

16 members by the Governor. Members of the board shall serve for four-
17 year terms which shall commence on October 1, 2005, except that
18 members first appointed shall have the following terms: The Governor
19 shall appoint two members for a term of three years and one member
20 for a term of four years; the majority leader of the House of
21 Representatives, minority leader of the House of Representatives and
22 the speaker of the House of Representatives shall each appoint one
23 member for a term of two years; the president pro tempore of the
24 Senate, the majority leader of the Senate and the minority leader of the
25 Senate shall each appoint one member for a term of four years. No
26 individual shall be appointed to more than one four-year term as a
27 member of the board, provided, members may not continue in office
28 once their term has expired and members first appointed may not be
29 reappointed. No more than five members shall be members of the
30 same political party. The members appointed by the majority leader of
31 the Senate and the majority leader of the House of Representatives
32 shall be selected from a list of nominees proposed by a citizen group
33 having an interest in ethical government. The majority leader of the
34 Senate and the majority leader of the House of Representatives shall
35 each determine the citizen group from which each will accept such
36 nominations. One member appointed by the Governor shall be
37 selected from a list of nominees proposed by a citizen group having an
38 interest in ethical government. The Governor shall determine the
39 citizen group from which the Governor will accept such nominations.

40 (b) All members shall be electors of the state. No member shall be a
41 state employee. No member or employee of such board shall (1) hold
42 or campaign for any public office; (2) have held public office or have
43 been a candidate for public office for a three-year period prior to
44 appointment; (3) hold office in any political party or political
45 committee or be a member of any organization or association
46 organized primarily for the purpose of influencing legislation or
47 decisions of public agencies; or (4) be an individual who is a registrant
48 as defined in subsection (q) of section 1-91.

49 (c) Any vacancy on the board shall be filled by the appointing
50 authority having the power to make the original appointment. An
51 individual selected by the appointing authority to fill a vacancy shall
52 be eligible for appointment to one full four-year term thereafter. Any
53 vacancy occurring on the board shall be filled within thirty days.

54 (d) The board shall elect a chairperson who shall, except as
55 provided in subsection (b) of section 1-82 and subsection (b) of section
56 1-93, preside at meetings of the board and a vice-chairperson to
57 preside in the absence of the chairperson. Six members of the board
58 shall constitute a quorum. Except as provided in subdivision (3) of
59 subsection (a) of section 1-81, subsections (a) and (b) of section 1-82,
60 subsection (b) of section 1-88, subdivision (5) of section 1-92,
61 subsections (a) and (b) of section 1-93 and subsection (b) of section 1-
62 99, a majority vote of the members shall be required for action of the
63 board. The chairperson or any three members may call a meeting.

64 (e) Any matter before the board, except hearings held pursuant to
65 the provisions of subsection (b) of section 1-82 or subsection (b) of
66 section 1-93, may be assigned by the board to two of its members to
67 conduct an investigation or hearing, as the case may be, to ascertain
68 the facts and report thereon to the board with a recommendation for
69 action.

70 (f) Members of the board shall be compensated at the rate of two
71 hundred dollars per day for each day they attend a meeting or hearing
72 and shall receive reimbursement for their necessary expenses incurred
73 in the discharge of their official duties.

74 (g) The board shall not be construed to be a board or commission
75 within the meaning of section 4-9a.

76 (h) The members and employees of the Citizen's Ethics Advisory
77 Board and the Office of State Ethics shall adhere to the following code
78 of ethics under which the members and employees shall: (1) Observe
79 high standards of conduct so that the integrity and independence of

80 the Citizen's Ethics Advisory Board and the Office of State Ethics may
81 be preserved; (2) respect and comply with the law and conduct
82 themselves at all times in a manner which promotes public confidence
83 in the integrity and impartiality of the board and the Office of State
84 Ethics; (3) be faithful to the law and maintain professional competence
85 in the law; (4) be unswayed by partisan interests, public clamor or fear
86 of criticism; (5) maintain order and decorum in proceedings of the
87 board and Office of State Ethics; (6) be patient, dignified and courteous
88 to all persons who appear in board or Office of State Ethics
89 proceedings and with other persons with whom the members and
90 employees deal in their official capacities; (7) refrain from making any
91 statement outside of a board or Office of State Ethics proceeding,
92 which would have a likelihood of prejudicing a board or Office of State
93 Ethics proceeding; (8) refrain from making any statement outside of a
94 board or Office of State Ethics proceeding that a reasonable person
95 would expect to be disseminated by means of public communication if
96 the member or employee should know that such statement would
97 have a likelihood of materially prejudicing or embarrassing a
98 complainant or a respondent; (9) preserve confidences of complainants
99 and respondents; (10) exercise independent professional judgment on
100 behalf of the board and Office of State Ethics; and (11) represent the
101 board and Office of State Ethics competently.

102 (i) No member or employee of the board or Office of State Ethics
103 may make a contribution, as defined in section 9-601a, to any person
104 subject to the provisions of this part.

105 (j) Members of the board shall recuse themselves from participating
106 in any proceeding or matter undertaken pursuant to this chapter that
107 involves the person who appointed such member to the board.

108 (k) No member of the board may represent any business or person,
109 other than himself or herself, before the board for a period of one year
110 following the end of such member's service on the board. No business
111 or person that appears before the board shall employ or otherwise
112 engage the services of a former member of the board for a period of

113 one year following the end of such former member's service on the
114 board.

115 (l) No member of the board may hold any other position in state
116 employment for a period of one year following the end of such
117 member's service on the board, including, but not limited to, service as
118 a member on a state board or commission, service as a judge of the
119 Superior Court or service as a state agency commissioner.

120 (m) Upon request of any aggrieved party, the board shall delay the
121 effect of any decision rendered by the board for a period not to exceed
122 more than seven days following the rendering of such decision.

123 (n) Notwithstanding the provisions of subsection (a) of this section,
124 each member, prior to appointment, shall sign a certification indicating
125 that such member is aware of the prohibitions contained in subsections
126 (k) and (l) of this section.

127 Sec. 2. Section 1-84b of the general statutes is repealed and the
128 following is substituted in lieu thereof (*Effective from passage*):

129 (a) No former executive branch or quasi-public agency public
130 official or state employee shall represent anyone other than the state,
131 concerning any particular matter (1) in which he participated
132 personally and substantially while in state service, and (2) in which the
133 state has a substantial interest.

134 (b) No former executive branch or quasi-public agency public
135 official or state employee shall, for one year after leaving state service,
136 represent anyone, other than the state, for compensation before the
137 department, agency, board, commission, council or office in which he
138 served at the time of his termination of service, concerning any matter
139 in which the state has a substantial interest. The provisions of this
140 subsection shall not apply to an attorney who is a former employee of
141 the Division of Criminal Justice, with respect to any representation in a
142 matter under the jurisdiction of a court.

143 (c) The provisions of this subsection apply to present or former
144 executive branch public officials or state employees who hold or
145 formerly held positions which involve significant decision-making or
146 supervisory responsibility and are designated as such by the Office of
147 State Ethics in consultation with the agency concerned except that such
148 provisions shall not apply to members or former members of the
149 boards or commissions who serve ex officio, who are required by
150 statute to represent the regulated industry or who are permitted by
151 statute to have a past or present affiliation with the regulated industry.
152 Designation of positions subject to the provisions of this subsection
153 shall be by regulations adopted by the Citizen's Ethics Advisory Board
154 in accordance with chapter 54. As used in this subsection, "agency"
155 means the Office of Health Care Access, the Connecticut Siting
156 Council, the Department of Banking, the Insurance Department, the
157 Department of Public Safety, the office within the Department of
158 Consumer Protection that carries out the duties and responsibilities of
159 sections 30-2 to 30-68m, inclusive, the Department of Public Utility
160 Control, including the Office of Consumer Counsel, the Division of
161 Special Revenue and the Gaming Policy Board and the term
162 "employment" means professional services or other services rendered
163 as an employee or as an independent contractor.

164 (1) No public official or state employee, in an executive branch
165 position designated by the Office of State Ethics shall negotiate for,
166 seek or accept employment with any business subject to regulation by
167 his agency.

168 (2) No former public official or state employee who held such a
169 position in the executive branch shall within one year after leaving an
170 agency, accept employment with a business subject to regulation by
171 that agency.

172 (3) No business shall employ a present or former public official or
173 state employee in violation of this subsection.

174 (d) The provisions of subsection (e) of this section apply to (1)

175 present or former Gaming Policy Board or Division of Special Revenue
176 public officials or state employees who hold or formerly held positions
177 which involve significant decision-making or supervisory
178 responsibility and are designated as such by the Office of State Ethics,
179 in consultation with the agency concerned, and (2) present or former
180 public officials or state employees of other agencies who hold or
181 formerly held positions which involve significant decision-making or
182 supervisory responsibility concerning the regulation or investigation
183 of (A) any business entity (i) engaged in Indian gaming operations in
184 the state, and (ii) in which a federally-recognized Indian tribe in the
185 state owns a controlling interest, or (B) a governmental agency of a
186 federally-recognized Indian tribe engaged in Indian gaming operations
187 in the state, which positions are designated as such by the Office of
188 State Ethics, in consultation with the agency concerned. Designation of
189 positions subject to the provisions of this subsection shall be by
190 regulations adopted by the Citizen's Ethics Advisory Board in
191 accordance with chapter 54. As used in subsection (e) of this section,
192 the term "employment" means professional services or other services
193 rendered as an employee or as an independent contractor.

194 (e) (1) No Gaming Policy Board or Division of Special Revenue
195 public official or state employee or other public official or state
196 employee described in subdivision (2) of subsection (d) of this section,
197 in a position designated by the Office of State Ethics, shall negotiate
198 for, seek or accept employment with (A) a business entity (i) engaged
199 in Indian gaming operations in the state, and (ii) in which a federally-
200 recognized Indian tribe in the state owns a controlling interest, or (B) a
201 governmental agency of a federally-recognized Indian tribe engaged in
202 Indian gaming operations in the state.

203 (2) No former Gaming Policy Board or Division of Special Revenue
204 public official or state employee or other former public official or state
205 employee described in subdivision (2) of subsection (d) of this section,
206 who held such a position shall, within two years after leaving such
207 agency, accept employment with (A) a business entity (i) engaged in

208 Indian gaming operations in the state, and (ii) in which a federally-
209 recognized Indian tribe in the state owns a controlling interest, or (B) a
210 governmental agency of a federally-recognized Indian tribe engaged in
211 Indian gaming operations in the state.

212 (f) No former public official or state employee (1) who participated
213 substantially in the negotiation or award of (A) a state contract valued
214 at an amount of fifty thousand dollars or more, or (B) a written
215 agreement for the approval of a payroll deduction slot described in
216 section 3-123g, or (2) who supervised the negotiation or award of such
217 a contract or agreement, shall accept employment with a party to the
218 contract or agreement other than the state for a period of one year after
219 his resignation from his state office or position if his resignation occurs
220 less than one year after the contract or agreement is signed. No party
221 to such a contract or agreement other than the state shall employ any
222 such former public official or state employee in violation of this
223 subsection.

224 (g) No member or director of a quasi-public agency who
225 participates substantially in the negotiation or award of a contract
226 valued at an amount of fifty thousand dollars or more, or who
227 supervised the negotiation or award of such a contract, shall seek,
228 accept, or hold employment with a party to the contract for a period of
229 one year after the signing of the contract.

230 (h) The provisions of subsections (a), (b) and (f) of this section shall
231 not apply to any employee of a quasi-public agency who leaves such
232 agency before July 1, 1989.

233 (i) No Treasurer who authorizes, negotiates or renegotiates a
234 contract for investment services valued at an amount of fifty thousand
235 dollars or more shall negotiate for, seek or accept employment with a
236 party to the contract prior to one year after the end of the Treasurer's
237 term of office within which such contract for investment services was
238 authorized, negotiated or renegotiated by such Treasurer.

239 (j) No former executive, judicial or legislative branch or quasi-public
240 agency official or state employee convicted of any felony involving
241 corrupt practices, abuse of office or breach of the public trust shall seek
242 or accept employment as a lobbyist or act as a registrant pursuant to
243 this chapter.

244 (k) No former Governor shall accept employment or act as a
245 registrant pursuant to the provisions of this chapter, for one year after
246 leaving state service, on behalf of any business that received a contract
247 with any department or agency of the state during such Governor's
248 term. No business shall employ a former Governor in violation of this
249 subsection.

250 (l) Each executive branch or quasi-public agency official or
251 employee, prior to entering such service or employment, respectively,
252 shall sign a certification indicating that such official or employee is
253 aware of the prohibitions contained in subsections (a) to (f), inclusive,
254 of this section.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>from passage</i>	1-80
Sec. 2	<i>from passage</i>	1-84b

GAE *Joint Favorable*