



General Assembly

**Amendment**

January Session, 2009

LCO No. 8913

**\*SB0088708913HDO\***

Offered by:

REP. BERGER, 73<sup>rd</sup> Dist.  
SEN. LEBEAU, 3<sup>rd</sup> Dist.  
SEN. FRANTZ, 36<sup>th</sup> Dist.  
REP. ALBERTS, 50<sup>th</sup> Dist.  
REP. SPALLONE, 36<sup>th</sup> Dist.

SEN. SLOSSBERG, 14<sup>th</sup> Dist.  
SEN. STILLMAN, 20<sup>th</sup> Dist.  
SEN. MAYNARD, 18<sup>th</sup> Dist.  
SEN. FASANO, 34<sup>th</sup> Dist.

To: Subst. Senate Bill No. 887

File No. 403

Cal. No. 679

**"AN ACT CONCERNING CHANGES TO ECONOMIC DEVELOPMENT STATUTES."**

1 Strike section 10 in its entirety and renumber the remaining sections  
2 and internal references accordingly

3 After the last section, add the following and renumber sections and  
4 internal references accordingly:

5 "Sec. 501. Section 32-235 of the general statutes is repealed and the  
6 following is substituted in lieu thereof (*Effective from passage*):

7 (a) For the purposes described in subsection (b) of this section, the  
8 State Bond Commission shall have the power, from time to time to  
9 authorize the issuance of bonds of the state in one or more series and  
10 in principal amounts not exceeding in the aggregate five hundred  
11 ninety-five million three hundred thousand dollars, provided forty-

12 five million dollars of said authorization shall be effective July 1, 2008.

13 (b) The proceeds of the sale of said bonds, to the extent of the  
14 amount stated in subsection (a) of this section, shall be used by the  
15 Department of Economic and Community Development for the  
16 purposes of sections 32-220 to 32-234, inclusive, as amended by this  
17 act, including economic cluster-related programs and activities, and  
18 for the Connecticut job training finance demonstration program  
19 pursuant to sections 32-23uu and 32-23vv provided, (1) three million  
20 dollars shall be used by said department solely for the purposes of  
21 section 32-23uu and not more than five million two hundred fifty  
22 thousand dollars of the amount stated in said subsection (a) may be  
23 used by said department for the purposes of section 31-3u, (2) not less  
24 than one million dollars shall be used for an educational technology  
25 grant to the deployment center program and the nonprofit business  
26 consortium deployment center approved pursuant to section 32-41l, (3)  
27 not less than two million dollars shall be used by said department for  
28 the establishment of a pilot program to make grants to businesses in  
29 designated areas of the state for construction, renovation or  
30 improvement of small manufacturing facilities provided such grants  
31 are matched by the business, a municipality or another financing  
32 entity. The Commissioner of Economic and Community Development  
33 shall designate areas of the state where manufacturing is a substantial  
34 part of the local economy and shall make grants under such pilot  
35 program which are likely to produce a significant economic  
36 development benefit for the designated area, (4) five million dollars  
37 may be used by said department for the manufacturing  
38 competitiveness grants program, (5) one million dollars shall be used  
39 by said department for the purpose of a grant to the Connecticut  
40 Center for Advanced Technology, for the purposes of section 32-237,  
41 (6) fifty million dollars shall be used by said department for the  
42 purpose of grants to the United States Department of the Navy, the  
43 United States Department of Defense or eligible applicants for projects  
44 related to the enhancement of infrastructure for long-term, on-going  
45 naval operations at the United States Naval Submarine Base-New

46 London, located in Groton, which will increase the military value of  
47 said base. Such projects shall not be subject to the provisions of  
48 sections 4a-60 and 4a-60a, and (7) two million dollars shall be used by  
49 said department for the purpose of a grant to the Connecticut Center  
50 for Advanced Technology, Inc., for manufacturing initiatives,  
51 including aerospace and defense.

52 (c) All provisions of section 3-20, or the exercise of any right or  
53 power granted thereby which are not inconsistent with the provisions  
54 of this section are hereby adopted and shall apply to all bonds  
55 authorized by the State Bond Commission pursuant to this section, and  
56 temporary notes in anticipation of the money to be derived from the  
57 sale of any such bonds so authorized may be issued in accordance with  
58 said section 3-20 and from time to time renewed. Such bonds shall  
59 mature at such time or times not exceeding twenty years from their  
60 respective dates as may be provided in or pursuant to the resolution or  
61 resolutions of the State Bond Commission authorizing such bonds.  
62 None of said bonds shall be authorized except upon a finding by the  
63 State Bond Commission that there has been filed with it a request for  
64 such authorization, which is signed by or on behalf of the Secretary of  
65 the Office of Policy and Management and states such terms and  
66 conditions as said commission, in its discretion, may require. Said  
67 bonds issued pursuant to this section shall be general obligations of the  
68 state and the full faith and credit of the state of Connecticut are  
69 pledged for the payment of the principal of and interest on said bonds  
70 as the same become due, and accordingly and as part of the contract of  
71 the state with the holders of said bonds, appropriation of all amounts  
72 necessary for punctual payment of such principal and interest is  
73 hereby made, and the Treasurer shall pay such principal and interest  
74 as the same become due.

75 Sec. 502. Subsection (a) of section 32-223 of the general statutes is  
76 repealed and the following is substituted in lieu thereof (*Effective from*  
77 *passage*):

78 (a) (1) An eligible applicant shall submit an application for financial

79 assistance to the commissioner on forms provided by the  
80 commissioner and with such information the commissioner deems  
81 necessary, including, but not limited to: [(1)] (A) A description of the  
82 proposed project; [(2)] (B) an explanation of the expected benefits of  
83 the project in relation to the purposes of sections 32-220 to 32-234,  
84 inclusive, as amended by this act; [(3)] (C) information concerning the  
85 financial and technical capacity of the eligible applicant to undertake  
86 the proposed project; [(4)] (D) a project budget; and [(5)] (E)  
87 identification, when appropriate, of business support services that may  
88 be of benefit to the state and the manufacturing and economic base  
89 businesses located or locating in the project area as part of the project.  
90 In the case of a municipal development project the eligible applicant  
91 shall, in addition to an application for financial assistance, submit a  
92 development plan prepared pursuant to subsection (b) of section 32-  
93 224 and approved by the commissioner, provided an eligible applicant  
94 may, prior to the submission of a development plan, receive financial  
95 assistance for activities related to the planning of a municipal  
96 development project to the extent such assistance is provided for  
97 under subsection (b) of this section.

98 (2) The United States Department of the Navy, the United States  
99 Department of Defense or eligible applicants shall not be required to  
100 submit an application for financial assistance to the commissioner, as  
101 required by subsection (a) of this section, for projects related to the  
102 enhancement of infrastructure for long-term, on-going naval  
103 operations at the United States Naval Submarine Base-New London  
104 that are funded by grants to said Department of the Navy, said  
105 Department of Defense or said applicants as provided in subdivision  
106 (6) of subsection (b) of this section.

107 Sec. 503. Subsection (c) of section 32-223 of the general statutes is  
108 repealed and the following is substituted in lieu thereof (*Effective from*  
109 *passage*):

110 (c) No financial assistance shall be given to an eligible applicant and  
111 no participation interest in a loan made by the Connecticut

112 Development Authority for the benefit of an eligible applicant shall be  
113 purchased by the department until the commissioner has approved the  
114 application submitted in accordance with subsection (a) of this section.  
115 Notwithstanding any other provision of this section, in the event that  
116 the financial assistance requested is the purchase by the department of  
117 a participation interest in a loan made by the Connecticut  
118 Development Authority, such authority may submit such application  
119 and other information as is required of eligible applicants under  
120 subsection (a) of this section on behalf of such eligible applicant and no  
121 further application shall be required of such eligible applicant. No  
122 financial assistance shall exceed: (1) Except as otherwise provided in  
123 subdivisions (2) to [(5)] (6), inclusive, of this subsection, fifty per cent  
124 of the total project cost, (2) in the case of financial assistance to any  
125 project in a targeted investment community, ninety per cent of the  
126 project cost, (3) when two or more municipalities which are not  
127 targeted investment communities jointly initiate a municipal  
128 development project in accordance with the provisions of subsection  
129 (e) of section 32-224, seventy-five per cent of the total project cost, (4) in  
130 the case of a municipal development project jointly initiated by two or  
131 more municipalities at least one of which is a targeted investment  
132 community, the sum of: (A) Seventy-five per cent of the portion of the  
133 total project cost allocable to the participation of the municipality or  
134 municipalities which are not targeted investment communities, and (B)  
135 ninety per cent of the portion of the total project cost allocable to the  
136 participation of any targeted investment community or communities,  
137 [and] (5) in the case of a defense diversification project, ninety per cent  
138 of the total project cost if the project involves a municipal development  
139 project or the acquisition or development, or both, of real property for  
140 an unspecified occupant, and one hundred per cent in the case of any  
141 other defense diversification project, and (6) in the case of moneys  
142 used by the department for the purpose of grants to the United States  
143 Department of the Navy, United States Department of Defense or  
144 eligible applicants for projects related to the enhancement of  
145 infrastructure for long-term, on-going naval operations at the United  
146 States Naval Submarine Base-New London, as provided in subdivision

147 (6) of subsection (b) of section 32-235, as amended by this act, one  
148 hundred per cent of the total project cost. A municipality's share of the  
149 total project cost, if any, may, with the approval of the commissioner,  
150 be satisfied entirely or partially from noncash contributions, including  
151 contributions of real property, from private sources, or, to the extent  
152 permitted by federal law, from moneys received by the municipality  
153 under any federal grant program.

154 Sec. 504. Section 9-612 of the general statutes is repealed and the  
155 following is substituted in lieu thereof (*Effective from passage*):

156 (a) No individual shall make a contribution or contributions in any  
157 one calendar year in excess of five thousand dollars to the state central  
158 committee of any party, or for the benefit of such committee pursuant  
159 to its authorization or request; or one thousand dollars to a town  
160 committee of any political party, or for the benefit of such committee  
161 pursuant to its authorization or request; or one thousand dollars to a  
162 legislative caucus committee or legislative leadership committee, or  
163 seven hundred fifty dollars to any other political committee other than  
164 (1) a political committee formed solely to aid or promote the success or  
165 defeat of a referendum question, (2) an exploratory committee, (3) a  
166 political committee established by an organization, or for the benefit of  
167 such committee pursuant to its authorization or request, or (4) a  
168 political committee formed by a slate of candidates in a primary for the  
169 office of justice of the peace of the same town.

170 (b) No individual shall make a contribution to a political committee  
171 established by an organization which receives its funds from the  
172 organization's treasury. With respect to a political committee  
173 established by an organization which has complied with the provisions  
174 of subsection (b) or (c) of section 9-614, and has elected to receive  
175 contributions, no individual other than a member of the organization  
176 may make contributions to the committee, in which case the individual  
177 may contribute not more than seven hundred fifty dollars in any one  
178 calendar year to such committee or for the benefit of such committee  
179 pursuant to its authorization or request.

180 (c) In no event may any individual make contributions to a  
181 candidate committee and a political committee formed solely to  
182 support one candidate other than an exploratory committee or for the  
183 benefit of a candidate committee and a political committee formed  
184 solely to support one candidate pursuant to the authorization or  
185 request of any such committee, in an amount which in the aggregate is  
186 in excess of the maximum amount which may be contributed to the  
187 candidate.

188 (d) Any individual may make unlimited contributions or  
189 expenditures to aid or promote the success or defeat of any  
190 referendum question, provided any individual who makes an  
191 expenditure or expenditures in excess of one thousand dollars to  
192 promote the success or defeat of any referendum question shall file  
193 statements according to the same schedule and in the same manner as  
194 is required of a campaign treasurer of a political committee under  
195 section 9-608.

196 (e) (1) Any individual acting alone may, independent of any  
197 candidate, agent of the candidate, or committee, make unlimited  
198 expenditures to promote the success or defeat of any candidate's  
199 campaign for election, or nomination at a primary, to any office or  
200 position. Except as provided in subdivision (2) of this subsection, any  
201 individual who makes an independent expenditure or expenditures in  
202 excess of one thousand dollars to promote the success or defeat of any  
203 candidate's campaign for election, or nomination at a primary, to any  
204 such office or position shall file statements according to the same  
205 schedule and in the same manner as is required of a campaign  
206 treasurer of a candidate committee under section 9-608.

207 (2) Any person who makes or obligates to make an independent  
208 expenditure or expenditures, as defined in section 9-601, intended to  
209 promote the success or defeat of a candidate for the office of Governor,  
210 Lieutenant Governor, Secretary of the State, State Treasurer, State  
211 Comptroller, Attorney General, state senator or state representative,  
212 which exceeds one thousand dollars, in the aggregate, during a

213 primary campaign or a general election campaign, as defined in  
214 section 9-700, on or after January 1, 2008, shall file a report of such  
215 independent expenditure to the State Elections Enforcement  
216 Commission. The report shall be in the same form as statements filed  
217 under section 9-608. If the person makes or obligates to make such  
218 independent expenditure or expenditures more than twenty days  
219 before the day of a primary or election, the person shall file such report  
220 not later than forty-eight hours after such payment or obligation. If the  
221 person makes or obligates to make such independent expenditure or  
222 expenditures twenty days or less before the day of a primary or  
223 election, the person shall file such report not later than twenty-four  
224 hours after such payment or obligation. The report shall be filed under  
225 penalty of false statement.

226 (3) The independent expenditure report in subdivision (2) of this  
227 subsection shall include a statement (A) identifying the candidate for  
228 whom the independent expenditure or expenditures is intended to  
229 promote the success or defeat, and (B) affirming that the expenditure is  
230 not a coordinated expenditure.

231 (4) Any person may file a complaint with the commission upon the  
232 belief that (A) any such independent expenditure report or statement  
233 is false, or (B) any person who is required to file an independent  
234 expenditure report under subdivision (2) of this subsection has failed  
235 to do so. The commission shall make a prompt determination on such  
236 a complaint.

237 (5) (A) If a person fails to file a report required under subdivision (2)  
238 of this subsection for an independent expenditure or expenditures  
239 made or obligated to be made more than twenty days before the day of  
240 a primary or election, the person shall be subject to a civil penalty,  
241 imposed by the State Elections Enforcement Commission, of not more  
242 than five thousand dollars. If a person fails to file a report required  
243 under subdivision (2) of this subsection for an independent  
244 expenditure or expenditures made or obligated to be made twenty  
245 days or less before the day of a primary or election, the person shall be

246 subject to a civil penalty, imposed by the State Elections Enforcement  
247 Commission, of not more than ten thousand dollars. (B) If any such  
248 failure is knowing and wilful, the person responsible for the failure  
249 shall also be fined not more than five thousand dollars or imprisoned  
250 not more than five years, or both.

251 (f) (1) As used in this subsection and subsection (f) of section 9-608,  
252 (A) "investment services" means investment legal services, investment  
253 banking services, investment advisory services, underwriting services,  
254 financial advisory services or brokerage firm services, and (B)  
255 "principal of an investment services firm" means (i) an individual who  
256 is a director of or has an ownership interest in an investment services  
257 firm to which the State Treasurer pays compensation, expenses or fees  
258 or issues a contract, except for an individual who owns less than five  
259 per cent of the shares of an investment services firm, (ii) an individual  
260 who is employed by such an investment services firm as president,  
261 treasurer, or executive vice president, (iii) an employee of such an  
262 investment services firm who has managerial or discretionary  
263 responsibilities with respect to any investment services provided to the  
264 State Treasurer, (iv) the spouse or a dependent child who is eighteen  
265 years of age or older of an individual described in this subparagraph,  
266 or (v) a political committee established or controlled by an individual  
267 described in this subparagraph.

268 (2) No principal of an investment services firm shall make a  
269 contribution to, or solicit contributions on behalf of, an exploratory  
270 committee or candidate committee established by a candidate for  
271 nomination or election to the office of State Treasurer during the term  
272 of office of the State Treasurer who pays compensation, expenses or  
273 fees or issues a contract to such firm. The provisions of this subdivision  
274 shall apply only to contributions and the solicitation of contributions  
275 that are not prohibited under subdivision (2) of subsection (g) of this  
276 section.

277 (3) Neither the State Treasurer, the Deputy State Treasurer, any  
278 unclassified employee of the office of the State Treasurer acting on

279 behalf of the State Treasurer or Deputy State Treasurer, any candidate  
280 for the office of State Treasurer, any member of the Investment  
281 Advisory Council established under section 3-13b nor any agent of any  
282 such candidate may knowingly, wilfully or intentionally solicit  
283 contributions on behalf of an exploratory committee or candidate  
284 committee established by a candidate for nomination or election to any  
285 public office, a political committee or a party committee, from a  
286 principal of an investment services firm. The provisions of this  
287 subdivision shall apply only to contributions and the solicitation of  
288 contributions that are not prohibited under subdivision (3) of  
289 subsection (g) of this section.

290 (4) No member of the Investment Advisory Council appointed  
291 under section 3-13b shall make a contribution to, or solicit  
292 contributions on behalf of, an exploratory committee or candidate  
293 committee established by a candidate for nomination or election to the  
294 office of State Treasurer.

295 (5) The provisions of this subsection shall not restrict an individual  
296 from establishing an exploratory or candidate committee or from  
297 soliciting for and making contributions to a town committee or  
298 political committee that the candidate has designated in accordance  
299 with subsection (b) of section 9-604, for the financing of the  
300 individual's own campaign or from soliciting contributions for such  
301 committees from persons not prohibited from making contributions  
302 under this subsection.

303 (g) (1) As used in this subsection and subsections (h) and (i) of this  
304 section:

305 (A) "Quasi-public agency" has the same meaning as provided in  
306 section 1-120.

307 (B) "State agency" means any office, department, board, council,  
308 commission, institution or other agency in the executive or legislative  
309 branch of state government.

310 (C) "State contract" means an agreement or contract with the state or  
311 any state agency or any quasi-public agency, let through a  
312 procurement process or otherwise, having a value of fifty thousand  
313 dollars or more, or a combination or series of such agreements or  
314 contracts having a value of one hundred thousand dollars or more in a  
315 calendar year, for (i) the rendition of services, (ii) the furnishing of any  
316 goods, material, supplies, equipment or any items of any kind, (iii) the  
317 construction, alteration or repair of any public building or public work,  
318 (iv) the acquisition, sale or lease of any land or building, (v) a licensing  
319 arrangement, or (vi) a grant, loan or loan guarantee. "State contract"  
320 does not include any agreement or contract with the state, any state  
321 agency or any quasi-public agency that is exclusively federally funded,  
322 an education loan, [or] a loan to an individual for other than  
323 commercial purposes or any agreement or contract between the state  
324 or any state agency and the United States Department of the Navy or  
325 the United States Department of Defense.

326 (D) "State contractor" means a person, business entity or nonprofit  
327 organization that enters into a state contract. Such person, business  
328 entity or nonprofit organization shall be deemed to be a state  
329 contractor until December thirty-first of the year in which such  
330 contract terminates. "State contractor" does not include a municipality  
331 or any other political subdivision of the state, including any entities or  
332 associations duly created by the municipality or political subdivision  
333 exclusively amongst themselves to further any purpose authorized by  
334 statute or charter, or an employee in the executive or legislative branch  
335 of state government or a quasi-public agency, whether in the classified  
336 or unclassified service and full or part-time, and only in such person's  
337 capacity as a state or quasi-public agency employee.

338 (E) "Prospective state contractor" means a person, business entity or  
339 nonprofit organization that (i) submits a response to a state contract  
340 solicitation by the state, a state agency or a quasi-public agency, or a  
341 proposal in response to a request for proposals by the state, a state  
342 agency or a quasi-public agency, until the contract has been entered  
343 into, or (ii) holds a valid prequalification certificate issued by the

344 Commissioner of Administrative Services under section 4a-100.  
345 "Prospective state contractor" does not include a municipality or any  
346 other political subdivision of the state, including any entities or  
347 associations duly created by the municipality or political subdivision  
348 exclusively amongst themselves to further any purpose authorized by  
349 statute or charter, or an employee in the executive or legislative branch  
350 of state government or a quasi-public agency, whether in the classified  
351 or unclassified service and full or part-time, and only in such person's  
352 capacity as a state or quasi-public agency employee.

353 (F) "Principal of a state contractor or prospective state contractor"  
354 means (i) any individual who is a member of the board of directors of,  
355 or has an ownership interest of five per cent or more in, a state  
356 contractor or prospective state contractor, which is a business entity,  
357 except for an individual who is a member of the board of directors of a  
358 nonprofit organization, (ii) an individual who is employed by a state  
359 contractor or prospective state contractor, which is a business entity, as  
360 president, treasurer or executive vice president, (iii) an individual who  
361 is the chief executive officer of a state contractor or prospective state  
362 contractor, which is not a business entity, or if a state contractor or  
363 prospective state contractor has no such officer, then the officer who  
364 duly possesses comparable powers and duties, (iv) an officer or an  
365 employee of any state contractor or prospective state contractor who  
366 has managerial or discretionary responsibilities with respect to a state  
367 contract, (v) the spouse or a dependent child who is eighteen years of  
368 age or older of an individual described in this subparagraph, or (vi) a  
369 political committee established or controlled by an individual  
370 described in this subparagraph or the business entity or nonprofit  
371 organization that is the state contractor or prospective state contractor.

372 (G) "Dependent child" means a child residing in an individual's  
373 household who may legally be claimed as a dependent on the federal  
374 income tax return of such individual.

375 (H) "Managerial or discretionary responsibilities with respect to a  
376 state contract" means having direct, extensive and substantive

377 responsibilities with respect to the negotiation of the state contract and  
378 not peripheral, clerical or ministerial responsibilities.

379 (I) "Rendition of services" means the provision of any service to a  
380 state agency or quasi-public agency in exchange for a fee,  
381 remuneration or compensation of any kind from the state or through  
382 an arrangement with the state.

383 (J) "State contract solicitation" means a request by a state agency or  
384 quasi-public agency, in whatever form issued, including, but not  
385 limited to, an invitation to bid, request for proposals, request for  
386 information or request for quotes, inviting bids, quotes or other types  
387 of submittals, through a competitive procurement process or another  
388 process authorized by law waiving competitive procurement.

389 (2) On and after December 31, 2006:

390 (A) No state contractor, prospective state contractor, principal of a  
391 state contractor or principal of a prospective state contractor, with  
392 regard to a state contract or a state contract solicitation with or from a  
393 state agency in the executive branch or a quasi-public agency or a  
394 holder, or principal of a holder of a valid prequalification certificate,  
395 shall make a contribution to, or solicit contributions on behalf of (i) an  
396 exploratory committee or candidate committee established by a  
397 candidate for nomination or election to the office of Governor,  
398 Lieutenant Governor, Attorney General, State Comptroller, Secretary  
399 of the State or State Treasurer, (ii) a political committee authorized to  
400 make contributions or expenditures to or for the benefit of such  
401 candidates, or (iii) a party committee;

402 (B) No state contractor, prospective state contractor, principal of a  
403 state contractor or principal of a prospective state contractor, with  
404 regard to a state contract or a state contract solicitation with or from  
405 the General Assembly or a holder, or principal of a holder, of a valid  
406 prequalification certificate, shall make a contribution to, or solicit  
407 contributions on behalf of (i) an exploratory committee or candidate  
408 committee established by a candidate for nomination or election to the

409 office of state senator or state representative, (ii) a political committee  
410 authorized to make contributions or expenditures to or for the benefit  
411 of such candidates, or (iii) a party committee;

412 (C) If a state contractor or principal of a state contractor makes or  
413 solicits a contribution prohibited under subparagraph (A) or (B) of this  
414 subdivision, as determined by the State Elections Enforcement  
415 Commission, the contracting state agency or quasi-public agency may,  
416 in the case of a state contract executed on or after February 8, 2007,  
417 void the existing contract with said contractor, and no state agency or  
418 quasi-public agency shall award the state contractor a state contract or  
419 an extension or an amendment to a state contract for one year after the  
420 election for which such contribution is made or solicited unless the  
421 commission determines that mitigating circumstances exist concerning  
422 such violation. No violation of the prohibitions contained in  
423 subparagraph (A) or (B) of this subdivision shall be deemed to have  
424 occurred if, and only if, the improper contribution is returned to the  
425 principal by the later of thirty days after receipt of such contribution  
426 by the recipient committee treasurer or the filing date that corresponds  
427 with the reporting period in which such contribution was made; and

428 (D) If a prospective state contractor or principal of a prospective  
429 state contractor makes or solicits a contribution prohibited under  
430 subparagraph (A) or (B) of this subdivision, as determined by the State  
431 Elections Enforcement Commission, no state agency or quasi-public  
432 agency shall award the prospective state contractor the contract  
433 described in the state contract solicitation or any other state contract  
434 for one year after the election for which such contribution is made or  
435 solicited unless the commission determines that mitigating  
436 circumstances exist concerning such violation. The Commissioner of  
437 Administrative Services shall notify applicants of the provisions of this  
438 subparagraph and subparagraphs (A) and (B) of this subdivision  
439 during the prequalification application process.

440 (E) The State Elections Enforcement Commission shall make  
441 available to each state agency and quasi-public agency a written notice

442 advising state contractors and prospective state contractors of the  
443 contribution and solicitation prohibitions contained in subparagraphs  
444 (A) and (B) of this subdivision. Such notice shall: (i) Direct each state  
445 contractor and prospective state contractor to inform each individual  
446 described in subparagraph (F) of subdivision (1) of this subsection,  
447 with regard to said state contractor or prospective state contractor,  
448 about the provisions of subparagraph (A) or (B) of this subdivision,  
449 whichever is applicable, and this subparagraph; (ii) inform each state  
450 contractor and prospective state contractor of the civil and criminal  
451 penalties that could be imposed for violations of such prohibitions if  
452 any such contribution is made or solicited; (iii) inform each state  
453 contractor and prospective state contractor that, in the case of a state  
454 contractor, if any such contribution is made or solicited, the contract  
455 may be voided; (iv) inform each state contractor and prospective state  
456 contractor that, in the case of a prospective state contractor, if any such  
457 contribution is made or solicited, the contract described in the state  
458 contract solicitation shall not be awarded, unless the commission  
459 determines that mitigating circumstances exist concerning such  
460 violation; and (v) inform each state contractor and prospective state  
461 contractor that the state will not award any other state contract to  
462 anyone found in violation of such prohibitions for a period of one year  
463 after the election for which such contribution is made or solicited,  
464 unless the commission determines that mitigating circumstances exist  
465 concerning such violation. Each state agency and quasi-public agency  
466 shall distribute such notice to the chief executive officer of its  
467 contractors and prospective state contractors, or an authorized  
468 signatory to a state contract, and shall obtain a written  
469 acknowledgement of the receipt of such notice.

470 (3) (A) On and after December 31, 2006, neither the Governor,  
471 Lieutenant Governor, Attorney General, State Comptroller, Secretary  
472 of the State or State Treasurer, any candidate for any such office nor  
473 any agent of any such official or candidate shall knowingly, wilfully or  
474 intentionally solicit contributions on behalf of an exploratory  
475 committee or candidate committee established by a candidate for

476 nomination or election to any public office, a political committee or a  
477 party committee, from a person who he or she knows is prohibited  
478 from making contributions, including a principal of a state contractor  
479 or prospective state contractor with regard to a state contract  
480 solicitation with or from a state agency in the executive branch or a  
481 quasi-public agency or a holder of a valid prequalification certificate.

482 (B) On and after December 31, 2006, neither a member of the  
483 General Assembly, any candidate for any such office nor any agent of  
484 any such official or candidate shall knowingly, wilfully or intentionally  
485 solicit contributions on behalf of an exploratory committee or  
486 candidate committee established by a candidate for nomination or  
487 election to any public office, a political committee or a party  
488 committee, from a person who he or she knows is prohibited from  
489 making contributions, including a principal of a state contractor or  
490 prospective state contractor with regard to a state contract solicitation  
491 with or from the General Assembly or a holder of a valid  
492 prequalification certificate.

493 (4) The provisions of this subsection shall not apply to the campaign  
494 of a principal of a state contractor or prospective state contractor or to  
495 a principal of a state contractor or prospective state contractor who is  
496 an elected public official.

497 (5) Each state contractor and prospective state contractor shall make  
498 reasonable efforts to comply with the provisions of this subsection. If  
499 the State Elections Enforcement Commission determines that a state  
500 contractor or prospective state contractor has failed to make reasonable  
501 efforts to comply with this subsection, the commission may impose  
502 civil penalties against such state contractor or prospective state  
503 contractor in accordance with subsection (a) of section 9-7b.

504 (h) (1) Not later than thirty days after February 8, 2007, each state  
505 agency and quasi-public agency shall prepare and forward to the State  
506 Elections Enforcement Commission, on a form prescribed by said  
507 commission, a list of the names of the state contractors and prospective

508 state contractors with which such agency is a party to a contract, and  
509 any state contract solicitations or prequalification certificates issued by  
510 the agency. Not less than once per month, each state agency and quasi-  
511 public agency shall forward to said commission, on a form prescribed  
512 by the commission, any changes additions or deletions to said lists, not  
513 later than the fifteenth day of the month.

514 (2) Not later than sixty days after February 8, 2007, the State  
515 Elections Enforcement Commission shall (A) compile a master list of  
516 state contractors and prospective state contractors for all state agencies  
517 and quasi-public agencies, based on the information received under  
518 subdivision (1) of this subsection, (B) publish the master list on the  
519 commission's Internet web site, and (C) provide copies of the master  
520 list to campaign treasurers upon request. The commission shall update  
521 the master list every month.

522 (i) The State Contracting Standards Board shall study subcontracts  
523 for state contracts and, not later than February 1, 2010, submit  
524 proposed legislation for extending the provisions of this subsection to  
525 such subcontracts to the joint standing committee of the General  
526 Assembly having cognizance of matters relating to elections.

527 (j) (1) As used in this subsection:

528 (A) "Quasi-public agency" has the same meaning as provided in  
529 section 1-120.

530 (B) "Unclassified service" has the same meaning as provided in  
531 section 5-196.

532 (2) On and after December 31, 2006:

533 (A) No executive head of a state agency in the executive branch,  
534 executive head of a quasi-public agency, deputy of any such executive  
535 head, other full-time official or employee of any such state agency or  
536 quasi-public agency who is appointed by the Governor, other full-time  
537 official or employee of any such state agency or quasi-public agency

538 who is in the unclassified service, or member of the immediate family  
539 of any such person, shall make a contribution or contributions (i) to, or  
540 for the benefit of, any candidate's campaign for nomination at a  
541 primary or election to the office of Governor or Lieutenant Governor,  
542 in excess of one hundred dollars for each such campaign, or (ii) to a  
543 political committee established by any such candidate, in excess of one  
544 hundred dollars in any calendar year;

545 (B) No official or employee of the office of the Attorney General,  
546 State Comptroller, Secretary of the State or State Treasurer who is in  
547 the unclassified service, or member of the immediate family of any  
548 such person, shall make a contribution or contributions (i) to, or for the  
549 benefit of, any candidate's campaign for nomination at a primary or  
550 election to the office in which such official or employee serves, in  
551 excess of one hundred dollars for each such campaign, or (ii) to a  
552 political committee established by any such candidate, in excess of one  
553 hundred dollars in any calendar year; and

554 (C) No member of a caucus staff for a major party in the Senate or  
555 House of Representatives, or member of the immediate family of such  
556 person, shall make a contribution or contributions (i) to, or for the  
557 benefit of, any candidate's campaign for nomination at a primary or  
558 election to the office of state senator or state representative, in excess of  
559 one hundred dollars for each such campaign, (ii) to a political  
560 committee established by any such candidate, in excess of one hundred  
561 dollars in any calendar year, or (iii) to a legislative caucus committee  
562 or a legislative leadership committee, in excess of one hundred dollars  
563 in any calendar year.

564 Sec. 505. Subsection (a) of section 32-616 of the general statutes is  
565 repealed and the following is substituted in lieu thereof (*Effective from*  
566 *passage*):

567 (a) For the purposes described in subsection (b) of this section the  
568 State Bond Commission shall have power, from time to time but in no  
569 case later than June 30, [2009] 2013, to authorize the issuance of bonds

570 of the state, in one or more series and in principal amounts and in the  
571 aggregate not exceeding one hundred fifteen million dollars and such  
572 additional amounts as may be required in connection with the costs of  
573 issuance of the bonds including bond anticipation, temporary and  
574 interim notes, the proceeds of which shall be used by the State  
575 Treasurer to pay the costs of issuance, provided in computing the total  
576 amount of bonds which may at any one time be outstanding, the  
577 principal amount of any refunding bonds issued to refund bonds shall  
578 be excluded.

579 Sec. 506. Subdivision (22) of subsection (d) of section 2c-2b of the  
580 general statutes is repealed. (*Effective from passage*)"