment, to replace inaccurate references to the State Ethics Commission, to add other agencies to the definition of quasi-public agencies, to change certain reporting dates, to add employer other than the state to a conflict of interest provision, and to exempt the Office of State Ethics from certain fee requirements.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]