



General Assembly

January Session, 2009

Raised Bill No. 984

LCO No. 3524

03524_____ET_

Referred to Committee on Energy and Technology

Introduced by:

(ET)

**AN ACT CONCERNING TELECOMMUNICATIONS COMPANIES'
AUDITS AND FILINGS.**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. (NEW) (*Effective July 1, 2009*) The date and time of filing of
2 each document with the Department of Public Utility Control shall be
3 the date and time by which the department first receives a complete
4 electronic or paper version of such document provided such electronic
5 or paper version is filed in accordance with section 16-1-14 of the
6 regulations of Connecticut state agencies. If payment of a fee is
7 required to accompany such document, the department shall not deem
8 a document to be filed until the department receives the fee. If a
9 document is electronically submitted outside of the department's
10 normal business hours, the department shall deem the document to be
11 filed at the time the department's offices next open. The department
12 shall not require paper versions of electronic filings to be filed.

13 Sec. 2. Section 16-32 of the general statutes is repealed and the
14 following is substituted in lieu thereof (*Effective October 1, 2009*):

15 Each public service company, except telegraph companies and

16 express companies subject to the jurisdiction of the Interstate
17 Commerce Commission or its successor agency and companies owned,
18 directly or indirectly, by a parent company, the accounts and
19 operations of which are required to be audited annually in accordance
20 with federal law, shall have an annual comprehensive audit and report
21 made of its accounts and operations by independent public
22 accountants satisfactory to the Department of Public Utility Control. A
23 copy of such annual audit report shall be filed with the department,
24 together with the company's annual report. In the absence of such an
25 audit report, or if the department, after notice and opportunity for a
26 hearing, determines that such audit report is insufficient or
27 unsatisfactory, the department shall cause such an audit to be made at
28 the expense of the company either by independent public accountants
29 satisfactory to the department or by any staff of the department
30 engaged in the activities contemplated by subsection (b) of section 16-
31 8. The department may waive the compliance with the provisions of
32 this section by any public service company whose annual gross income
33 is less than one hundred thousand dollars.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>July 1, 2009</i>	New section
Sec. 2	<i>October 1, 2009</i>	16-32

Statement of Purpose:

To clarify for telecommunications companies when a document is considered filed with the Department of Public Utility Control and eliminate the need for a paper version of a filing sent electronically and when audits are required.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]