

Average Percentage of Licensing Deficiencies for Public and Privately Run Community Living Arrangements (CLA's) for a 2 Year Period - 01 July 2007 to 30 June 2009

Licensing Standard Summary	Private	Public
Plans of Corrections to Previous Citations	4%	13%
Annual License Renewal Timeliness	8%	16%
Policies and Procedures	9%	12%
Physical Requirements of CLA's	8%	12%
Emergency Planning Preparedness	8%	12%
Staff Development Requirement	24%	39%
Special Protections of Consumer Rights	3%	4%
Habilitative Services	10%	11%
Health Services	7%	6%
Financial Record Keeping	5%	6%

%'s are Based on 817 Licensing Reviews of which 95 were Public and 722 were Private.

Department of Developmental Services

Statewide, Public & Private CLA Licensing Review Performance Comparison

Percent of Deficiencies Per Number of Reviews

1 July 2007 - 30 June 2009

		<u>Statewide</u>	<u>Agency Type</u>	
		817	722	95
Number of Reviews:		All	Private	Public
Plans of Correction				
5b	POC implementation timeliness	5%	4%	13%
Annual License Renewal				
6a	Application packet timeliness	9%	8%	16%
Policies and Procedures				
10c	Written policy requirements	9%	9%	12%
Physical Requirements				
11a	Building code requirements	3%	3%	6%
11b	Fire Marshal's certificate	6%	5%	16%
11c	Home safety inspections	6%	6%	7%
11d	Hazard prevention	57%	55%	72%
11e	Furnishing good repair	33%	31%	47%
11f	Toileting/bathing facilities	5%	5%	9%
11g	Fire extinguishers	3%	3%	6%
11h	First aid supplies	1%	1%	1%
11m	Laundry facilities	0%	0%	1%
Emergency Planning				
12a	Residence emergency plan	27%	25%	45%
12b	Emergency response training/monthly fire drills	38%	36%	55%
12c	Corrective actions for fire drill problems	10%	10%	16%
Staff Development				
14a	Specified training requirements	17%	15%	35%
14b	Employee orientation training timeliness	1%	1%	2%
14b1	Signs and symptoms training timeliness	19%	19%	26%
14b2	Communicable disease control training timeliness	24%	20%	52%
14b3	Health and behavior training timeliness	25%	24%	38%
14b4	Residence routines training timeliness	23%	21%	35%
14b5	Residence emergency procedures training timeliness	20%	18%	31%
14c	Additional training timeliness	1%	1%	4%
14c1	First aid training timeliness	17%	14%	40%
14c2	Policies and procedures training timeliness	16%	14%	27%
14c3	Abuse and neglect prevention training timeliness	21%	18%	42%
14c4	Planning and provision of services training timeliness	15%	13%	24%
14c5	Behavioral emergency techniques training timeliness	20%	17%	37%
14d	CPR requirement	13%	13%	13%
14e	Written training summaries accessible	0%	0%	1%

Number of Reviews:	Statewide	Agency Type	
	817	722	95
	All	Private	Public

Special Protections

15a1	Fully informed of rights	13%	12%	20%
15a2	Confidentiality	3%	2%	6%
15a3	Personal property accountability	12%	10%	20%
15a4	Statutory rights of persons	7%	7%	7%
15a4A	Harm prohibited	1%	1%	2%
15a4B	Harm reporting and investigations	2%	2%	3%
15a4D	Harm reporting timeliness	4%	3%	5%
15b2	Behavior medication given by regulation	15%	14%	18%
15b3A	Behavioral techniques defined	3%	3%	4%
15b3B	Behavior management techniques authorized	12%	11%	15%
15b3C	Minimum force necessary	0%	0%	1%
15b3E	Restraint circumstances identified	1%	1%	1%
15b3G	Physical restraint usage	0%	0%	1%

Individual Records

16a	Complete record current and ongoing	1%	1%	2%
16b	Restraint reports current	0%	0%	2%

Habilitative Services

17a	Sound residential practice policy	2%	2%	5%
17b	OPS timeliness	1%	2%	1%
17d	OPS developed with stakeholders	4%	4%	4%
17e	OPS developed on individual's current status	13%	13%	8%
17f	OPS guides integrated program development	27%	27%	24%
17h	The OPS shall be reviewed at least minimally quarterly	33%	32%	39%
17i	Monthly monitoring of aversives	11%	11%	14%
17j	Data collected on programs	17%	16%	23%
17k	Annual OPS based on assessment	7%	7%	12%
17l	OPS implementation timeliness	2%	2%	3%

Health Services

18a1	Medication Administration Regulations	45%	45%	45%
18a2A	Medical treatment consent	1%	1%	1%
18a2B	Administration of medication consent	2%	2%	4%
18a2E	Ongoing health and injury	20%	20%	22%
18a3	Nursing service provision	2%	2%	1%
18a3A	Coordination, assessment, monitoring of medical services	33%	35%	20%
18a3B	Planning and implementation of staff training	11%	12%	5%
18a4	Medical exams assured	3%	3%	3%
18a4A	Medical testing and follow-up	23%	23%	21%
18a4B	Medical documentation	12%	13%	7%
18b1	Dental exams and follow-up	8%	8%	4%
18c2	Special diet requirements	6%	6%	7%

1 July 2007 - 30 June 2009

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Financial Records

19a	Financial policies and procedures	3%	3%	2%
19a1	Financial accountability	18%	17%	26%
19a2	Individual financial records	4%	4%	2%
19a3	Receipt requirements	2%	2%	1%