

State of Connecticut

GENERAL ASSEMBLY

STATE CAPITOL

HARTFORD, CONNECTICUT 06106-1591

To: Senator Toni N. Harp
Representative John C. Geragosian
Co-Chairpersons, Appropriations Committee

Senator Eric D. Coleman
Representative Brendan Sharkey
Co-Chairpersons, Planning and Development Committee

Senator Gary D. Lebeau
Representative Jeffery J. Berger
Co-Chairpersons, Commerce Committee

From: Donald E. Williams, Jr., Senate President Pro Tempore
James A. Amann, Speaker of the House

Re: FY 2009 Federal Small Cities Community Development Block Grant

Date: May 4, 2009

Pursuant to Connecticut General Statutes Section 4-28b, we are submitting the Governor's allocation plan for the Federal Small Cities Community Development Block Grant Federal fiscal year 2009 program to you for your approval or modifications.

Thank you for your consideration of these recommendations.

Sincerely,

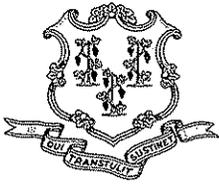
Handwritten signature of Donald E. Williams, Jr. in black ink.

Donald E. Williams, Jr.
Senate President Pro Tempore

Handwritten signature of Christopher G. Donovan in black ink.

Christopher G. Donovan
Speaker of the House

Cc: Susan Keane, Senior Committee Administrator, Appropriations Committee
David Velez, Clerk, Planning & Development Committee
Kathy Salemi, Clerk, Commerce Committee
Anne Foley, Senior Policy Advisor, Office of Policy and Management



M. JODI RELL
GOVERNOR

STATE OF CONNECTICUT
EXECUTIVE CHAMBERS

May 1, 2009

Honorable Christopher Donovan
Speaker
Connecticut House of Representatives
Room 4100 Legislative Office Building
Hartford, CT 06106

Dear Representative Donovan,

Pursuant to Section 4-28b of the Connecticut General Statutes, I am pleased to transmit, for legislative review, an allocation plan for the Community Development Block Grant for the federal fiscal year 2009.

Thank you for your attention to this matter.

Sincerely,


M. Jodi Reil
Governor

Small Cities Community Development Block Grant Program

Allocation Plan - Federal Fiscal Year 2009



DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

Prepared for Submission to Office of Policy and Management

April 2009

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I. OVERVIEW OF SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

A. Purpose

The primary statutory objective of the CDBG Program is to develop viable communities by providing decent housing, a suitable living environment, and by expanding economic opportunities for persons of low and moderate-income. To achieve these goals, the CDBG regulations outline eligible activities and national objectives that each activity must meet.

The Small Cities Community Development Block Grant (CDBG) is administered by the United States Department of Housing and Urban Development (HUD). The Connecticut Department of Economic and Community Development (DECD) is designated as the principal state agency for the allocation and administration of CDBG within the State of Connecticut.

In 1981, Congress amended the Housing and Community Development Act of 1974 to give each state the opportunity to administer CDBG funds for "non-entitlement areas." Non-entitlement areas include those units of general local government that do not receive CDBG funds directly from HUD as part of the entitlement program. Non-entitlement areas in Connecticut are generally cities and towns with populations of less than 50,000 or, unless designated a central city of an area. States participating in the "Small Cities" CDBG Program have three major responsibilities; formulating community development objectives, deciding how to distribute funds among communities in non-entitlement areas, and ensuring that recipient communities comply with applicable state and federal laws and requirements.

Entitlement communities receive annual grants directly from HUD as part of the CDBG entitlement program. Listed below are Connecticut municipalities that are entitlement communities and therefore are ineligible for state administered Small Cities CDBG funds.

CDBG ENTITLEMENT COMMUNITIES (Municipalities <u>not</u> eligible for state administered Small Cities CDBG funds)		
Bridgeport	Hartford	New London
Bristol	Manchester	Norwalk
Danbury	Meriden	Norwich
East Hartford	Middletown	Stamford
Fairfield	Milford (Town)	Stratford
Greenwich	New Britain	Waterbury
Hamden (Town)	New Haven	West Hartford
		West Haven

All other Connecticut municipalities are eligible for the state administered Small Cities CDBG funds.

B. Major Use of Funds

States must distribute the Small Cities CDBG funds to units of local governments in non-entitlement areas. States must also ensure that at least 70% of its Small Cities CDBG grant funds are used for activities that benefit low-and-moderate-income persons over a one, two, or three year time period selected by the state. All activities must meet one of the following national objectives for the program; benefit low-and moderate-income persons, prevention or elimination of slums or blight, and community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community. A need is considered urgent if it is sudden and unexpected, has arisen in the past 18 months and no other funding is available to address it.

Activities that can be funded with Small Cities CDBG dollars include; acquisition of real property, acquisition and construction of public works and facilities, code enforcement, relocation assistance, reconstruction and rehabilitation of residential and nonresidential properties, American Disability Act (ADA) improvements, provision of public services including but not limited to those concerned with employment, crime prevention, child

care, health, drug abuse, education and fair housing counseling, provision of special economic development assistance, assistance to Community-Based Development Organizations (CBDO's) for neighborhood revitalization, community economic development, energy conservation projects, homeownership assistance, fair housing, planning, and administrative costs including actions to meet the grantee's certification to affirmatively further fair housing. Broad program categories for Small Cities CDBG eligible activities include; Housing, Public Services, Public Facilities, Economic Development, and Planning.

Any activity not listed in the Housing and Community Development Act is ineligible to be assisted with Small Cities CDBG funds. For example, the following activities may not be assisted with Small Cities CDBG funds; buildings for the general conduct of government (i.e. city hall), except for ADA improvements, general government expenses, political activities, new housing construction except under certain conditions or when carried out by a CBDO, and income payments.

Applications are selected based on their consistency with the state's most recently approved Consolidated Plan and the evaluation criteria outlined in the Action Plan. However, the state has flexibility to not fund an application that is determined to be infeasible.

C. Federal Allotment Process

A state's allotment is based on one of two formulas, whichever gives a larger allotment. Allocations are made on the basis of a dual formula that takes into account the factors of: population, poverty, overcrowded housing, age of housing, and growth lag.

The average of the ratios between:

- The population of the non-entitlement areas in that state and the population of the non-entitlement areas of all states;
- The extent of poverty in the non-entitlement areas in that state and the extent of poverty in the non-entitlement areas of all states; and

- The extent of housing overcrowding in the non-entitlement areas in that state and the extent of housing overcrowding in the non-entitlement areas of all states.

The average of the ratios between:

- The age of housing in the non-entitlement areas in that state and the age of housing in the non-entitlement areas of all states;
- The extent of poverty in the non-entitlement areas in that state and the extent of poverty in the non-entitlement areas of all states; and
- The population of the non-entitlement areas in that state and the population of the non-entitlement areas of all states.

In determining the average of the ratios referenced above, the ratio involving the extent of poverty shall be counted twice and each of the other ratios shall be counted once. In determining the average of the ratios referenced above; the ratio involving the age of housing shall be counted two and one-half times, the ratio involving the extent of poverty shall be counted one and one-half times, and the ratio involving population shall be counted once. The (HUD) Secretary shall, in order to compensate for the discrepancy between the total of the amounts to be allocated under this paragraph and the total of the amounts available under such paragraph, make a pro rata reduction of each amount allocated to the non-entitlement areas in each state under such paragraph so that the non-entitlement areas in each state will receive an amount which represents the same percentage of the total amount available under such paragraph as the percentage which the non-entitlement areas of the same state would have received under such paragraph if the total amount available under such paragraph had equaled the total amount which was allocated under such paragraph.

D. Anticipated Federal Funding

HUD funding for Connecticut's non-entitlement Small Cities CDBG Program for Federal Fiscal Year (FFY) 09/State Fiscal Year (SFY) 10 is anticipated to be \$13,330,342. Funding levels are subject to congressional action, which could affect an increase,

reduction or elimination of funding in the amount noted. It should be noted that HUD's budget has continued to be reduced over the past several years.

Decreases in Connecticut's non-entitlement Small Cities CDBG Program funding allocations are a direct result of cuts to the program nationally. Last year FFY 08 (SFY 9), Connecticut's funding level was \$400,645 less than the previous year's allocation, which was a 3% cut.

E. Estimated Committed Funds and Proposed Allocations

FFY 08 (SFY 09) Refer to Table A, Column 2

- HUD's annual Small Cities CDBG allocation to Connecticut for FFY 08 (SFY 09) was \$13,330,342;
- Administrative costs subtracted from the allocation was \$499,910;
- The amount of uncommitted balance carried forward from FFY 07 (SFY 08) to FFY 08 (SFY 09) was \$372,096. "Uncommitted balance carried forward" are funds from any previous fiscal years that were not committed. At the end of the state fiscal year, funds that remain un-committed are carried forward into the new fiscal year;
- The amount of recaptured funds available for reprogramming for FFY 08 (SFY 09) was \$0. "Recaptured funds" are funds that are returned to DECD from grantees such as: Small Cities CDBG funds returned to DECD from non-performing grantees, including grantee's underutilized funds, and program income generated by grantees. Program income is gross income received by a grantee that has been directly generated from the use of Small Cities CDBG funds (i.e. a grantee that sets up a revolving loan fund and receives payments of principal and interest on the loans made using CDBG funds). Recaptured funds may also be reallocated during the program year based on demand and/or emergency situations;
- The total funds available to fund projects in FFY 08 (SFY 09), was \$13,202,528 (FFY 08 allocation of \$13,330,342, minus administrative costs of \$499,910 plus the reallocation total of \$372,096);

- Committed funds for FFY 08 (SFY 09), the amount of \$12,944,000 reflects the total for the projects awarded under the competitive application process. \$258,528 is being set aside to respond to "Urgent Need Applications" that may arise during the program year. If the funds are not used for Urgent Need applications, they would be carried forward to the following year; and
- The amount to be carried forward to FFY 09 (SFY 10) is estimated to be \$ 258,528 (total funds available to fund projects of \$13,202,528 minus estimated committed funds of \$12,944,000). Since \$258,528 would be carried forward from FFY 08 (SFY 09) to FFY 09 (SFY 10), approximately \$13,088,960 of next year's federal allocation would be available to fund projects.

FFY 09 (SFY 10) Refer to Table A, Column 3

- The federal Small Cities CDBG allocation for FFY 09 (SFY 10) is anticipated to be \$13,330,342;
- Proposed administrative costs total \$499,910;
- The amount of carry forward funds from FFY 08 (SFY 09) to FFY 09 (SFY 10) is anticipated to be \$ 258,528; and
- The total amount of Small Cites CDBG funds anticipated to be available to fund projects in FFY 09 (SFY 10) is \$13,088,960, FFY 09 (SFY 10) allocation of \$13,330,342 minus administrative costs of \$499,910 plus the carried forward funds from the previous year(s) of \$258,528.

F. Proposed Allocation Changes From Last Year

There are no allocation changes proposed for FFY 09 (SFY 10). After allowable administration costs including those for technical assistance are deducted the remainder of the Small Cities CDBG funding is available for projects.

In 2004 the state prepared its 2005-09 Consolidated Plan for Housing and Community Development (ConPlan). This plan replaced the previous five-year plan (2000-04 ConPlan). The final draft of the 2005-09 ConPlan was submitted, via Email to the members of the State Legislature's Appropriations Committee, Commerce Committee,

Planning and Development Committee and the Chairs of the Housing Sub-Committee during the public commentary period for this plan so that they would have an opportunity to review it. The 2009-10 Action Plan for Housing and Community Development, which includes the state's Small Cities CDBG Program, for which this allocation plan was prepared, was also sent electronically to the aforementioned committees and chairpersons in advance of the legislative hearing on this allocation plan so that they would have an opportunity to review it.

The state's 2005-09 ConPlan was submitted to HUD on January 11, 2005. It has since been approved by HUD and took effect July 1, 2005. The 2009-10 Action Plan and this allocation plan are the fifth to be developed in accordance with the 2005-09 ConPlan, and reflect the goals, objectives, strategies, and measures of the 2005-09 ConPlan. The 2006-07 Action Plan incorporated HUD's new Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs. For more information on the state's goals, objectives, strategies and measures, please refer to the state's "2005-09 ConPlan" located at:

http://www.ct.gov/ecd/lib/ecd/decd_consolidated_plan_for_housing_and_community_development.pdf

In addition, please refer to "Table C" of this allocation plan which contains the state's performance measures for the FFY 07 (SFY 08) Small Cities CDBG allocation. The performance measures include the state's ConPlan Goals, National Objectives, and measures.

G. Contingency Plan

This allocation plan has been prepared under the assumption that the FFY 09 (SFY 10) Small Cities CDBG Program for Connecticut will be funded at the level of \$13,330,342. The Small Cities CDBG funds are subject to the availability from the federal government. In the event that anticipated funding is reduced or increased, funds will be distributed in accordance with the Action Plan guidelines in effect as of the date of

reallocation. An increase in funding would simply allow more activities to be funded while a decrease in funding would mean less funded activities.

H. State Allocation Planning Process

Per federal regulations, the DECD prepared the state's allocation plan (annual Action Plan for Housing and Community Development), for federal funding received from HUD, in accordance with Connecticut's 2005-09 Consolidated Plan for Housing and Community Development (ConPlan). This allocation plan includes Small Cities CDBG funding, among other federal funds.

DECD solicited public input in the development of the draft 2009-10 Action Plan. Citizen participation was requested at two public hearings conducted by DECD on September 5, 2008 in Rocky Hill and September 8, 2008 in Hamden. Notification for the hearings appeared in seven newspapers around the state. The draft Action Plan was prepared based on program history/activity and the state's 2005-09 Consolidated Plan for Housing and Community Development. Agency staff formed an Advisory Committee that also provided input for the draft Action Plan.

DECD then elicited comments on the draft 2009-10 Action Plan through a 30-day public comment period from November 21, 2008 to December 22, 2008. Public input was also requested at three public hearings held on December 8, 2008 in Hamden, December 10, 2008 in Coventry and December 11, 2008 in Rocky Hill. Notification for the hearings and public comment period appeared in seven newspapers around the state.

In addition to the public hearings and commentary period, the DECD posted the draft Action Plan on the agency's web site. This was noted in the public notices. The DECD also submitted, via e-mail, to the members of the State Legislature's Appropriations Committee, Commerce Committee, Planning and Development Committee and Chairs of the Housing Sub-Committee, a copy of the draft Action Plan.

The Municipal Chief Elected Officials of all non-entitlement communities in the state received a DECD notice informing them of the public commentary period and public

hearings. The draft Action Plan was also made available at all of Connecticut's Regional Planning Agencies during the 30-day commentary period.

I. Grant Provisions

The Small Cities CDBG Program requires the state to certify that:

- It is following a detailed citizen participation plan and that each funded unit of general local government is following a detailed citizen participation plan;
- It has consulted with affected units of general local government in the non-entitled areas in determining the method of distribution of funding, it engages or will engage in planning for community development activities, it will provide assistance to units of general local government, it will not refuse to distribute funds to any unit of general local government based on the particular eligible activity chosen by the unit of general local government, except that a state is not prevented from establishing priorities based on the activities selected;
- It has a Consolidated Plan that identifies community development and housing needs and short-term and long-term community development objectives;
- It will conduct its program in accordance with the Civil Rights Act of 1964 and the Fair Housing Act of 1988 and will affirmatively further fair housing;
- It will set forth a method of distribution that ensures that each of the funded activities will meet one or more of the three broad national objectives described in the "Major Use of Funds" section of this plan and at least 70% of the amount expended for activities over a period of one, two, or three consecutive program years will benefit low-and moderate-income families;
- It will require units of general local government to certify that they are adapting and enforcing laws to prohibit the use of excessive force against nonviolent civil rights demonstrations, and they will enforce laws against barring entrance and exit from facilities that are the targets of nonviolent civil rights demonstrations in their jurisdiction; and
- It will comply with Title I of the HCD Act and all other applicable laws.

J. Matching Requirement

The state is required to pay from its own resources all administrative costs incurred by the state in carrying out its responsibilities under subpart 24CFR570.489(a)(1), except that the state may use Small Cities CDBG funds to pay such costs in an amount not to exceed \$100,000 plus 50% of such costs in excess of \$100,000. States are, therefore, required to match such costs in excess of \$100,000 on a dollar for dollar basis. The amount of Small Cities CDBG funds used to pay such costs in excess of \$100,000 shall not exceed 2% of the aggregate of the state's annual grant.

K. Program Fund Limitations or Caps

The Small Cities CDBG regulations limit the amount of funding that can be used for public service activities. The limit is based on expenditures for a given year's allocation of money not to exceed 15%, plus program income. States and local governments cannot spend more than 20% of their Small Cities CDBG grant, plus program income (repayments generated by grantees), plus funds reallocated in the period the final statement for the annual grant is in effect on planning and administration. The 20% limit applies to the state Small Cities CDBG Program as a whole and does not apply to each individual grant that states make to units of general local government.

States may use up to 1% of their annual allocation to provide technical assistance to local governments and nonprofit organizations, either directly or through contractors, to assist them in carrying out community development activities.

L. Federal Requirements

The following federal requirements also apply to the Small Cities CDBG program; Davis Bacon, National Environmental Policies Act (including 24 CFR Part 58 Environmental Review Procedures), Relocation Act, and Administrative Requirements of OMB Circular No. 87.

M. Application and Planning Requirement

The state's application process to HUD for Small Cities CDBG funding includes the submission of an annual Action Plan. The annual Action Plan updates the State of Connecticut's Consolidated Plan (ConPlan), a five-year planning document for Housing and Community Development. The 2005-09 ConPlan took effect July 1, 2005 and governs the state's use and administration of four formula-distribution federal programs (Small Cities CDBG, HOME, ESG, HOPWA) for the subsequent five years. The annual Action Plan must contain an allocation plan by which the state will distribute its Small Cities CDBG funds. Following the Legislative hearing, DECD will submit the Action Plan to HUD as part of their application package. HUD's approval of the Action Plan is provided when the state receives its Funding Approval/Agreement (HUD Forms 7082) for the program.

N. Administration of Program

Starting with Fiscal Year FFY 07 (SFY 08), funding for the DECD Small Cities CDBG Program was made available for all activities except Urgent Need through competitive application funding rounds. The department will continue to administer the Small Cities CDBG Program through competitive application funding rounds for FFY 09 (SFY 10). The number of funding rounds (not less than one and not more than three) during a program year would depend on how many fundable applications are received. In the case of Urgent Need, the department will accept applications on a first come first serve basis.

Adequate advance notice of fund availability will be provided and the department reserves the right to cease accepting applications at any time that all available funds have been committed. Based on funding availability and other considerations, the department may limit the number of applications that can be submitted by a municipality in each funding round.

It should be noted that with the implementation of competitive application funding rounds, DECD eliminated the 10% local share match requirement. Applications instead receive points based on how much funding is being leveraged from other sources.

DECD conducted its third annual workshop on the competitive application process for all interested communities on February 19, 2009. The department has established the following program eligibility and threshold requirement standards for applicant/application eligibility:

- Eligible Applicants - As defined by HUD program regulations;
- Eligible Activity - Only activities, as defined in this plan are eligible;
- National Objective - Each proposed activity must meet at least one national objective and must be fully supported within the application;
- Citizen Participation - Applicant must provide certification of compliance with citizen participation criteria;
- Consistency with the Consolidated Plan - Applicant must identify how the project is consistent with the goals and strategies of the Consolidated Plan;
- Fair Housing and Equal Opportunity Compliance - Applicant must demonstrate compliance with all fair housing and equal employment opportunities obligations/guidelines;
- Statement of Compliance - Applicants must be in compliance with all existing department assistance agreements and cannot be in default under any CHFA or HUD-administered program; and
- Expenditure Rates – Assistance Agreements for 2007 grants will need to be 50% expended by April 30, 2009, and the 2006 and earlier grants will need to be 100% expended. Grants older than 2006 must be closed out with a certificate by the time the application is submitted. DECD has the right to waive the certificate for those grants older than 2006 should the certificate not be issued based on DECD's own close out schedule.

During the application evaluation process, the department conducts due diligence and evaluates all eligible applications using the evaluation criteria described below. Depending on the nature of the proposed activity, site inspections may be conducted by department staff. An evaluation of the site's feasibility is completed and considered as part of the application's review.

As established in the Action Plan, the following categories of evaluation criteria are considered in reviewing eligible applications; Need and Marketability, Project Feasibility, Applicant/Sponsor Capacity, Community Impact, and Fair Housing and Equal Opportunity.

Application reviews include, but are not limited to, the following:

- Applicant capacity;
- Outstanding obligations (i.e. findings & concerns);
- Number of open contracts;
- Expenditure rates on open contracts;
- Other unique facts or circumstances;
- Need and marketability;
- Need of the activity;
- Local financial conditions;
- Project feasibility;
- Readiness to proceed;
- Site feasibility;
- Firm financial commitments, if applicable;
- Site control, etc.;
- Community impact;
- Extent to which the proposed activity supports the goals of neighborhood, local, regional, and state plans for housing and community development;
- Amount of leveraging included in the application; and
- Fair housing and equal opportunity.

Final application recommendations are made to the Commissioner's Office, based on the overall quality of the application and compliance with threshold requirements and evaluation criteria. Applicants receive written notification after final decisions are made.

II. TABLES

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Table A: Summary of Appropriations and Committed Funds			
Small Cities CDBG Program	FFY 07 (SFY 08) Actual Funds Committed	FFY 08 (SFY 09) Estimated	FFY 09 (SFY 10) Proposed
Uncommitted Balance Carried Forward from the previous year	\$104,535	\$372,096	\$258,528
Plus Recaptured Funds	+293,504	+0	+0
Reallocation Total	\$398,039	\$372,096	\$258,528
PLUS			
Annual Allocation	\$13,730,987	\$13,330,342	\$13,330,342
Minus Admin. (see Table B)	-511,930	-499,910	-499,910
Net Allocation	\$13,219,057	\$12,830,432	\$12,830,432
EQUALS			
Total CDBG funding available for projects	\$13,617,096	\$13,202,528	\$13,088,960
MINUS			
Committed Funds	\$13,245,000 ¹	\$12,944,000 ²	\$13,088,960
EQUALS			
Uncommitted Balance to be Carried Forward to the following year	\$372,096	\$258,528 ³	\$-0 ⁴

Source: DECD, OMD

Table A illustrates the following formulas:

Reallocation Total + Net Allocation = Total CDBG funding available for projects; and

Total CDBG funding available for projects – Committed Funds = Uncommitted Balance to be Carried Forward.

- Uncommitted Balance Carried Forward from the previous year: funding that was available in the previous fiscal year but not committed;

¹ \$13,245,000 refer to Table A-1

² \$12,944,000 refer to Table A-2

³ \$258,528: The FFY'08(SFY'09) funding that has been set aside to respond to "Urgent Need" applications that may arise during the program year. If the funds are not used for Urgent Need applications, they would be carried forward to the following year.

⁴ -0-: The FFY'09 (SFY'10) uncommitted balance of -0-, to be carried forward to the following year, has been projected because DECD continues to expend more funds than received in its HUD allocation.

- Recaptured Funds: funding that was returned to DECD from grantees and available for reprogramming;
- Reallocation Total: total funding that is available for reallocation from uncommitted balance carried forward and recaptured funds;
- Net Allocation: annual allocation funding available after administrative cost taken out;
- Total CDBG funding available for projects: amount of funding that can be made available for projects;
- Committed Funds: funds, which are committed to projects and are out of the funding pool permanently; and
- Uncommitted Balance Carried Forward to the following year: funding that remains after funding committed to projects is taken out.

Table A-1 is a list of the projects that received Small Cities CDBG funds.

Table A-1: List of Committed Funds for FFY 07 (SFY 08)		
Town	Project Description	Amount Funded
Brookfield	Senior Center Expansion	\$750,000
Deep River	Town Hall ADA Improvements	\$150,000
East Haven	Housing Rehabilitation	\$300,000
Ellington	Housing Rehabilitation	\$300,000
Enfield	Housing Rehabilitation	\$300,000
Franklin	Town Hall ADA Improvements	\$200,000
Guilford	Housing Authority Rehabilitation	\$700,000
Killingly	Housing Rehabilitation	\$300,000
Mansfield	Fire Safety Juniper Hills	\$500,000
Middlebury	Town Hall ADA Improvements	\$695,000
Middlefield	Housing Rehabilitation	\$300,000
New Hartford	Housing Rehabilitation	\$300,000
Old Saybrook	Housing Rehabilitation/Septic	\$300,000
Pomfret	Seely Brown Rehabilitation	\$450,000
Salisbury	Senior Center	\$750,000
Southbury	Elderly Housing Rehabilitation	\$700,000
Sprague	Sidewalk Improvements	\$500,000
Stafford	Prospect St. Reconstruction	\$500,000

Table A-1: List of Committed Funds for FFY 07 (SFY 08) (continued)		
Town	Project Description	Amount Funded
Thompson	Town Hall ADA Improvements	\$460,000
Tolland	Senior Center Expansion	\$750,000
Torrington	Elderly Housing Rehabilitation	\$700,000
Vernon	High St.. Reconstruction	\$500,000
Wallingford	Elderly Housing Rehabilitation	\$700,000
Winchester	Elderly Housing Rehabilitation	\$640,000
Windsor	Housing Rehabilitation	\$300,000
Windsor Locks	Town Hall ADA Improvements	\$600,000
Wolcott	Housing Rehabilitation	\$300,000
Woodbridge	Housing Rehabilitation	\$300,000
	Total	\$13,245,000 ⁵

Source: DECD, OMD

Table A-2 is a list of projects awarded Small Cities CDBG funds under the competitive application process.

Table A-2: List of Estimated Projects for FFY 08 (SFY 09)		
Town	Project Description	Amount Requested
Ashford	Food Bank	\$50,000
Branford	Supportive Housing Safety Code Renovations	\$700,000
Brooklyn	Street Improvements	\$500,000
Canterbury	Housing Rehabilitation	\$300,000
Coventry	Roads and Drainage	\$500,000
East Haven	Housing Rehabilitation	\$300,000
Ellington	Housing Rehabilitation	\$300,000
Jewett City	Street and Sidewalk Improvements	\$500,000
Killingly	Façade Program	\$500,000
Lebanon	Housing Rehabilitation	\$300,000
Litchfield	Northfield Firehouse-Afford. Housing Renovations	\$500,000
Monroe	Fairway Acres Elderly Housing Rehabilitation	\$700,000
New Milford	Whittlesey Ave. Sidewalk Reconstruction	\$500,000
Norfolk	Senior Housing Renovations	\$675,000

⁵ \$13,245,000 refer to Table A

Table A-2: List of Estimated Projects for FFY 08 (SFY 09) (continued)		
Town	Project Description	Amount Requested
North Haven	Senior Center Addition	\$750,000
Oxford	Crest Ridge Renovation	\$700,000
Plainfield	Village Center Improvements	\$500,000
Prospect	Housing Rehabilitation	\$300,000
Putnam	Housing Rehabilitation	\$300,000
Sprague	Baltic Village Neighborhood Improvements Phase 2	\$500,000
Stafford	Housing Rehabilitation	\$300,000
Suffield	Senior Center	\$750,000
Torrington	City Hall ADA Improvements	\$454,000
Vernon	Prospect St. Reconstruction	\$500,000
Watertown	Housing Rehabilitation	\$300,000
Winchester	Pedestrian Safety and ADA Improvements	\$500,000
Windsor	Wilson Library ADA	\$465,000
Wolcott	Housing Rehabilitation	\$300,000
	Total	\$12,944,000 ⁶

Source: DECD, OMD

⁶ \$12,944,000 refer to Table A

Table B: Program Expenditures for Administration			
Small Cities CDBG Program	FFY 07 (SFY 08) Actual Expenditures	FFY 08 (SFY 09) Estimated Expenditures	FFY 09 (SFY1 0) Proposed Expenditures
State Admin.	\$152,452	\$119,030	
Technical Assistance	+960,227	+520,148	
Administrative Balance carried forward from the previous year	\$1,112,679	\$639,178	\$516,337
Annual Allocation Funding allowed for State Admin.	\$374,620	\$366,607	\$366,607
General Fund Subsidy	\$100,000	\$266,607	\$TBD*
Technical Assistance	\$137,310	\$133,303	\$133,303
Total Available for Administrative Cost	\$1,724,609	\$1,405,695	\$1,016,247
Administrative Costs:			
Personnel	\$315,776	\$524,380	\$550,599
Personnel TA	\$230,119	\$0	\$0
Fringe Benefits	\$175,426	\$313,673	\$319,347
Fringe Benefits TA	\$127,170	\$0	\$0
Other Expenses	\$16,840	\$27,035	\$28,387
Other Expenses TA	\$20,100	\$14,020	\$14,721
Equipment	\$0	\$250	\$263
Contracts	\$0	\$5,000	\$5,250
Contracts TA	\$200,000	\$5,000	\$5,250
Total Administrative Cost/Committed Funds	\$1,085,431	\$889,358	\$923,817
Administrative Balance to be carried forward to the following year	\$639,178	\$516,337	\$92,430

Source: DECD, OFA

* OPM working on resolution

Table B illustrates the following formulas:

Administrative Balance carried forward + Annual Allocation Funding allowed for State Admin. + General Fund Subsidy + Technical Assistance = Total Available for Administration Costs; and

Total Available for Administration Cost - Total Administrative Cost/Expenditure = Administrative Balance to be carried forward.

- Administrative Balance Carried Forward from previous year: administrative funding left over from previous year, which is carried forward;
- Annual Allocation Funding allowed for Administration: includes \$100,000 plus 2% of the state's annual allocation;
- General Fund Subsidy: subsidy provided to the Small Cities CDBG Program;
- Technical Assistance: 1% of the state's annual allocation to be used for technical assistance;
- Total Available for Administrative Costs: all funding added together that is available for administrative costs;
- Total Administrative Costs/Committed Funds: amount of all costs associated with administering the program; and
- Admin. Balance to be carried forward to following year: balance of funding that remains after administrative cost/expenditures are subtracted from the total amount of funding available for administrative costs. These funds can only be carried forward for administrative costs.

Table C: Summary of Service Objectives and Activities FFY07 (SFY 08)

Objective	Service/Program Sub-Category	Recipient	Activity	Unit of Measure	Quantity
ConPlan Goal⁷	National Objective⁸	Municipality	Project	Housing Units, Persons Served, etc.	Number
12	I	Brookfield	Senior Center Expansion	Persons	1,705
12	I	Deep River	Town Hall ADA Improvements	Persons	740
2	I	East Haven	Housing Rehabilitation	Units	11
2	I	Ellington	Housing Rehabilitation	Units	10
2	I	Enfield	Housing Rehabilitation	Units	14
12	I	Franklin	Town Hall ADA Improvements	Persons	243
3	I	Guilford	Housing Authority Rehabilitation	Units	40
2	I	Killingly	Housing Rehabilitation	Units	15
3	I	Mansfield	Juniper Hills Fire Safety	Units	36
12	I	Middlebury	Town Hall ADA Improvements	Persons	784
2	I	Middlefield	Housing Rehabilitation	Units	8
2	I	New Hartford	Housing Rehabilitation	Units	10
2	I	Old Saybrook	Housing Rehabilitation Septic	Units	25
3	I	Pomfret	Seely Brown Rehabilitation	Units	31
12	I	Salisbury	Senior Center	Persons	975
3	I	Southbury	Elderly Housing Rehabilitation	Units	48
12	I	Sprague	Sidewalk Improvements	Persons	1498
12	I	Stafford	Prospect St. Reconstruction	Persons	3,942

Table C: Summary of Service Objectives and Activities FFY07 (SFY08) (continued)

Objective	Service/Program Sub-Category	Recipient	Activity	Unit of Measure	Quantity
ConPlan Goal	National Objective	Municipality	Project	Housing Units, Persons Served, etc.	Number
12	I	Thompson	Town Hall ADA Improvements	Persons	1,781
12	I	Tolland	Senior Center Expansion	Persons	1,310
3	I	Torrington	Elderly Housing Rehabilitation	Units	39
12	I	Vernon	High St. Reconstruction	Persons	1,490
3	I	Wallingford	Elderly Housing Rehabilitation	Units	30
3	I	Winchester	Elderly Housing Rehabilitation	Units	119
2	I	Windsor	Housing Rehabilitation	Units	17
12	I	Windsor Locks	Town Hall ADA Improvements	Persons	3,895
2	I	Wolcott	Housing Rehabilitation	Units	10
2	I	Woodbridge	Housing Rehabilitation	Units	8

Source: DECD, OMD

⁷ Goal 1: Supportive Housing - Develop and implement strategies and solutions to address the problem of homelessness through the utilization of supportive housing.

Goal 2: Home Ownership - Improve the ability of low- and moderate-income residents to access home ownership opportunities.

Goal 3: Rental Housing Supply - Preserve and increase the supply of quality affordable housing available to low- and moderate-income households.

Goal 4: Rental Housing Opportunities - Improve the ability of low- and moderate-income residents to access rental housing opportunities.

Goal 5: Affordable Housing Planning - Help identify and develop available resources to assist in the development of housing.

Goal 6: Fair Housing - Empower upward mobility for low- and moderate-income residents through fair housing.

Goal 7: Homelessness - Address the shelter, housing and service needs of the homeless poor and others with special needs.

Goal 8: Special Needs - Address the housing and service needs of those populations defined as having special needs:

- Elderly And Frail Elderly

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- Persons With Disabilities
 - Persons With HIV/Aids And Their Families
 - Persons With Substance Abuse Issues
 - Persons Recently De-Incarcerated

Goal 9: Lead Paint and Hazardous Materials - Support the removal of lead-based paint and other hazardous materials in existing housing.

Goal 10: Public Housing Residents – Facilitate homeownership opportunities for public housing residents.

Goal 11: Non-Housing: Economic Development - Provide communities with assistance to undertake economic development initiatives.

Goal 12: Non-Housing: Infrastructure and Public Facilities - Provide assistance to undertake improvements to the community infrastructure, and construct or rehabilitate public facilities projects affecting public health, safety and welfare of low- and moderate-income residents.

Source: 2005-09 Connecticut Consolidated Plan for Housing and Community Development

⁸ CDBC National Objectives

I Benefit low and moderate-income families

II Prevent or eliminate slums or blight

III Meet other urgent community development needs

Source: Housing and Community Development Act of 1974, as amended

III. ALLOCATIONS BY PROGRAM CATEGORY

List of Block Grant Funded Programs – FFY08 Estimated Expenditures and FFY09 Proposed Expenditures

Title of Major Program Category Small Cities CDBG Program	FFY 08 Estimated Expenditures (including carry over funds)	FFY 09 PROPOSED Expenditures (including carry over funds)
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Benefit low and moderate-income families	\$12,944,000	TBD ⁹
Prevent or eliminate slums or blight	-	TBD
Meet other urgent community development needs	-	TBD
TOTAL	\$12,944,000	\$13,088,960

Source: DECD, OMD and OFA

⁹ To be determined through a competitive application process in Spring 2009