



General Assembly

February Session, 2010

Raised Bill No. 351

LCO No. 1707

01707_____ET_

Referred to Committee on Energy and Technology

Introduced by:
(ET)

**AN ACT CONCERNING TELECOMMUNICATIONS COMPANIES'
AUDITS AND FILINGS.**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. (NEW) (*Effective July 1, 2010*) The date and time of filing of
2 each document with the Department of Public Utility Control shall be
3 the date and time by which the department first receives a complete
4 electronic or paper version of such document provided such electronic
5 or paper version is filed in accordance with section 16-1-14 of the
6 regulations of Connecticut state agencies. If payment of a fee is
7 required to accompany such document, the department shall not deem
8 a document to be filed until the department receives the fee. If a
9 document is electronically submitted outside of the department's
10 normal business hours, the department shall deem the document to be
11 filed at the time the department's offices next open. The department
12 shall not require paper versions of electronic filings to be filed, except
13 (1) at the request of the department, one paper copy shall be sent to the
14 department via regular first class United States mail, and (2) at the
15 request of any party or intervenor in a specific department docket who
16 does not have computer access, the department may request one paper

17 copy be sent to said party or intervenor via first class United States
18 mail. The department shall amend section 16-1-14 of the regulations of
19 Connecticut state agencies in accordance with chapter 54 of the general
20 statutes to comply with the provisions of this section.

21 Sec. 2. Section 16-32 of the general statutes is repealed and the
22 following is substituted in lieu thereof (*Effective October 1, 2010*):

23 Each public service company, except telegraph companies and
24 express companies subject to the jurisdiction of the Interstate
25 Commerce Commission or its successor agency and telephone
26 companies owned, directly or indirectly, by a parent company, the
27 accounts and operations of which are required to be audited annually
28 in accordance with federal law, shall have an annual comprehensive
29 audit and report made of its accounts and operations by independent
30 public accountants satisfactory to the Department of Public Utility
31 Control. A copy of such annual audit report shall be filed with the
32 department, together with the company's annual report. In the absence
33 of such an audit report, or if the department, after notice and
34 opportunity for a hearing, determines that such audit report is
35 insufficient or unsatisfactory, the department shall cause such an audit
36 to be made at the expense of the company either by independent
37 public accountants satisfactory to the department or by any staff of the
38 department engaged in the activities contemplated by subsection (b) of
39 section 16-8. When requiring an additional audit from a telephone
40 company otherwise exempt from the audit required pursuant to this
41 section because its accounts and operations are required to be audited
42 annually in accordance with federal law, the department shall specify
43 its reasons for requiring the additional audit and why the requested
44 additional audit will provide information different than the audit filed
45 with the annual report. The department may waive the compliance
46 with the provisions of this section by any public service company
47 whose annual gross income is less than one hundred thousand dollars.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>July 1, 2010</i>	New section
Sec. 2	<i>October 1, 2010</i>	16-32

Statement of Purpose:

To clarify for telecommunications companies when a document is considered filed with the Department of Public Utility Control and eliminate the need for a paper version of a filing sent electronically and when audits are required.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]