



# House of Representatives

**File No. 631**

General Assembly

February Session, 2010

**(Reprint of File No. 451)**

Substitute House Bill No. 5404  
As Amended by House Amendment  
Schedule "A"

Approved by the Legislative Commissioner  
April 23, 2010

***AN ACT CONCERNING THE NONDISCLOSURE OF CERTAIN  
INFORMATION REGARDING CERTAIN EMPLOYEES TO INMATES  
UNDER THE FREEDOM OF INFORMATION ACT.***

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1       Section 1. (NEW) (*Effective from passage*) A personnel or medical file  
2 or similar file concerning a current or former employee of the  
3 Department of Correction or the Department of Mental Health and  
4 Addiction Services, including, but not limited to, a record of a security  
5 investigation of such employee by the department or an investigation  
6 by the department of a discrimination complaint by or against such  
7 employee, shall not be subject to disclosure under the Freedom of  
8 Information Act, as defined in section 1-200 of the general statutes, to  
9 any individual committed to the custody or supervision of the  
10 Commissioner of Correction or confined in a facility of the Whiting  
11 Forensic Division of the Connecticut Valley Hospital. For the purposes  
12 of this section, an "employee of the Department of Correction" includes  
13 a member or employee of the Board of Pardons and Paroles within the  
14 Department of Correction.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>from passage</i>	New section

The following Fiscal Impact Statement and Bill Analysis are prepared for the benefit of the members of the General Assembly, solely for purposes of information, summarization and explanation and do not represent the intent of the General Assembly or either chamber thereof for any purpose. In general, fiscal impacts are based upon a variety of informational sources, including the analyst's professional knowledge. Whenever applicable, agency data is consulted as part of the analysis, however final products do not necessarily reflect an assessment from any specific department.

**OFA Fiscal Note**

**State Impact:**

Agency Affected	Fund-Effect	FY 10 \$	FY 11 \$
Correction, Dept.	GF - Cost Avoidance	Potential Minimal	Potential Minimal

Note: GF=General Fund

**Municipal Impact:** None

**Explanation**

Should enactment of this bill reduce the number of freedom of information act (FOIA) requests involving the Departments of Correction (DOC) or Mental Health and Addiction Services (DMHAS), the affected agency would avoid incurring potential minimal costs associated with overtime pay to ensure shift coverage for staff preparing for/attending hearings and mileage reimbursement.

Any such reduction in the volume of annual requests involving these agencies would be expected to be few in number. Thirteen FOIA requests involving personnel records of DOC staff (with ten resulting hearings) have occurred since May 2007. Approximately eighty man-hours on average have been dedicated by DOC employees to each case. The DMHAS has responded to seven requests involving personnel records since 2007.

This bill could also result in a reduction of workload to the Freedom of Information Commission (FOIC), to the extent that the bill results in a reduction of FOIA requests. Since the current number of FOIA requests for DOC employee files makes up a small percentage of FOIC's workload, this will not result in any fiscal impact.

House "A" changes the bill's effective date from 7/1/10 to upon

passage, thus causing the fiscal impact described above to apply to FY 10 as well as future fiscal years.

***The Out Years***

The annualized ongoing fiscal impact identified above would continue into the future subject to inflation.

---

**OLR Bill Analysis****sHB 5404 (as amended by House "A") \******AN ACT CONCERNING THE NONDISCLOSURE OF CERTAIN INFORMATION REGARDING CERTAIN EMPLOYEES TO INMATES UNDER THE FREEDOM OF INFORMATION ACT.*****SUMMARY:**

This bill exempts from disclosure under the Freedom of Information Act (FOIA) personnel, medical, or similar files about current or former employees of the (1) Department of Correction (DOC), including members and employees of the Board of Pardons and Paroles, and (2) the Department of Mental Health and Addiction Services (DMHAS) to people in DOC custody or supervision or confined in a facility of the Whiting Forensic Division of Connecticut Valley Hospital. The exemption includes records of (1) the departments' security investigations of such employees and (2) investigations of discrimination complaints by or against the employees. The bill does not define a "similar file."

Under current law, personnel, medical, and similar files are subject to disclosure under FOIA unless disclosure would constitute an invasion of personal privacy (CGS § 1-210(b)(2)). Under case law, disclosing these files is an invasion of privacy if the (1) records are not of legitimate public concern and (2) information in the files would be highly offensive to a reasonable person (*Perkins v. FOI*, 228 Conn. 158 (1993)).

\*House Amendment "A" changes the bill's effective date from July 1, 2010 to upon passage.

EFFECTIVE DATE: Upon passage

**BACKGROUND*****FOIA Requests by Inmates to Other Agencies***

A public agency that receives a FOIA request from someone confined in a DOC or Whiting Forensic Division facility must notify the DOC or DMHAS commissioner before complying with the request. The appropriate commissioner can withhold the record if he or she believes that the requested record is exempt from disclosure under FOIA as a safety risk, including the risk of harm to someone, escape, or disorder in a facility.

***Related Bill***

sSB 221, favorably reported by the Judiciary Committee, is identical to this bill.

**COMMITTEE ACTION**

Government Administration and Elections Committee

Joint Favorable Substitute

Yea 15    Nay 0    (03/22/2010)