



General Assembly

January Session, 2007

**Bill No. 1112**

LCO No. 4073

\*04073 \_\_\_\_\_ \*

Referred to Committee on No Committee

Introduced by:

REP. AMANN, 118<sup>th</sup> Dist.

SEN. WILLIAMS, 29<sup>th</sup> Dist.

**AN ACT CONCERNING THE STATE CONTRACTOR CONTRIBUTION BAN.**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Section 9-612 of the general statutes is repealed and the  
2 following is substituted in lieu thereof (*Effective from passage*):

3 (a) No individual shall make a contribution or contributions in any  
4 one calendar year in excess of five thousand dollars to the state central  
5 committee of any party, or for the benefit of such committee pursuant  
6 to its authorization or request; or one thousand dollars to a town  
7 committee of any political party, or for the benefit of such committee  
8 pursuant to its authorization or request; or one thousand dollars to a  
9 legislative caucus committee or legislative leadership committee, or  
10 seven hundred fifty dollars to any other political committee other than  
11 (1) a political committee formed solely to aid or promote the success or  
12 defeat of a referendum question, (2) an exploratory committee, (3) a  
13 political committee established by an organization, or for the benefit of  
14 such committee pursuant to its authorization or request, or (4) a

15 political committee formed by a slate of candidates in a primary for the  
16 office of justice of the peace of the same town.

17 (b) No individual shall make a contribution to a political committee  
18 established by an organization which receives its funds from the  
19 organization's treasury. With respect to a political committee  
20 established by an organization which has complied with the provisions  
21 of subsection (b) or (c) of section 9-614, and has elected to receive  
22 contributions, no individual other than a member of the organization  
23 may make contributions to the committee, in which case the individual  
24 may contribute not more than seven hundred fifty dollars in any one  
25 calendar year to such committee or for the benefit of such committee  
26 pursuant to its authorization or request.

27 (c) In no event may any individual make contributions to a  
28 candidate committee and a political committee formed solely to  
29 support one candidate other than an exploratory committee or for the  
30 benefit of a candidate committee and a political committee formed  
31 solely to support one candidate pursuant to the authorization or  
32 request of any such committee, in an amount which in the aggregate is  
33 in excess of the maximum amount which may be contributed to the  
34 candidate.

35 (d) Any individual may make unlimited contributions or  
36 expenditures to aid or promote the success or defeat of any  
37 referendum question, provided any individual who makes an  
38 expenditure or expenditures in excess of one thousand dollars to  
39 promote the success or defeat of any referendum question shall file  
40 statements according to the same schedule and in the same manner as  
41 is required of a campaign treasurer of a political committee under  
42 section 9-608.

43 (e) (1) Any individual acting alone may, independent of any  
44 candidate, agent of the candidate, or committee, make unlimited  
45 expenditures to promote the success or defeat of any candidate's  
46 campaign for election, or nomination at a primary, to any office or

47 position. Except as provided in subdivision (2) of this subsection, any  
48 individual who makes an independent expenditure or expenditures in  
49 excess of one thousand dollars to promote the success or defeat of any  
50 candidate's campaign for election, or nomination at a primary, to any  
51 such office or position shall file statements according to the same  
52 schedule and in the same manner as is required of a campaign  
53 treasurer of a candidate committee under section 9-608.

54 (2) Any person who makes or obligates to make an independent  
55 expenditure or expenditures, as defined in section 9-601, intended to  
56 promote the success or defeat of a candidate for the office of Governor,  
57 Lieutenant Governor, Secretary of the State, State Treasurer, State  
58 Comptroller, Attorney General, state senator or state representative,  
59 which exceeds one thousand dollars, in the aggregate, during a  
60 primary campaign or a general election campaign, as defined in  
61 section 9-700, on or after January 1, 2008, shall file a report of such  
62 independent expenditure to the State Elections Enforcement  
63 Commission. The report shall be in the same form as statements filed  
64 under section 9-608. If the person makes or obligates to make such  
65 independent expenditure or expenditures more than twenty days  
66 before the day of a primary or election, the person shall file such report  
67 not later than forty-eight hours after such payment or obligation. If the  
68 person makes or obligates to make such independent expenditure or  
69 expenditures twenty days or less before the day of a primary or  
70 election, the person shall file such report not later than twenty-four  
71 hours after such payment or obligation. The report shall be filed under  
72 penalty of false statement.

73 (3) The independent expenditure report in subdivision (2) of this  
74 subsection shall include a statement (A) identifying the candidate for  
75 whom the independent expenditure or expenditures is intended to  
76 promote the success or defeat, and (B) affirming that the expenditure is  
77 not a coordinated expenditure.

78 (4) Any person may file a complaint with the commission upon the

79 belief that (A) any such independent expenditure report or statement  
80 is false, or (B) any person who is required to file an independent  
81 expenditure report under subdivision (2) of this subsection has failed  
82 to do so. The commission shall make a prompt determination on such  
83 a complaint.

84 (5) (A) If a person fails to file a report required under subdivision (2)  
85 of this subsection for an independent expenditure or expenditures  
86 made or obligated to be made more than twenty days before the day of  
87 a primary or election, the person shall be subject to a civil penalty,  
88 imposed by the State Elections Enforcement Commission, of not more  
89 than five thousand dollars. If a person fails to file a report required  
90 under subdivision (2) of this subsection for an independent  
91 expenditure or expenditures made or obligated to be made twenty  
92 days or less before the day of a primary or election, the person shall be  
93 subject to a civil penalty, imposed by the State Elections Enforcement  
94 Commission, of not more than ten thousand dollars. (B) If any such  
95 failure is knowing and wilful, the person responsible for the failure  
96 shall also be fined not more than five thousand dollars or imprisoned  
97 not more than five years, or both.

98 (f) (1) As used in this subsection and subsection (f) of section 9-608,  
99 (A) "investment services" means investment legal services, investment  
100 banking services, investment advisory services, underwriting services,  
101 financial advisory services or brokerage firm services, and (B)  
102 "principal of an investment services firm" means (i) an individual who  
103 is a director of or has an ownership interest in an investment services  
104 firm to which the State Treasurer pays compensation, expenses or fees  
105 or issues a contract, except for an individual who owns less than five  
106 per cent of the shares of an investment services firm, [which is a  
107 publicly traded corporation,] (ii) an individual who is employed by  
108 such an investment services firm as president, treasurer, or executive  
109 [or senior] vice president, (iii) an employee of such an investment  
110 services firm who has managerial or discretionary responsibilities with  
111 respect to any investment services provided to the State Treasurer, (iv)

112 the spouse or a dependent child who is eighteen years of age or older  
113 of an individual described in this subparagraph, or (v) a political  
114 committee established or controlled by [or on behalf of] an individual  
115 described in this subparagraph.

116 (2) No principal of an investment services firm shall make a  
117 contribution to, or solicit contributions on behalf of, an exploratory  
118 committee or candidate committee established by a candidate for  
119 nomination or election to the office of State Treasurer during the term  
120 of office of the State Treasurer who pays compensation, expenses or  
121 fees or issues a contract to such firm. The provisions of this subdivision  
122 shall apply only to contributions and the solicitation of contributions  
123 that are not prohibited under subdivision (2) of subsection (g) of this  
124 section.

125 (3) Neither the State Treasurer, the Deputy State Treasurer, any  
126 unclassified employee of the office of the State Treasurer acting on  
127 behalf of the State Treasurer or Deputy State Treasurer, any candidate  
128 for the office of State Treasurer, any member of the Investment  
129 Advisory Council established under section 3-13b nor any agent of any  
130 such candidate may knowingly, wilfully or intentionally solicit  
131 contributions on behalf of an exploratory committee or candidate  
132 committee established by a candidate for nomination or election to any  
133 public office, a political committee or a party committee, from a  
134 principal of an investment services firm. The provisions of this  
135 subdivision shall apply only to contributions and the solicitation of  
136 contributions that are not prohibited under subdivision (3) of  
137 subsection (g) of this section.

138 (4) No member of the Investment Advisory Council appointed  
139 under section 3-13b shall make a contribution to, or solicit  
140 contributions on behalf of, an exploratory committee or candidate  
141 committee established by a candidate for nomination or election to the  
142 office of State Treasurer.

143 (5) The provisions of this subsection shall not restrict an individual

144 from establishing an exploratory or candidate committee or from  
145 soliciting for and making contributions to a town committee or  
146 political committee that the candidate has designated in accordance  
147 with subsection (b) of section 9-604, for the financing of the  
148 individual's own campaign or from soliciting contributions for such  
149 committees from persons not prohibited from making contributions  
150 under this subsection.

151 (g) (1) As used in this subsection and subsections (h) and (i) of this  
152 section:

153 (A) "Quasi-public agency" has the same meaning as provided in  
154 section 1-120.

155 (B) "State agency" means any office, department, board, council,  
156 commission, institution or other agency in the executive [ ] or  
157 legislative [or judicial] branch of state government.

158 (C) "State contract" means an agreement or contract with the state or  
159 any state agency or any quasi-public agency, let through a  
160 procurement process or otherwise, having a value of fifty thousand  
161 dollars or more, or a combination or series of such agreements or  
162 contracts having a value of one hundred thousand dollars or more in a  
163 [fiscal] calendar year, for (i) the rendition of [personal] services, (ii) the  
164 furnishing of any goods, material, supplies, [or] equipment or any  
165 items of any kind, (iii) the construction, alteration or repair of any  
166 public building or public work, (iv) the acquisition, sale or lease of any  
167 land or building, (v) a licensing arrangement, or (vi) a grant, loan or  
168 loan guarantee. "State contract" does not include any agreement or  
169 contract with the state, any state agency or any quasi-public agency  
170 that is exclusively federally funded, an education loan or a loan to an  
171 individual for other than commercial purposes.

172 (D) "State contractor" means a person, business entity or nonprofit  
173 organization that enters into a state contract. Such person, business  
174 entity or nonprofit organization shall be deemed to be a state

175 contractor until [the termination of said contract] December thirty-first  
176 of the year in which such contract terminates. "State contractor" does  
177 not include a municipality or any other political subdivision of the  
178 state, including any entities or associations duly created by the  
179 municipality or political subdivision exclusively amongst themselves  
180 to further any purpose authorized by statute or charter, or an  
181 employee in the executive [,] or legislative [or judicial] branch of state  
182 government or a quasi-public agency, whether in the classified or  
183 unclassified service and full or part-time, and only in such person's  
184 capacity as a state or quasi-public agency employee.

185 (E) "Prospective state contractor" means a person, business entity or  
186 nonprofit organization that (i) submits a [bid in] response to a [bid]  
187 state contract solicitation by the state, a state agency or a quasi-public  
188 agency, or a proposal in response to a request for proposals by the  
189 state, a state agency or a quasi-public agency, until the contract has  
190 been entered into, or (ii) holds a valid prequalification certificate  
191 issued by the Commissioner of Administrative Services under section  
192 4a-100. "Prospective state contractor" does not include a municipality  
193 or any other political subdivision of the state, including any entities or  
194 associations duly created by the municipality or political subdivision  
195 exclusively amongst themselves to further any purpose authorized by  
196 statute or charter, or an employee in the executive [,] or legislative [or  
197 judicial] branch of state government or a quasi-public agency, whether  
198 in the classified or unclassified service and full or part-time, and only  
199 in such person's capacity as a state or quasi-public agency employee.

200 (F) "Principal of a state contractor or prospective state contractor"  
201 means (i) [an] any individual who is a member of the board of  
202 directors of, or has an ownership interest of five per cent or more in, a  
203 state contractor or prospective state contractor, which is a business  
204 entity, except for an individual who [(I) owns less than five per cent of  
205 the shares of any such state contractor or prospective state contractor  
206 that is a publicly traded corporation, or (II)] is a member of the board  
207 of directors of a nonprofit organization, [qualified under Section

208 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent  
209 corresponding internal revenue code of the United States, as from time  
210 to time amended,] (ii) an individual who is employed by a state  
211 contractor or prospective state contractor, which is a business entity, as  
212 president, treasurer or executive or senior vice president, (iii) an  
213 individual who is the chief executive officer of a state contractor or  
214 prospective state contractor, which is not a business entity, or if a state  
215 contractor or prospective state contractor has no such officer, then the  
216 officer who duly possesses comparable powers and duties, (iv) an  
217 officer or an employee of any state contractor or prospective state  
218 contractor who has managerial or discretionary responsibilities with  
219 respect to a state contract, (v) the spouse or a dependent child who is  
220 eighteen years of age or older of an individual described in this  
221 subparagraph, or (vi) a political committee established or controlled by  
222 [or on behalf of] an individual described in this subparagraph or the  
223 business entity or nonprofit organization that is the state contractor or  
224 prospective state contractor.

225 (G) "Dependent child" means a child residing in an individual's  
226 household who may legally be claimed as a dependent on the federal  
227 income tax return of such individual.

228 (H) "Managerial or discretionary responsibilities with respect to a  
229 state contract" means having direct, extensive and substantive  
230 responsibilities with respect to the negotiation of the state contract and  
231 not peripheral, clerical or ministerial responsibilities.

232 (I) "Rendition of services" means the provision of any service to a  
233 state agency or quasi-public agency in exchange for a fee,  
234 remuneration or compensation of any kind from the state or through  
235 an arrangement with the state.

236 (J) "State contract solicitation" means a request by a state agency or  
237 quasi-public agency, in whatever form issued, including, but not  
238 limited to, an invitation to bid, request for proposals, request for  
239 information or request for quotes, inviting bids, quotes or other types

240 of submittals, through a competitive procurement process or another  
241 process authorized by law waiving competitive procurement.

242 (2) On and after December 31, 2006:

243 (A) No state contractor, prospective state contractor, principal of a  
244 state contractor or principal of a prospective state contractor, with  
245 regard to a state contract [, bid solicitation or request for proposals]  
246 solicitation with or from a state agency in the executive branch or a  
247 quasi-public agency or a holder, or principal of a holder of a valid  
248 prequalification certificate, shall make a contribution to, or solicit  
249 contributions on behalf of (i) an exploratory committee or candidate  
250 committee established by a candidate for nomination or election to the  
251 office of Governor, Lieutenant Governor, Attorney General, State  
252 Comptroller, Secretary of the State or State Treasurer, (ii) a political  
253 committee authorized to make contributions or expenditures to or for  
254 the benefit of such candidates, or (iii) a party committee;

255 (B) No state contractor, prospective state contractor, principal of a  
256 state contractor or principal of a prospective state contractor, with  
257 regard to a state contract [, bid solicitation or request for proposals]  
258 solicitation with or from the General Assembly or a holder, or  
259 principal of a holder, of a valid prequalification certificate, shall make  
260 a contribution to, or solicit contributions on behalf of (i) an exploratory  
261 committee or candidate committee established by a candidate for  
262 nomination or election to the office of state senator or state  
263 representative, (ii) a political committee authorized to make  
264 contributions or expenditures to or for the benefit of such candidates,  
265 or (iii) a party committee;

266 (C) If a state contractor or principal of a state contractor makes or  
267 solicits a contribution prohibited under subparagraph (A) or (B) of this  
268 subdivision, as determined by the State Elections Enforcement  
269 Commission, the contracting state agency or quasi-public agency may,  
270 in the case of a state contract executed on or after [December 7, 2005,]  
271 the effective date of this section void the existing contract with said

272 contractor, and no state agency or quasi-public agency shall award the  
273 state contractor a state contract or an extension or an amendment to a  
274 state contract for one year after the election for which such  
275 contribution is made or solicited unless the commission determines  
276 that mitigating circumstances exist concerning such violation. No  
277 violation of the prohibitions contained in subparagraph (A) or (B) of  
278 this subdivision shall be deemed to have occurred if, and only if, the  
279 improper contribution is returned to the principal by the later of thirty  
280 days after receipt of such contribution by the recipient committee  
281 treasurer or the filing date that corresponds with the reporting period  
282 in which such contribution was made; [ Each state contract shall  
283 include the provisions of subparagraph (A) or (B) of this subdivision,  
284 whichever is applicable, and this subparagraph as conditions of the  
285 contract;] and

286 (D) If a prospective state contractor or principal of a prospective  
287 state contractor makes or solicits a contribution prohibited under  
288 subparagraph (A) or (B) of this subdivision, as determined by the State  
289 Elections Enforcement Commission, no state agency or quasi-public  
290 agency shall award the prospective state contractor the contract  
291 described in the [bid] state contract solicitation [or request for  
292 proposals,] or any other state contract for one year after the election for  
293 which such contribution is made or solicited unless the commission  
294 determines that mitigating circumstances exist concerning such  
295 violation. [Each state agency and quasi-public agency shall include the  
296 provisions of subparagraph (A) or (B) of this subdivision, whichever is  
297 applicable, and this subparagraph in each bid solicitation and request  
298 for proposals issued by the agency, and the Commissioner of  
299 Administrative Services shall include such provisions in each  
300 prequalification issued by said commissioner. The chief executive  
301 officer of each prospective state contractor shall: (i) Inform each  
302 individual described in subparagraph (F) of subdivision (1) of this  
303 subsection with regard to said prospective state contractor concerning  
304 the provisions of subparagraph (A) or (B) of this subdivision,  
305 whichever is applicable, and this subparagraph, (ii) certify in a sworn

306 statement that no such individual will make or solicit a contribution in  
307 violation of the provisions of subparagraph (A) or (B) of this  
308 subdivision, whichever is applicable, and this subparagraph, and (iii)  
309 acknowledge in writing that if any such contribution is made or  
310 solicited, the prospective state contractor shall be disqualified from  
311 being awarded the contract described in the bid solicitation or request  
312 for proposals or being awarded any other state contract for one year  
313 after the election for which such contribution is made or solicited.]

314 (E) The State Elections Enforcement Commission shall make  
315 available to each state agency and quasi-public agency a written notice  
316 advising state contractors and prospective state contractors of the  
317 contribution and solicitation prohibitions contained in subparagraphs  
318 (A) and (B) of this subdivision. Such notice shall: (i) Direct each state  
319 contractor and prospective state contractor to inform each individual  
320 described in subparagraph (F) of subdivision (1) of this subsection,  
321 with regard to said state contractor or prospective state contractor,  
322 about the provisions of subparagraph (A) or (B) of this subdivision,  
323 whichever is applicable, and this subparagraph; (ii) inform each state  
324 contractor and prospective state contractor of the civil and criminal  
325 penalties that could be imposed for violations of such prohibitions if  
326 any such contribution is made or solicited; (iii) inform each state  
327 contractor and prospective state contractor that, in the case of a state  
328 contractor, if any such contribution is made or solicited, the contract  
329 may be voided; (iv) inform each state contractor and prospective state  
330 contractor that, in the case of a prospective state contractor, if any such  
331 contribution is made or solicited, the contract described in the state  
332 contract solicitation shall not be awarded, unless the commission  
333 determines that mitigating circumstances exist concerning such  
334 violation; and (v) inform each state contractor and prospective state  
335 contractor that the state will not award any other state contract to  
336 anyone found in violation of such prohibitions for a period of one year  
337 after the election for which such contribution is made or solicited,  
338 unless the commission determines that mitigating circumstances exist  
339 concerning such violation. Each state agency and quasi-public agency

340 shall distribute such notice to the chief executive officer of its  
341 contractors and prospective state contractors, or an authorized  
342 signatory to a state contract, and shall obtain a written  
343 acknowledgement of the receipt of such notice.

344 (3) (A) On and after December 31, 2006, neither the Governor,  
345 Lieutenant Governor, Attorney General, State Comptroller, Secretary  
346 of the State or State Treasurer, any candidate for any such office nor  
347 any agent of any such official or candidate [may] shall knowingly,  
348 wilfully or intentionally solicit contributions on behalf of an  
349 exploratory committee or candidate committee established by a  
350 candidate for nomination or election to any public office, a political  
351 committee or a party committee, from a person who he or she knows is  
352 prohibited from making contributions, including a principal of a state  
353 contractor or prospective state contractor with regard to a state  
354 contract [, bid solicitation or request for proposals] solicitation with or  
355 from a state agency in the executive branch or a quasi-public agency or  
356 a holder of a valid prequalification certificate.

357 (B) On and after December 31, 2006, neither a member of the  
358 General Assembly, any candidate for any such office nor any agent of  
359 any such official or candidate [may] shall knowingly, wilfully or  
360 intentionally solicit contributions on behalf of an exploratory  
361 committee or candidate committee established by a candidate for  
362 nomination or election to any public office, a political committee or a  
363 party committee, from a person who he or she knows is prohibited  
364 from making contributions, including a principal of a state contractor  
365 or prospective state contractor with regard to a state contract [, bid  
366 solicitation or request for proposals] solicitation with or from the  
367 General Assembly or a holder of a valid prequalification certificate.

368 (4) The provisions of this subsection shall not restrict a principal of a  
369 state contractor or prospective state contractor from establishing an  
370 exploratory or candidate committee, or from soliciting for and making  
371 contributions to a town committee or political committee that the

372 principal has designated, in accordance with subsection (b) of section  
373 9-604, for said principal's own campaign or from soliciting  
374 contributions for such committees from persons not prohibited from  
375 making contributions under this subsection.

376 (5) Each state contractor and prospective state contractor shall make  
377 reasonable efforts to comply with the provisions of this subsection. If  
378 the State Elections Enforcement Commission determines that a state  
379 contractor or prospective state contractor has failed to make reasonable  
380 efforts to comply with this subsection, the commission may impose  
381 civil penalties against such state contractor or prospective state  
382 contractor in accordance with subsection (a) of section 9-7b.

383 (h) (1) Not later than [July 1, 2006] thirty days after the effective date  
384 of this section, each state agency and quasi-public agency shall prepare  
385 and forward to the State Elections Enforcement Commission, on a form  
386 prescribed by said commission, a list of the [state contracts for which  
387 the agency is a party and a list of the principals of] names of the state  
388 contractors [or] and prospective state contractors [for (A) such  
389 contracts] with which such agency is a party to a contract, and [(B) any  
390 bid] any state contract solicitations [, requests for proposals] or  
391 prequalification certificates issued by the agency. [Not later than  
392 August 1, 2006, and monthly thereafter, each] Not less than once per  
393 month, each state agency and quasi-public agency shall forward to  
394 said commission, on a form prescribed by the commission, any  
395 changes additions or deletions to said lists, not later than the fifteenth  
396 day of the month. [With the consent of the commission, any state  
397 agency may designate the commission to obtain such information for  
398 the purpose of preparing such lists and any changes, additions or  
399 deletions thereto.]

400 (2) Not later than [December 31, 2006] sixty days after the effective  
401 date of this section, the State Elections Enforcement Commission shall  
402 (A) compile a master list [of principals] of state contractors and  
403 prospective state contractors for all state agencies and quasi-public

404 agencies, based on the information received under subdivision (1) of  
405 this subsection, (B) publish the master list on the commission's Internet  
406 web site, and (C) provide copies of the master list to campaign  
407 treasurers upon request. The commission shall update the master list  
408 every [three months] month. [Any campaign treasurer who acts in  
409 reliance on such master list in good faith shall have a complete defense  
410 in any action against the campaign treasurer for depositing a  
411 contribution in violation of subsection (g) of this section.]

412 (i) The State Elections Enforcement Commission shall study  
413 subcontracts for state contracts and, not later than February 1, 2009,  
414 submit proposed legislation for extending the provisions of this  
415 subsection to such subcontracts to the joint standing committee of the  
416 General Assembly having cognizance of matters relating to elections.

417 (j) (1) As used in this subsection:

418 (A) "Quasi-public agency" has the same meaning as provided in  
419 section 1-120.

420 (B) "Unclassified service" has the same meaning as provided in  
421 section 5-196.

422 (2) On and after December 31, 2006:

423 (A) No executive head of a state agency in the executive branch,  
424 executive head of a quasi-public agency, deputy of any such executive  
425 head, other full-time official or employee of any such state agency or  
426 quasi-public agency who is appointed by the Governor, other full-time  
427 official or employee of any such state agency or quasi-public agency  
428 who is in the unclassified service, or member of the immediate family  
429 of any such person, shall make a contribution or contributions (i) to, or  
430 for the benefit of, any candidate's campaign for nomination at a  
431 primary or election to the office of Governor or Lieutenant Governor,  
432 in excess of one hundred dollars for each such campaign, or (ii) to a  
433 political committee established by any such candidate, in excess of one

434 hundred dollars in any calendar year;

435 (B) No official or employee of the office of the Attorney General,  
436 State Comptroller, Secretary of the State or State Treasurer who is in  
437 the unclassified service, or member of the immediate family of any  
438 such person, shall make a contribution or contributions (i) to, or for the  
439 benefit of, any candidate's campaign for nomination at a primary or  
440 election to the office in which such official or employee serves, in  
441 excess of one hundred dollars for each such campaign, or (ii) to a  
442 political committee established by any such candidate, in excess of one  
443 hundred dollars in any calendar year; and

444 (C) No member of a caucus staff for a major party in the Senate or  
445 House of Representatives, or member of the immediate family of such  
446 person, shall make a contribution or contributions (i) to, or for the  
447 benefit of, any candidate's campaign for nomination at a primary or  
448 election to the office of state senator or state representative, in excess of  
449 one hundred dollars for each such campaign, (ii) to a political  
450 committee established by any such candidate, in excess of one hundred  
451 dollars in any calendar year, or (iii) to a legislative caucus committee  
452 or a legislative leadership committee, in excess of one hundred dollars  
453 in any calendar year.

454 Sec. 2. Subsection (e) of section 9-611 of the general statutes is  
455 repealed and the following is substituted in lieu thereof (*Effective from*  
456 *passage*):

457 (e) No individual who is less than [sixteen] eighteen years of age  
458 shall make a contribution or contributions, in excess of thirty dollars  
459 to, for the benefit of, or pursuant to the authorization or request of: (1)  
460 A candidate or a committee supporting or opposing any candidate's  
461 campaign for nomination at a primary to any office; (2) a candidate or  
462 a committee supporting or opposing any candidate's campaign for  
463 election to any office; (3) an exploratory committee; (4) any other  
464 political committee in any calendar year; or (5) a party committee in  
465 any calendar year. Notwithstanding any provision of subdivision (2) of

466 section 9-7b, any individual who is less than [sixteen] eighteen years of  
467 age who violates any provision of this subsection shall not be subject to  
468 the provisions of subdivision (2) of section 9-7b.

469 Sec. 3. Subdivision (3) of subsection (c) of section 9-608 of the  
470 general statutes is repealed and the following is substituted in lieu  
471 thereof (*Effective from passage*):

472 (3) In addition to the requirements of subdivision (2) of this  
473 subsection, each contributor who makes a contribution to a candidate  
474 or exploratory committee for Governor, Lieutenant Governor,  
475 Attorney General, State Comptroller, Secretary of the State, State  
476 Treasurer, state senator or state representative, any political committee  
477 authorized to make contributions to such candidates or committees,  
478 and any party committee that separately, or in the aggregate, exceeds  
479 [one hundred] fifty dollars shall provide with the contribution a  
480 certification that the contributor is not a principal of a state contractor  
481 or prospective state contractor, as defined in subsection (g) of section  
482 9-612, as amended by this act, nor a communicator lobbyist or a  
483 member of the immediate family of a communicator lobbyist and shall  
484 provide the name of the employer of the contributor. The State  
485 Elections Enforcement Commission shall prepare a sample form for  
486 such certification by the contributor and shall make it available to  
487 campaign treasurers and contributors. Such sample form shall include  
488 an explanation of the terms "communicator lobbyist" and "principal of  
489 a state contractor or principal of a prospective state contractor". The  
490 information on such sample form shall be included in any written  
491 solicitation conducted by any such committee. If a campaign treasurer  
492 receives such a contribution and the contributor has not provided such  
493 certification, the campaign treasurer shall: (A) Not later than three  
494 business days after receiving the contribution, send a request for the  
495 certification to the contributor by certified mail, return receipt  
496 requested; (B) not deposit the contribution until the campaign  
497 treasurer obtains the certification from the contributor,  
498 notwithstanding the provisions of section 9-606; and (C) return the

499 contribution to the contributor if the contributor does not provide the  
500 certification not later than fourteen days after the treasurer's written  
501 request or at the end of the reporting period in which the contribution  
502 was received, whichever is later. If a campaign treasurer deposits a  
503 contribution based on a certification that is later determined to be false,  
504 the treasurer shall not be in violation of this subdivision. [and the  
505 campaign treasurer did not know and should not have known that the  
506 certification was false, the campaign treasurer's lack of knowledge of  
507 the false certification shall be a complete defense in any action against  
508 the campaign treasurer for depositing the contribution in violation of  
509 this subdivision.]

510 Sec. 4. Subdivision (26) of section 9-601 of the general statutes is  
511 repealed and the following is substituted in lieu thereof (*Effective from*  
512 *passage*):

513 (26) "Solicit" means (A) requesting that a contribution be made, (B)  
514 participating in any fund-raising activities for a candidate committee,  
515 exploratory committee, political committee or party committee,  
516 including, but not limited to, forwarding tickets to potential  
517 contributors, receiving contributions for transmission to any such  
518 committee or bundling contributions, (C) serving as chairperson,  
519 [campaign] treasurer [,] or deputy [campaign] treasurer [or any other  
520 officer] of any such committee, or (D) establishing a political  
521 committee for the sole purpose of soliciting or receiving contributions  
522 for any committee. "Solicit" does not include (i) making a contribution  
523 that is otherwise permitted under this chapter, (ii) informing any  
524 person of a position taken by a candidate for public office or a public  
525 official, [or] (iii) notifying the person of any activities of, or contact  
526 information for, any candidate for public office, or (iv) serving as a  
527 member in any party committee or as an officer of such committee that  
528 is not otherwise prohibited in this subdivision.

<p>This act shall take effect as follows and shall amend the following sections:</p>
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Section 1	<i>from passage</i>	9-612
Sec. 2	<i>from passage</i>	9-611(e)
Sec. 3	<i>from passage</i>	9-608(c)(3)
Sec. 4	<i>from passage</i>	9-601(26)