



General Assembly

Amendment

January Session, 2005

LCO No. 8085

SB0006108085SD0

Offered by:

SEN. WILLIAMS, 29th Dist.

SEN. LOONEY, 11th Dist.

SEN. DEFRONZO, 6th Dist.

To: Subst. Senate Bill No. 61

File No. 782

Cal. No. 436

**"AN ACT PROVIDING FOR COMPREHENSIVE CAMPAIGN
FINANCE REFORM FOR STATE-WIDE CONSTITUTIONAL AND
GENERAL ASSEMBLY OFFICES."**

1 Strike everything after the enacting clause and substitute the
2 following in lieu thereof:

3 "Section 1. (NEW) (*Effective July 1, 2005, and applicable to elections held*
4 *in 2010, and thereafter*) As used in sections 1 to 17, inclusive, of this act:

5 (1) "Commission" means the State Elections Enforcement
6 Commission.

7 (2) "Convention" has the same meaning as provided in section 9-372
8 of the general statutes.

9 (3) "Depository account" means the single checking account at the
10 depository institution designated as the depository for the candidate
11 committee's moneys in accordance with the provisions of subsection

12 (a) of section 9-333f of the general statutes.

13 (4) "Eligible petitioning party candidate" means a candidate for
14 election to an office pursuant to part III C of chapter 153 of the general
15 statutes whose nominating petition has been approved by the
16 Secretary of the State pursuant to subsection (c) of section 9-453o of the
17 general statutes.

18 (5) "Fund" means the Citizens' Election Fund established in section 2
19 of this act.

20 (6) "General election campaign" means (A) in the case of a candidate
21 nominated at a primary, the period beginning on the day following the
22 primary and ending on the date the campaign treasurer files the final
23 statement for such campaign pursuant to section 9-333j of the general
24 statutes, as amended by this act, or (B) in the case of a candidate
25 nominated without a primary, the period beginning on the day
26 following the day on which the candidate is nominated and ending on
27 the date the campaign treasurer files the final statement for such
28 campaign pursuant to section 9-333j of the general statutes, as
29 amended by this act.

30 (7) "Major party" has the same meaning as provided in section 9-372
31 of the general statutes.

32 (8) "Minor party" has the same meaning as provided in section 9-372
33 of the general statutes.

34 (9) "Primary campaign" means the period beginning on the day
35 following the close of a convention and ending on the day of a primary
36 held for the purpose of nominating a candidate for an office.

37 (10) "Qualified candidate committee" means a candidate committee
38 (A) established to aid or promote the success of any candidate for
39 nomination or election to a state office, and (B) approved by the
40 commission to receive a grant from the Citizens' Election Fund under
41 section 5 of this act.

42 (11) "State office" means the office of Governor, Lieutenant
43 Governor, Attorney General, State Comptroller, State Treasurer,
44 Secretary of the State, state senator or state representative.

45 Sec. 2. (NEW) (*Effective July 1, 2005*) There is established, within the
46 General Fund, a separate, nonlapsing account to be known as the
47 "Citizens' Election Fund". The fund may contain any moneys required
48 by law to be deposited in the fund. Investment earnings credited to the
49 assets of the fund shall become part of the assets of the fund. The State
50 Treasurer shall administer the fund. Any balance remaining in the
51 fund at the end of any fiscal year shall be carried forward in the fund
52 for the next fiscal year. All moneys deposited in the fund shall be used
53 for the purposes of sections 1 to 17, inclusive, of this act. The State
54 Elections Enforcement Commission may deduct and retain from the
55 moneys in the fund an amount equal to the costs incurred by the
56 commission in administering the provisions of sections 1 to 17,
57 inclusive, of this act provided said amount shall not exceed one per
58 cent of the moneys deposited in the fund in any fiscal year. Any
59 portion of said one per cent allocation which exceeds said costs
60 incurred by the commission in any fiscal year shall continue to be
61 available for any said costs incurred by the commission in subsequent
62 fiscal years.

63 Sec. 3. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
64 *2010, and thereafter*) (a) There is established a Citizens' Election
65 Program under which (1) the candidate committee of a major party or
66 minor party candidate for nomination to the office of Governor,
67 Lieutenant Governor, Attorney General, State Comptroller, Secretary
68 of the State, State Treasurer, state senator or state representative in
69 2010, or thereafter, may receive a grant from the Citizens' Election
70 Fund for the candidate's primary campaign for said nomination, and
71 (2) the candidate committee of a candidate who is nominated by a
72 major party or a minor party, or the candidate committee of an eligible
73 petitioning party candidate, for election to the office of Governor,
74 Attorney General, State Comptroller, Secretary of the State, State
75 Treasurer, state senator or state representative in 2010, or thereafter,

76 may receive a grant from the fund for the candidate's general election
77 campaign for said office.

78 (b) Any such candidate committee is eligible to receive such grants
79 for a primary campaign, if applicable, and a general election campaign
80 if (1) the candidate certifies as a participating candidate under section 4
81 of this act, (2) the candidate's candidate committee receives the
82 required amount of qualifying contributions under section 5 of this act,
83 (3) the candidate's candidate committee returns all contributions that
84 do not meet the criteria for qualifying contributions under section 5 of
85 this act, (4) the candidate's exploratory committee, if any, returns all
86 contributions that do not meet the criteria for qualifying contributions
87 to a candidate committee under section 5 of this act, (5) the candidate
88 agrees to limit the campaign expenditures of the candidate's candidate
89 committee in accordance with the provisions of subdivision (1) of
90 subsection (c) of this section, and (6) the candidate submits an
91 application and the commission approves the application in
92 accordance with the provisions of section 7 of this act.

93 (c) (1) A candidate participating in the Citizens' Election Program
94 shall limit the campaign expenditures of the candidate's candidate
95 committee (A) before a primary campaign and a general election
96 campaign, to the amount of qualifying contributions permitted in
97 section 5 of this act, (B) for a primary campaign, to the sum of (i) the
98 amount of qualifying contributions permitted in section 5 of this act
99 that have not been spent before the primary campaign, and (ii) the
100 amount of the grant for the primary campaign authorized under
101 section 6 of this act, and (C) for a general election campaign, to the sum
102 of (i) the amount of qualifying contributions permitted in section 5 of
103 this act that have not been spent before the general election campaign,
104 (ii) any unexpended funds from any grant for a primary campaign,
105 and (iii) the amount of the grant for the general election campaign
106 authorized under section 6 of this act.

107 (2) (A) Notwithstanding the provisions of subdivision (1) of this
108 subsection, a state central committee of a political party may make in-

109 kind contributions for the benefit of the candidate committee of any
110 candidate participating in the Citizens' Election Program, (i) in any
111 amount, in the case of any such in-kind contribution for the benefit of
112 the candidate committees of two or more candidates nominated by
113 such party, or (ii) in an amount not exceeding ten per cent of the grant
114 that such candidate committee is eligible to receive under section 6 of
115 this act for a primary campaign or a general election campaign,
116 whichever is applicable, in the case of an in-kind contribution for the
117 benefit of the candidate committee of only such participating
118 candidate. Such in-kind contributions may include, but shall not be
119 limited to, voter file data and polling.

120 (B) Notwithstanding the provisions of subdivision (1) of this
121 subsection, (i) a legislative caucus committee may make in-kind
122 contributions for the benefit of the candidate committee of any
123 candidate participating in the Citizens' Election Program, in any
124 amount, and (ii) a political committee established or controlled by a
125 state senator, a state representative or a candidate for the office of state
126 senator or state representative may make in-kind contributions for the
127 benefit of the candidate committee of any candidate participating in
128 the Citizens' Election Program, in an amount not exceeding ten per
129 cent of the grant that such candidate committee is eligible to receive
130 under section 6 of this act for a primary campaign or a general election
131 campaign, whichever is applicable. Such in-kind contributions may
132 include, but shall not be limited to, voter file data and polling.

133 (C) The State Elections Enforcement Commission shall adopt
134 regulations, in accordance with the provisions of chapter 54 of the
135 general statutes, to carry out the purposes of this subdivision.

136 Sec. 4. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
137 *2010, and thereafter*) (a) Each candidate for nomination or election to a
138 state office in 2010, or thereafter, shall file an affidavit with the State
139 Elections Enforcement Commission, at the same time that the
140 candidate files either a committee statement under subsection (a) of
141 section 9-333f of the general statutes or a certification under subsection

142 (b) of said section 9-333f. The affidavit shall include a written
143 certification that the candidate either intends to abide by the
144 expenditure limits under the Citizens' Election Program set forth in
145 subdivision (1) of subsection (c) of section 3 of this act, or does not
146 intend to abide by said limits. If the candidate intends to abide by said
147 limits, the affidavit shall also include written certifications (1) that the
148 campaign treasurer of the candidate committee for said candidate shall
149 expend any moneys received from the Citizens' Election Fund in
150 accordance with the provisions of subsection (g) of section 9-333i of the
151 general statutes and guidelines adopted by the State Elections
152 Enforcement Commission under subsection (e) of section 7 of this act,
153 (2) that the candidate shall repay to the fund any such moneys that are
154 not expended in accordance with subsection (g) of said section 9-333i
155 and said guidelines, and (3) stating the candidate's status as a major
156 party, minor party or petitioning candidate and, in the case of a major
157 party or minor party candidate, the name of such party. No candidate
158 who changes such status or becomes a candidate of a different party
159 during a campaign shall be eligible to receive a grant under the
160 Citizens' Election Program during the campaigns for which the
161 affidavit is filed.

162 (b) A candidate who so certifies the candidate's intent to abide by
163 the expenditure limits under the Citizens' Election Program set forth in
164 subdivision (1) of subsection (c) of section 3 of this act shall be referred
165 to in sections 1 to 17, inclusive, of this act as a "participating candidate"
166 and a candidate who so certifies the candidate's intent to not abide by
167 said limits shall be referred to in sections 1 to 17, inclusive, of this act
168 as a "nonparticipating candidate". The commission shall prepare a list
169 of the participating candidates and a list of the nonparticipating
170 candidates and shall make such lists available for public inspection.

171 Sec. 5. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
172 *2010, and thereafter*) (a) The amount of qualifying contributions that the
173 candidate committee of a candidate shall be required to receive in
174 order to be eligible for grants from the Citizens' Election Fund shall be:

175 (1) In the case of a candidate for nomination or election to the office
176 of Governor, contributions from individuals in the aggregate amount
177 of two hundred fifty thousand dollars, of which two hundred twenty-
178 five thousand dollars or more is contributed by individuals residing in
179 the state, provided (A) the candidate committee shall return the
180 portion of any contribution or contributions from any individual,
181 including such candidate, that exceeds one hundred dollars, and such
182 excess portion shall not be considered in calculating such amounts,
183 and (B) all contributions received by an exploratory committee that
184 meet the criteria for qualifying contributions to candidate committees
185 under this section shall be considered in calculating such amounts; and

186 (2) In the case of a candidate for nomination or election to the office
187 of Lieutenant Governor, Attorney General, State Comptroller, State
188 Treasurer or Secretary of the State, contributions from individuals in
189 the aggregate amount of seventy-five thousand dollars, of which sixty-
190 seven thousand five hundred dollars or more is contributed by
191 individuals residing in the state, provided (A) the candidate committee
192 shall return the portion of any contribution or contributions from any
193 individual, including such candidate, that exceeds one hundred
194 dollars, and such excess portion shall not be considered in calculating
195 such amounts, and (B) all contributions received by an exploratory
196 committee that meet the criteria for qualifying contributions to
197 candidate committees under this section shall be considered in
198 calculating such amounts.

199 (3) In the case of a candidate for nomination or election to the office
200 of state senator, contributions from individuals in the aggregate
201 amount of twenty thousand dollars, of which eighteen thousand
202 dollars or more is contributed by individuals residing in the state,
203 provided (A) the candidate committee shall return the portion of any
204 contribution or contributions from any individual, including such
205 candidate, that exceeds one hundred dollars, and such excess portion
206 shall not be considered in calculating such amounts, and (B) all
207 contributions received by an exploratory committee that meet the
208 criteria for qualifying contributions to candidate committees under this

209 section shall be considered in calculating such amounts.

210 (4) In the case of a candidate for nomination or election to the office
211 of state representative, contributions from individuals in the aggregate
212 amount of seven thousand five hundred dollars, of which six thousand
213 seven hundred fifty dollars or more is contributed by individuals
214 residing in the state, provided (A) the candidate committee shall return
215 the portion of any contribution or contributions from any individual,
216 including such candidate, that exceeds one hundred dollars, and such
217 excess portion shall not be considered in calculating such amounts,
218 and (B) all contributions received by an exploratory committee that
219 meet the criteria for qualifying contributions to candidate committees
220 under this section shall be considered in calculating such amounts.

221 (b) After a candidate committee receives the applicable aggregate
222 amount of qualifying contributions under subsection (a) of this section,
223 the candidate committee shall return any additional contributions that
224 it receives.

225 (c) Each individual who makes a contribution to a candidate
226 committee established to aid or promote the success of a participating
227 candidate for nomination or election to a state office shall include with
228 the contribution a certification that (1) neither the individual nor any
229 member of the immediate family of the individual is a communicator
230 lobbyist, and (2) neither the individual, any member of the immediate
231 family of the individual nor an associated business of the individual or
232 any such immediate family member has a contract with the state. A
233 contribution from (A) a communicator lobbyist or a member of the
234 immediate family of a communicator lobbyist, or (B) an individual
235 who has a contract with the state, any member of the immediate family
236 of such individual, or an associated business of such individual or any
237 such immediate family member shall not be deemed to be a qualifying
238 contribution under subsection (a) of this section and shall be returned
239 by the candidate committee. As used in this subsection, "immediate
240 family" means any spouse or child of an individual or any dependent
241 relatives who reside in the individual's household.

242 (d) Each individual who makes a contribution to a candidate
243 committee established to aid or promote the success of a participating
244 candidate for nomination or election to a state office shall include the
245 individual's name and address with the contribution. A contribution
246 (1) from an individual that does not include such information, or (2)
247 from an individual who does not reside in the state, in excess of the
248 applicable limit on contributions from nonresidents in subsection (a) of
249 this section, shall not be deemed to be a qualifying contribution under
250 subsection (a) of this section and shall be returned by the candidate
251 committee.

252 Sec. 6. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
253 *2010, and thereafter*) (a) (1) The qualified candidate committee of a
254 major party or minor party candidate for the office of Governor who
255 has a primary for nomination to said office shall be eligible to receive a
256 grant from the Citizens' Election Fund for the primary campaign in the
257 amount of one million two hundred fifty thousand dollars, provided,
258 in the case of a primary held in 2014, or thereafter, said amount shall
259 be adjusted under subsection (c) of this section.

260 (2) The qualified candidate committee of a major party or minor
261 party candidate for the office of Governor who is nominated shall be
262 eligible to receive a grant from the fund for the general election
263 campaign in the amount of three million dollars, provided (A) in the
264 case of an election held in 2014, or thereafter, said amount shall be
265 adjusted under subsection (c) of this section, and (B) if a candidate is
266 nominated at a primary and does not expend the entire grant from the
267 fund for the primary campaign, the amount of the grant for the general
268 election campaign shall be reduced by the amount of such unexpended
269 primary grant funds.

270 (3) The qualified candidate committee of an eligible petitioning
271 party candidate for the office of Governor shall be eligible to receive a
272 grant from the fund for the general election campaign in the amount of
273 three million dollars, provided in the case of an election held in 2014,
274 or thereafter, said amount shall be adjusted under subsection (c) of this

275 section.

276 (b) (1) The qualified candidate committee of a major party or minor
277 party candidate for the office of Lieutenant Governor, Attorney
278 General, State Comptroller, Secretary of the State or State Treasurer
279 who has a primary for nomination to said office shall be eligible to
280 receive a grant from the fund for the primary campaign in the amount
281 of one hundred seventy-five thousand dollars, provided, in the case of
282 a primary held in 2014, or thereafter, said amount shall be adjusted
283 under subsection (c) of this section.

284 (2) The qualified candidate committee of a candidate for the office of
285 Attorney General, State Comptroller, Secretary of the State or State
286 Treasurer who is nominated shall be eligible to receive a grant from
287 the fund for the general election campaign in the amount of five
288 hundred thousand dollars, provided (A) in the case of an election held
289 in 2014, or thereafter, said amount shall be adjusted under subsection
290 (c) of this section, and (B) if a candidate is nominated at a primary and
291 does not expend the entire grant from the fund for the primary
292 campaign, the amount of the grant for the general election campaign
293 shall be reduced by the amount of such unexpended primary grant
294 funds.

295 (3) The qualified candidate committee of an eligible petitioning
296 party candidate for the office of Attorney General, State Comptroller,
297 Secretary of the State or State Treasurer shall be eligible to receive a
298 grant from the fund for the general election campaign in the amount of
299 five hundred thousand dollars, provided in the case of an election held
300 in 2014, or thereafter, said amount shall be adjusted under subsection
301 (c) of this section.

302 (c) For elections held in 2014, and thereafter, the amount of the
303 grants in subsections (a) and (b) of this section shall be adjusted by the
304 State Elections Enforcement Commission not later than January 15,
305 2014, and quadrennially thereafter, in accordance with any change in
306 the consumer price index for all urban consumers as published by the

307 United States Department of Labor, Bureau of Labor Statistics, during
308 the period beginning on January 1, 2010, and ending on December
309 thirty-first in the year preceding the year in which said adjustment is
310 to be made.

311 (d) (1) The qualified candidate committee of a major party or minor
312 party candidate for the office of state senator who has a primary for
313 nomination to said office shall be eligible to receive a grant from the
314 fund for the primary campaign in the amount of eighty thousand
315 dollars, provided, in the case of a primary held in 2012, or thereafter,
316 said amount shall be adjusted under subsection (f) of this section.

317 (2) The qualified candidate committee of a major party or minor
318 party candidate for the office of state senator who is nominated shall
319 be eligible to receive a grant from the fund for the general election
320 campaign in the amount of one hundred fifty thousand dollars,
321 provided (A) in the case of an election held in 2012, or thereafter, said
322 amount shall be adjusted under subsection (f) of this section, and (B) if
323 a candidate is nominated at a primary and does not expend the entire
324 grant from the fund for the primary campaign, the amount of the grant
325 for the general election campaign shall be reduced by the amount of
326 such unexpended primary grant funds.

327 (3) The qualified candidate committee of an eligible petitioning
328 party candidate for the office of state senator shall be eligible to receive
329 a grant from the fund for the general election campaign in the amount
330 of one hundred twenty thousand dollars, provided in the case of an
331 election held in 2012, or thereafter, said amount shall be adjusted
332 under subsection (f) of this section.

333 (e) (1) The qualified candidate committee of a major party or minor
334 party candidate for the office of state representative who has a primary
335 for nomination to said office shall be eligible to receive a grant from
336 the fund for the primary campaign in the amount of twenty thousand
337 dollars, provided, in the case of a primary held in 2012, or thereafter,
338 said amount shall be adjusted under subsection (f) of this section.

339 (2) The qualified candidate committee of a candidate for the office of
340 state representative who is nominated shall be eligible to receive a
341 grant from the fund for the general election campaign in the amount of
342 thirty thousand dollars, provided (A) in the case of an election held in
343 2012, or thereafter, said amount shall be adjusted under subsection (f)
344 of this section, and (B) if a candidate is nominated at a primary and
345 does not expend the entire grant from the fund for the primary
346 campaign, the amount of the grant for the general election campaign
347 shall be reduced by the amount of such unexpended primary grant
348 funds.

349 (3) The qualified candidate committee of an eligible petitioning
350 party candidate for the office of state representative shall be eligible to
351 receive a grant from the fund for the general election campaign in the
352 amount of thirty thousand dollars, provided in the case of an election
353 held in 2012, or thereafter, said amount shall be adjusted under
354 subsection (f) of this section.

355 (f) For elections held in 2012, and thereafter, the amount of the
356 grants in subsections (d) and (e) of this section shall be adjusted by the
357 State Elections Enforcement Commission not later than January 15,
358 2012, and biennially thereafter, in accordance with any change in the
359 consumer price index for all urban consumers as published by the
360 United States Department of Labor, Bureau of Labor Statistics, during
361 the period beginning on January 1, 2010, and ending on December
362 thirty-first in the year preceding the year in which said adjustment is
363 to be made.

364 (g) Notwithstanding the provisions of subsections (a) to (f),
365 inclusive, of this section, if a participating candidate who is nominated
366 for election does not have an opponent in the general election
367 campaign, the amount of the general election campaign grant for
368 which the qualified candidate committee of said candidate shall be
369 eligible shall be thirty per cent of the applicable amount set forth in
370 said subsections (a) to (f), inclusive.

371 (h) No grant under this section may be applied to a deficit incurred
372 by a candidate committee.

373 Sec. 7. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
374 *2010, and thereafter*) (a) (1) A candidate for nomination to a state office
375 in 2010, or thereafter, may apply to the Enforcement Commission for a
376 grant from the fund under the Citizens' Election Program for a primary
377 campaign, after the close of the state convention of the candidate's
378 party that is called for the purpose of choosing candidates for
379 nomination for the office that the candidate is seeking, if a primary is
380 required under chapter 153 of the general statutes, and (A) said party
381 endorses the candidate for the office that the candidate is seeking, (B)
382 the candidate receives at least fifteen per cent of the votes of the
383 convention delegates present and voting on any roll-call vote taken on
384 the endorsement or proposed endorsement of a candidate for the office
385 the candidate is seeking, or (C) the candidate circulates a petition and
386 obtains the required number of signatures for filing a candidacy for
387 nomination for said office pursuant to section 9-400 of the general
388 statutes.

389 (2) A candidate for election to a state office in 2010, or thereafter,
390 may apply to the State Elections Enforcement Commission for a grant
391 from the fund under the Citizens' Election Program for a general
392 election campaign, (A) after the close of the state convention of the
393 candidate's party that is called for the purpose of choosing candidates
394 for nomination for the office that the candidate is seeking, if (i) said
395 party endorses said candidate for the office that the candidate is
396 seeking and no other candidate of said party files a certificate of
397 candidacy with the Secretary of the State in accordance with the
398 provisions of section 9-400 of the general statutes, (ii) the candidate
399 receives at least fifteen per cent of the votes of the convention delegates
400 present and voting on any roll-call vote taken on the endorsement or
401 proposed endorsement of a candidate for the office the candidate is
402 seeking, no other candidate for said office at such convention either
403 receives the party endorsement or said percentage of said votes for
404 said endorsement or files a certificate of endorsement with the

405 Secretary of the State in accordance with the provisions of section 9-388
406 of the general statutes or a certificate of candidacy with the Secretary
407 of the State in accordance with the provisions of section 9-400 of the
408 general statutes, and no other candidate for said office circulates a
409 petition and obtains the required number of signatures for filing a
410 candidacy for nomination for said office pursuant to section 9-400 of
411 the general statutes, or (iii) the candidate circulates a petition and
412 obtains the required number of signatures for filing a candidacy for
413 nomination for said office pursuant to section 9-400 of the general
414 statutes and no other candidate for said office at such convention
415 either receives the party endorsement or said percentage of said votes
416 for said endorsement or files a certificate of endorsement with the
417 Secretary of the State in accordance with the provisions of section 9-388
418 of the general statutes or a certificate of candidacy with the Secretary
419 of the State in accordance with the provisions of section 9-400 of the
420 general statutes, (B) after any primary held by such party for
421 nomination for said office, if the Secretary of the State declares that the
422 candidate is the party nominee in accordance with the provisions of
423 section 9-440 of the general statutes, or (C) in the case of a petitioning
424 party candidate, after approval by the Secretary of the State of such
425 candidate's nominating petition pursuant to subsection (c) of section 9-
426 453o of the general statutes.

427 (b) The application shall include a written certification that:

428 (1) The candidate committee has received the required amount of
429 qualifying contributions;

430 (2) The candidate committee has repaid all moneys borrowed on
431 behalf of the campaign, as required by subsection (b) of section 11 of
432 this act;

433 (3) The candidate committee has returned any contribution from an
434 individual who does not include the individual's name and address
435 with the contribution;

436 (4) The candidate committee and exploratory committee have

437 returned all contributions or portions of contributions that do not meet
438 the criteria for qualifying contributions under section 5 of this act;

439 (5) The campaign treasurer of the candidate committee shall comply
440 with the provisions of sections 1 to 17, inclusive, of this act;

441 (6) All moneys received from the Citizens' Election Fund shall be
442 deposited upon receipt into the depository account of the candidate
443 committee;

444 (7) The campaign treasurer of the candidate committee shall expend
445 all moneys received from the fund in accordance with the provisions of
446 subsection (g) of section 9-333i of the general statutes and guidelines
447 adopted by the State Elections Enforcement Commission under
448 subsection (e) of this section; and

449 (8) If the candidate withdraws from the campaign, becomes
450 ineligible or dies during the campaign, the candidate committee of the
451 candidate shall return to the commission, for deposit in the fund, all
452 moneys received from the fund pursuant to sections 1 to 17, inclusive,
453 of this act which said candidate committee has not spent as of the date
454 of such occurrence.

455 (c) The application shall be accompanied by a cumulative itemized
456 accounting of all funds received, expenditures made and expenses
457 incurred but not yet paid by the candidate committee as of three days
458 before the date that the application is signed. Such accounting shall be
459 sworn to under penalty of false statement by the campaign treasurer of
460 the candidate committee. The commission shall prescribe the form of
461 the application and the cumulative itemized accounting, after
462 consulting with the Secretary of the State. The form for such
463 accounting shall conform to the requirements of section 9-333j of the
464 general statutes, as amended by this act. Both the candidate and the
465 campaign treasurer of the candidate committee shall sign the
466 application.

467 (d) Not later than three business days following receipt of any such

468 application, the commission shall review the application, determine
469 whether the candidate committee for the applicant (1) has received the
470 required qualifying contributions, (2) in the case of an application for a
471 grant from the fund for a primary campaign, the applicant has met the
472 applicable condition under subsection (a) of this section for applying
473 for such moneys and complied with the provisions of subsections (b)
474 and (c) of this section, and at least either one other participating
475 candidate for nomination in the primary, from the same party and for
476 the same office as the applicant, has also received the required
477 qualifying contributions or at least one nonparticipating candidate for
478 nomination in the primary, from the same party and for the same
479 office as the applicant, has received an amount of contributions equal
480 to the amount of such qualifying contributions, and (3) in the case of
481 an application for a grant from the fund for a general election
482 campaign, the applicant has met the applicable condition under
483 subsection (a) of this section for applying for such moneys and
484 complied with the provisions of subsections (b) and (c) of this
485 subsection. If the commission approves an application, the commission
486 shall determine the amount of the grant payable to the candidate
487 committee for the applicant, from the fund, and notify the State
488 Comptroller and the candidate of such candidate committee, of such
489 amount. Not later than two business days following notification by the
490 commission, the State Comptroller shall draw an order on the State
491 Treasurer for payment of such amount to the qualified candidate
492 committee from the fund.

493 (e) The State Elections Enforcement Commission shall establish
494 guidelines on permissible expenditures under subsection (g) of section
495 9-333i of the general statutes for qualified candidate committees
496 receiving grants from the fund under sections 1 to 17, inclusive, of this
497 act.

498 Sec. 8. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
499 *2010, and thereafter*) Following the initial deposit of moneys from the
500 Citizens' Election Fund into the depository account of a qualified
501 candidate committee, no contribution, loan, amount of the candidate's

502 own moneys or any other moneys received by the candidate or the
503 campaign treasurer on behalf of the committee shall be deposited into
504 said depository account, except (1) grants from the fund, (2)
505 contributions from party committees, and, in the case of a candidate
506 for the office of state senator or state representative, contributions from
507 a legislative caucus committee, and (3) any additional moneys from the
508 fund as provided in sections 13 and 14 of this act.

509 Sec. 9. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
510 *2010, and thereafter*) A qualified candidate committee that received
511 moneys from the Citizens' Election Fund for a primary campaign and
512 whose candidate is the party nominee shall receive moneys from the
513 fund for a general election campaign. Upon receiving verification from
514 the Secretary of the State of the declaration by the Secretary of the State
515 in accordance with the provisions of section 9-440 of the general
516 statutes of the results of the votes cast at the primary, the State
517 Elections Enforcement Commission shall notify the State Comptroller
518 of the amount payable to such qualified candidate committee. Not
519 later than two business days following notification by the commission,
520 the State Comptroller shall draw an order on the State Treasurer for
521 payment of the general election campaign grant to said committee
522 from said fund.

523 Sec. 10. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
524 *2010, and thereafter*) (a) For purposes of this section, expenditures made
525 to aid or promote the success of both a candidate for nomination or
526 election to the office of Governor and a candidate for nomination or
527 election to the office of Lieutenant Governor jointly, shall be
528 considered expenditures made to aid or promote the success of a
529 candidate for nomination or election to the office of Governor. The
530 party-endorsed candidate for nomination or election to the office of
531 Lieutenant Governor and the party-endorsed candidate for nomination
532 or election to the office of Governor shall be deemed to be aiding or
533 promoting the success of both candidates jointly upon the earliest of
534 the following: (1) The primary, whether held for the office of Governor,
535 the office of Lieutenant Governor, or both; (2) if no primary is held for

536 the office of Governor or Lieutenant Governor, the fourteenth day
537 following the close of the convention; or (3) a declaration by the party-
538 endorsed candidates that they shall campaign jointly. Any other
539 candidate for nomination or election to the office of Lieutenant
540 Governor shall be deemed to be aiding or promoting the success of
541 such candidacy for the office of Lieutenant Governor and the success
542 of a candidate for nomination or election to the office of Governor
543 jointly upon a declaration by the candidates that they shall campaign
544 jointly.

545 (b) The candidate committee formed to aid or promote the success
546 of a candidate for nomination or election to the office of Lieutenant
547 Governor, the candidate of which campaigns jointly with a candidate
548 for nomination or election to the office of Governor, shall be dissolved
549 as of the applicable date set forth in subsection (a) of this section. Not
550 later than fifteen days after the applicable date set forth in subsection
551 (a) of this section, the campaign treasurer of the candidate committee
552 formed to aid or promote the success of said candidate for nomination
553 or election to the office of Lieutenant Governor shall file a statement
554 with the proper authority under section 9-333e of the general statutes,
555 as amended by this act, identifying all contributions received or
556 expenditures made by the committee since the previous statement and
557 the balance on hand or deficit, as the case may be. Not later than thirty
558 days after the applicable date set forth in subsection (a) of this section,
559 (1) the campaign treasurer of a qualified candidate committee formed
560 to aid or promote the success of said candidate for nomination or
561 election to the office of Lieutenant Governor shall distribute any
562 surplus to the fund, and (2) the campaign treasurer of a nonqualified
563 candidate committee formed to aid or promote the success of said
564 candidate for nomination or election to the office of Lieutenant
565 Governor shall distribute such surplus in accordance with the
566 provisions of subsection (e) of section 9-333j of the general statutes, as
567 amended by this act.

568 Sec. 11. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
569 *2010, and thereafter*) (a) A qualified candidate committee may borrow

570 moneys on behalf of a campaign for a primary or a general election
571 from one or more financial institutions, as defined in section 36a-41 of
572 the general statutes, in an aggregate amount not to exceed one
573 thousand dollars. The amount borrowed shall not constitute a
574 qualifying contribution. No individual, political committee or party
575 committee, except the candidate or, in a general election, the state
576 central committee of a political party, shall endorse or guarantee such
577 a loan in an aggregate amount in excess of five hundred dollars. An
578 endorsement or guarantee of such a loan shall constitute a contribution
579 by such individual or committee for so long as the loan is outstanding.
580 The amount endorsed or guaranteed by such individual or committee
581 shall cease to constitute a contribution upon repayment of the amount
582 endorsed or guaranteed.

583 (b) All such loans shall be repaid in full prior to the date a candidate
584 committee applies for the moneys from the Citizens' Election Fund
585 pursuant to section 7 of this act. A candidate who fails to repay such
586 loans or fails to certify such repayment to the State Elections
587 Enforcement Commission shall not be eligible to receive and shall not
588 receive moneys from the fund.

589 Sec. 12. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
590 *2010, and thereafter*) (a) A qualified candidate committee that receives a
591 grant from the Citizens' Election Fund pursuant to section 7 of this act
592 and makes expenditures in excess of the sum of an expenditure limit
593 set forth in subdivision (1) of subsection (c) of section 3 of this act and
594 the amount of any additional moneys the candidate committee
595 receives from the fund under section 13 or 14 of this act, (1) shall repay
596 to the fund the full amount of such grant and moneys, (2) shall not
597 receive any additional moneys from the fund for the remainder of the
598 election cycle, (3) shall be subject to civil penalties under section 9-7b
599 of the general statutes, as amended by this act, and (4) shall be deemed
600 to be a nonparticipating candidate for the purposes of sections 1 to 17,
601 inclusive, of this act.

602 (b) A candidate whose candidate committee fails to return any

603 surplus grant funds to the fund not later than ninety days after a
604 primary or an election, whichever is applicable shall be subject to the
605 penalties for larceny under sections 53a-122 to 53a-125b, inclusive, of
606 the general statutes depending on the amount involved.

607 Sec. 13. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
608 *2010, and thereafter*) (a) Additional moneys from the Citizens' Election
609 Fund shall be paid to a qualified candidate committee that received
610 moneys from the fund if the committee of an opposing candidate
611 makes expenditures in excess of an expenditure limit set forth in
612 subdivision (1) of subsection (c) of section 3 of this act. Such additional
613 moneys from the fund shall be paid to a qualified candidate committee
614 that received moneys from the fund (1) regardless of whether the
615 candidate committee that makes expenditures in excess of the
616 applicable expenditure limit has received moneys from the fund, (2) in
617 an amount equal to the greatest amount of expenditures in excess of
618 the applicable expenditure limit that the committee of an opposing
619 candidate has made, but not more than one hundred per cent of the
620 amount of moneys that the qualified candidate committee has received
621 from the fund for the primary campaign or general election campaign
622 for which such excess expenditures are made, and (3) immediately
623 following the State Elections Enforcement Commission's verification
624 that the committee of an opposing candidate has made expenditures in
625 excess of the applicable expenditure limit.

626 (b) If a nonparticipating candidate makes or incurs the obligation to
627 make an excess expenditure more than twenty days before the day of a
628 primary or election, the candidate shall file a declaration of excess
629 expenditures not later than forty-eight hours after making or incurring
630 the expenditure. If a nonparticipating candidate makes or incurs the
631 obligation to make an excess expenditure twenty days or less before
632 the day of a primary or election, the candidate shall file a declaration of
633 excess expenditures not later than twenty-four hours after making or
634 incurring the expenditure. The commission may determine whether
635 any expenditure by a nonparticipating candidate shall be deemed an
636 excess expenditure.

637 (c) If, during the ninety-six-hour period beginning at 5:00 p.m. on
638 the Thursday preceding the day of a primary or an election, the
639 commission receives (1) a declaration of excess expenditures by a
640 nonparticipating candidate under subsection (b) of this section, or (2) a
641 notice from a participating candidate that an opposing candidate has
642 made or incurred an obligation to make excess expenditures that have
643 not yet been reported to the commission, the commission shall
644 expeditiously review the declaration or such notice, as the case may be,
645 and notify the State Comptroller, who shall immediately wire or
646 electronically transfer moneys from the fund, in the amount of such
647 excess expenditures confirmed or estimated by the commission, to the
648 qualified candidate committee of said participating candidate or to any
649 person requested by the participating candidate. Any transfer of
650 moneys from the fund to a participating candidate shall be subject to
651 the limitation on the amount of such moneys that may be paid under
652 subsection (a) of this section.

653 Sec. 14. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
654 *2010, and thereafter*) (a) Upon the receipt of a report under subsection
655 (e) of section 9-333n of the general statutes, as amended by this act,
656 that an independent expenditure has been made or obligated to be
657 made, with the intent to promote the defeat of a candidate whose
658 candidate committee has received a grant under the Citizens' Election
659 Program, the State Elections Enforcement Commission shall
660 immediately notify the State Comptroller that additional money, equal
661 to the amount of the independent expenditure, shall be paid to said
662 candidate committee. Not later than two business days following
663 notification by the commission, the State Comptroller shall draw an
664 order on the State Treasurer for payment of such amount to said
665 candidate committee from the Citizens' Election Fund, subject to the
666 following:

667 (1) The maximum aggregate amount of funding that the qualified
668 candidate committee of a participating candidate shall receive to
669 match the independent expenditures made or obligated to be made on
670 behalf of all opposing candidates shall not be greater than one

671 hundred per cent of the total moneys that said candidate committee
672 has received from the fund for the primary campaign or general
673 election campaign for which such independent expenditures are made
674 or obligated to be made.

675 (2) The additional moneys under this section to match independent
676 expenditures shall be granted to the qualified candidate committee of a
677 participating candidate opposed by a nonparticipating candidate only
678 if the nonparticipating candidate's campaign expenditures, combined
679 with the amount of the independent expenditures, exceed the
680 applicable permitted expenditure amount for the participating
681 candidate, during the primary campaign or the general election
682 campaign.

683 (3) If a participating candidate receives additional moneys under
684 this section to match independent expenditures made during a
685 primary campaign and such candidate does not spend all of such
686 additional moneys during such campaign, the candidate may carry
687 over the moneys to the general election campaign. In such case, the
688 general election grant shall be reduced by the amount of such moneys
689 carried over.

690 (b) If, during the ninety-six-hour period beginning at 5:00 p.m. on
691 the Thursday preceding the day of a primary or an election, the
692 commission receives (1) a report under subsection (e) of section 9-333n
693 of the general statutes, as amended by this act, that an independent
694 expenditure has been made or obligated to be made, with the intent to
695 promote the defeat of a participating candidate, or (2) a notice from a
696 participating candidate that such an independent expenditure has
697 been made or obligated to be made but not yet been reported to the
698 commission, the commission shall expeditiously review the report or
699 such notice, as the case may be, and notify the State Comptroller, who
700 shall immediately wire or electronically transfer moneys from the
701 fund, in the amount of such independent expenditures confirmed or
702 estimated by the commission, to the qualified candidate committee of
703 said participating candidate or to any person requested by the

704 participating candidate. Any transfer of moneys from the fund to a
705 participating candidate shall be subject to the limitation on the amount
706 of such moneys that may be paid under subsection (a) of this section.

707 Sec. 15. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
708 *2010, and thereafter*) In addition to the campaign finance statements
709 required to be filed under section 9-333j of the general statutes, as
710 amended by this act, (1) the campaign treasurer for each candidate for
711 nomination at a primary for a state office in 2010, or thereafter, shall
712 file campaign finance statements with the office of the Secretary of the
713 State and the State Elections Enforcement Commission on each
714 Thursday before the primary, from the sixth Thursday before the
715 primary until the last Thursday before the primary, inclusive, and (2)
716 the campaign treasurer for each nominated candidate for election to a
717 state office in 2010, or thereafter shall file campaign finance statements
718 with the office of the Secretary of the State and the State Elections
719 Enforcement Commission on each Thursday before the election, from
720 the sixth Thursday before the election until the last Thursday before
721 the election, inclusive. Said statements shall be prepared in the same
722 manner as statements required under section 9-333j of the general
723 statutes, as amended by this act. If a campaign treasurer fails to file any
724 statement required by this section (A) within the time required, or (B)
725 with both the Secretary of the State and the State Elections
726 Enforcement Commission, such campaign treasurer shall be subject to
727 a civil penalty, imposed by the commission, of not more than one
728 thousand dollars for the first failure to file the statement within the
729 time required or with both said agencies, and not more than five
730 thousand dollars for any subsequent such failure.

731 Sec. 16. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
732 *2010, and thereafter*) The Secretary of the State shall provide to each
733 committee whose candidate has filed an affidavit under subsection (a)
734 of section 7 of this act certifying that the candidate intends to abide by
735 the applicable expenditure limits under the Citizens' Election Program,
736 a copy of the voter registration list for the state, which is generated
737 from the state-wide centralized voter registration system established

738 pursuant to the plan authorized under section 1 of special act 91-45
739 and completed pursuant to section 9-50b of the general statutes. The
740 Secretary shall provide the copy in electronic format, free of charge.

741 Sec. 17. (NEW) (*Effective July 1, 2005, and applicable to elections held in*
742 *2010, and thereafter*) (a) Not later than June 1, 2006, and annually
743 thereafter, the State Elections Enforcement Commission shall issue a
744 report on the status of the Citizens' Election Fund during the previous
745 calendar year. Such report shall include the amount of moneys
746 deposited in the fund, the sources of moneys received by category, the
747 number of contributions, the number of contributors, the amount of
748 moneys expended by category, the recipients of moneys distributed
749 from the fund and an accounting of the costs incurred by the
750 commission in administering the provisions of sections 1 to 17,
751 inclusive, of this act.

752 (b) Not later than January first in any year in which a state election
753 is to be held, the commission shall determine whether the amount of
754 moneys in the fund is sufficient to carry out the purposes of sections 1
755 to 17, inclusive, of this act. If the commission determines that such
756 amount is not sufficient to carry out such purposes, the commission
757 shall, not later than three days after such later determination, (1)
758 determine the percentage of the fund's obligations that can be met for
759 such election, (2) recalculate the amount of each payment that a
760 qualified candidate committee is entitled to receive under section 7 of
761 this act by multiplying such percentage by the amount that such
762 committees would have been entitled to receive under sections 1 to 17,
763 inclusive, of this act if there were a sufficient amount of moneys in the
764 fund, and (3) notify each such committee of such insufficiency,
765 percentage and applicable recalculation. After a qualified candidate
766 committee under section 7 of this act first receives any such
767 recalculated payment, the committee may resume accepting
768 contributions and making expenditures from such contributions, up to
769 the highest amount of expenditures made by a nonparticipating
770 candidate for the same nomination or primary. The commission shall
771 also issue a report on said determination.

772 (c) The commission shall establish a reserve account in the fund. The
773 first twenty-five thousand dollars deposited in the fund during any
774 year shall be placed in said account. The commission shall use moneys
775 in the reserve account only during the seven days preceding a primary
776 or an election for payments to candidates (1) whose payments were
777 reduced under subsection (b) of this section, or (2) who are entitled to
778 funding to match, during said seven-day period, independent
779 expenditures pursuant to section 14 of this act.

780 Sec. 18. Section 9-333a of the general statutes is repealed and the
781 following is substituted in lieu thereof (*Effective December 1, 2006, and*
782 *applicable to elections held in 2008, and thereafter*):

783 As used in this chapter and sections 1 to 17, inclusive, of this act:

784 (1) "Committee" means a party committee, political committee or a
785 candidate committee organized, as the case may be, for a single
786 primary, election or referendum, or for ongoing political activities, to
787 aid or promote the success or defeat of any political party, any one or
788 more candidates for public office or the position of town committee
789 member or any referendum question.

790 (2) "Party committee" means a state central committee or a town
791 committee. "Party committee" does not mean a party-affiliated or
792 district, ward or borough committee which receives all of its funds
793 from the state central committee of its party or from a single town
794 committee with the same party affiliation. Any such committee so
795 funded shall be construed to be a part of its state central or town
796 committee for purposes of this chapter and sections 1 to 17, inclusive,
797 of this act.

798 (3) "Political committee" means (A) a committee organized by a
799 business entity or organization, (B) persons other than individuals, or
800 two or more individuals organized or acting jointly conducting their
801 activities in or outside the state, (C) a committee established by a
802 candidate to determine the particular public office to which [he] such
803 candidate shall seek nomination or election, and referred to in this

804 chapter as an exploratory committee, [or] (D) a committee established
805 by or on behalf of a slate of candidates in a primary for the office of
806 justice of the peace, [but] or (E) a legislative caucus committee.
807 "Political committee" does not mean a candidate committee or a party
808 committee.

809 (4) "Candidate committee" means any committee designated by a
810 single candidate, or established with the consent, authorization or
811 cooperation of a candidate, for the purpose of a single primary or
812 election and to aid or promote [his] such candidate's candidacy alone
813 for a particular public office or the position of town committee
814 member, but does not mean a political committee or a party
815 committee.

816 (5) "National committee" means the organization which according to
817 the bylaws of a political party is responsible for the day-to-day
818 operation of the party at the national level.

819 (6) "Organization" means all labor organizations, (A) as defined in
820 the Labor-Management Reporting and Disclosure Act of 1959, as from
821 time to time amended, or (B) as defined in subdivision (9) of section
822 31-101, employee organizations as defined in subsection (d) of section
823 5-270 and subdivision (6) of section 7-467, bargaining representative
824 organizations for teachers, any local, state or national organization, to
825 which a labor organization pays membership or per capita fees, based
826 upon its affiliation or membership, and trade or professional
827 associations which receive their funds exclusively from membership
828 dues, whether organized in or outside of this state, but does not mean
829 a candidate committee, party committee or a political committee.

830 (7) "Business entity" means the following, whether organized in or
831 outside of this state: Stock corporations, banks, insurance companies,
832 business associations, bankers associations, insurance associations,
833 trade or professional associations which receive funds from
834 membership dues and other sources, partnerships, joint ventures,
835 private foundations, as defined in Section 509 of the Internal Revenue

836 Code of 1986, or any subsequent corresponding internal revenue code
837 of the United States, as from time to time amended; trusts or estates;
838 corporations organized under sections 38a-175 to 38a-192, inclusive,
839 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and
840 chapters 594 to 597, inclusive; cooperatives, and any other association,
841 organization or entity which is engaged in the operation of a business
842 or profit-making activity; but does not include professional service
843 corporations organized under chapter 594a and owned by a single
844 individual, nonstock corporations which are not engaged in business
845 or profit-making activity, organizations, as defined in subdivision (6)
846 of this section, candidate committees, party committees and political
847 committees as defined in this section. For purposes of this chapter,
848 corporations which are component members of a controlled group of
849 corporations, as those terms are defined in Section 1563 of the Internal
850 Revenue Code of 1986, or any subsequent corresponding internal
851 revenue code of the United States, as from time to time amended, shall
852 be deemed to be one corporation.

853 (8) "Individual" means a human being, a sole proprietorship, or a
854 professional service corporation organized under chapter 594a and
855 owned by a single human being.

856 (9) "Person" means an individual, committee, firm, partnership,
857 organization, association, syndicate, company trust, corporation,
858 limited liability company or any other legal entity of any kind but does
859 not mean the state or any political or administrative subdivision of the
860 state.

861 (10) "Candidate" means an individual who seeks nomination for
862 election or election to public office whether or not such individual is
863 elected, and for the purposes of this chapter and sections 1 to 17,
864 inclusive, of this act an individual shall be deemed to seek nomination
865 for election or election if [he] such individual has (A) been endorsed by
866 a party or become eligible for a position on the ballot at an election or
867 primary, or (B) solicited or received contributions, made expenditures
868 or given [his] such individual's consent to any other person to solicit or

869 receive contributions or make expenditures with the intent to bring
870 about [his] such individual's nomination for election or election to any
871 such office. "Candidate" also means a slate of candidates which is to
872 appear on the ballot in a primary for the office of justice of the peace.
873 For the purposes of sections 9-333 to 9-333l, inclusive, as amended by
874 this act, and section 9-333w, "candidate" also means an individual who
875 is a candidate in a primary for town committee members.

876 (11) "Campaign treasurer" means the individual appointed by a
877 candidate or by the [chairman] chairperson of a party committee or a
878 political committee to receive and disburse funds on behalf of the
879 candidate or committee.

880 (12) "Deputy campaign treasurer" means the individual appointed
881 by the candidate or by the [chairman] chairperson of a committee to
882 serve in the capacity of the campaign treasurer if the campaign
883 treasurer is unable to perform [his] the campaign treasurer's duties.

884 (13) "Solicitor" means an individual appointed by a campaign
885 treasurer of a committee to receive, but not to disburse, funds on
886 behalf of the committee.

887 (14) "Referendum question" means a question to be voted upon at
888 any election or referendum, including a proposed constitutional
889 amendment.

890 (15) "Lobbyist" means a lobbyist as defined in subsection (l) of
891 section 1-91.

892 (16) "Business with which he is associated" means any business in
893 which the contributor is a director, officer, owner, limited or general
894 partner or holder of stock constituting five per cent or more of the total
895 outstanding stock of any class. Officer refers only to the president,
896 executive or senior vice-president or treasurer of such business.

897 (17) "Independent expenditure" means an expenditure that is made
898 without the consent, knowing participation, or consultation of, a

899 candidate or agent of the candidate committee. "Independent
900 expenditure" does not include an expenditure (A) if there is any
901 coordination or direction with respect to the expenditure between the
902 candidate or the treasurer, deputy treasurer or [chairman] chairperson
903 of [his] such candidate committee and the person making the
904 expenditure, or (B) if, during the same election cycle, the individual
905 making the expenditure serves or has served as the treasurer, deputy
906 treasurer or [chairman] chairperson of the candidate committee.

907 (18) "Federal account" means a depository account that is subject to
908 the disclosure and contribution limits provided under the Federal
909 Election Campaign Act of 1971, as amended from time to time.

910 (19) "Public funds" means funds belonging to, or under the control
911 of, the state or a political subdivision of the state.

912 (20) "Legislative caucus committee" means a single committee (A)
913 established for ongoing political activities or for a single primary or
914 election, and (B) designated by the majority of the members of a
915 political party who are also state representatives or state senators,
916 pursuant to section 9-333g, as amended by this act.

917 (21) "Client lobbyist" has the same meaning as provided in section 1-
918 91.

919 (22) "Communicator lobbyist" has the same meaning as provided in
920 section 1-91.

921 (23) "Immediate family" means any spouse of an individual or any
922 dependent child of an individual who resides in the individual's
923 household.

924 Sec. 19. Section 9-333b of the general statutes is repealed and the
925 following is substituted in lieu thereof (*Effective December 1, 2006, and*
926 *applicable to elections held in 2008, and thereafter*):

927 (a) As used in this chapter and sections 1 to 17, inclusive, of this act,
928 "contribution" means:

929 (1) Any gift, subscription, loan, advance, payment or deposit of
930 money or anything of value, made for the purpose of influencing the
931 nomination for election, or election, of any person or for the purpose of
932 aiding or promoting the success or defeat of any referendum question
933 or on behalf of any political party;

934 (2) A written contract, promise or agreement to make a contribution
935 for any such purpose;

936 (3) The payment by any person, other than a candidate or campaign
937 treasurer, of compensation for the personal services of any other
938 person which are rendered without charge to a committee or candidate
939 for any such purpose;

940 (4) An expenditure when made by a person with the cooperation of,
941 or in consultation with, any candidate, candidate committee or
942 candidate's agent or which is made in concert with, or at the request or
943 suggestion of, any candidate, candidate committee or candidate's
944 agent; or

945 (5) Funds received by a committee which are transferred from
946 another committee or other source for any such purpose.

947 (b) As used in this chapter and sections 1 to 17, inclusive, of this act,
948 "contribution" does not mean:

949 (1) A loan of money made in the ordinary course of business by a
950 national or state bank;

951 (2) Any communication made by a corporation, organization or
952 association to its members, owners, stockholders, executive or
953 administrative personnel, or their families;

954 (3) Nonpartisan voter registration and get-out-the-vote campaigns
955 by any corporation, organization or association aimed at its members,
956 owners, stockholders, executive or administrative personnel, or their
957 families;

958 (4) Uncompensated services provided by individuals volunteering
959 their time;

960 (5) The use of real or personal property, and the cost of invitations,
961 food or beverages, voluntarily provided by an individual to a
962 candidate or on behalf of a state central or town committee, in
963 rendering voluntary personal services for candidate or party-related
964 activities at the individual's residence, to the extent that the cumulative
965 value of the invitations, food or beverages provided by the individual
966 on behalf of any single candidate does not exceed two hundred dollars
967 with respect to any single election, and on behalf of all state central
968 and town committees does not exceed four hundred dollars in any
969 calendar year;

970 (6) The sale of food or beverage for use in a candidate's campaign or
971 for use by a state central or town committee at a discount, if the charge
972 is not less than the cost to the vendor, to the extent that the cumulative
973 value of the discount given to or on behalf of any single candidate does
974 not exceed two hundred dollars with respect to any single election,
975 and on behalf of all state central and town committees does not exceed
976 four hundred dollars in a calendar year;

977 (7) Any unreimbursed payment for travel expenses made by an
978 individual who on the individual's own behalf volunteers the
979 individual's personal services to any single candidate to the extent the
980 cumulative value does not exceed two hundred dollars with respect to
981 any single election, and on behalf of all state central or town
982 committees does not exceed four hundred dollars in a calendar year;

983 (8) The payment, by a party committee, political committee or an
984 individual, of the costs of preparation, display, mailing or other
985 distribution incurred by the committee or individual with respect to
986 any printed slate card, sample ballot or other printed list containing
987 the names of three or more candidates;

988 (9) The donation of any item of personal property by an individual
989 to a committee for a fund-raising affair, including a tag sale or auction,

990 or the purchase by an individual of any such item at such an affair, to
991 the extent that the cumulative value donated or purchased does not
992 exceed fifty dollars;

993 (10) The purchase of advertising space on or before December 31,
994 2008, which clearly identifies the purchaser, in a program for a
995 fund-raising affair, provided the cumulative purchase of such space
996 does not exceed (A) two hundred fifty dollars from any single
997 candidate or the candidate's committee with respect to any single
998 election campaign or two hundred fifty dollars from any single party
999 committee or other political committee in any calendar year if the
1000 purchaser is a business entity, except as provided in subparagraph (B)
1001 of this subdivision, (B) one hundred fifty dollars from any single
1002 candidate or the candidate's committee with respect to any single
1003 election campaign or one hundred fifty dollars from any single party
1004 committee or other political committee in any calendar year, if the
1005 purchaser is a business entity that is a client lobbyist and the purchase
1006 is made between January 1, 2006, and December 1, 2008, or (C) fifty
1007 dollars for purchases by any other person. Notwithstanding the
1008 provisions of this subparagraph this subdivision, the purchase of
1009 advertising space on or after July 1, 2005, which clearly identifies the
1010 purchaser, in a program for a fund-raising affair, by a purchaser that is
1011 a business entity, or a division of a business entity, whose primary
1012 purpose is lobbying, or an individual who is a communicator lobbyist
1013 shall be deemed to be a "contribution" under subsection (a) of this
1014 section;

1015 (11) The payment of money by a candidate to the candidate's
1016 candidate committee;

1017 (12) The donation of goods or services by a business entity to a
1018 committee for a fund-raising affair, including a tag sale or auction, to
1019 the extent that the cumulative value donated does not exceed one
1020 hundred dollars;

1021 (13) The advance of a security deposit by an individual to a

1022 telephone company, as defined in section 16-1, for telecommunications
1023 service for a committee, provided the security deposit is refunded to
1024 the individual;

1025 (14) The provision of facilities, equipment, technical and managerial
1026 support, and broadcast time by a community antenna television
1027 company, as defined in section 16-1, for community access
1028 programming pursuant to section 16-331a, unless (A) the major
1029 purpose of providing such facilities, equipment, support and time is to
1030 influence the nomination or election of a candidate, or (B) such
1031 facilities, equipment, support and time are provided on behalf of a
1032 political party; or

1033 (15) The sale of food or beverage by a town committee to an
1034 individual at a town fair, county fair or similar mass gathering held
1035 within the state, to the extent that the cumulative payment made by
1036 any one individual for such items does not exceed fifty dollars.

1037 Sec. 20. Subsection (a) of section 9-333e of the general statutes is
1038 repealed and the following is substituted in lieu thereof (*Effective*
1039 *December 1, 2006, and applicable to elections held in 2008, and thereafter*):

1040 (a) Statements filed by party committees, political committees
1041 formed to aid or promote the success or defeat of a referendum
1042 question proposing a constitutional convention, constitutional
1043 amendment or revision of the Constitution, individual lobbyists, and
1044 those political committees and candidate committees formed to aid or
1045 promote the success or defeat of any candidate for the office of
1046 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,
1047 Comptroller, Attorney General, judge of probate and members of the
1048 General Assembly, shall be filed with the office of the Secretary of the
1049 State. On and after January 1, 2010, a copy of each statement filed by a
1050 candidate committee formed to aid or promote the success of any
1051 candidate for the office of Governor, Lieutenant Governor, Secretary of
1052 the State, State Treasurer, State Comptroller, Attorney General, state
1053 senator or state representative shall be filed at the same time with the

1054 State Elections Enforcement Commission. A copy of each statement
1055 filed by a town committee shall be filed at the same time with the town
1056 clerk of the municipality in which the committee is situated. A political
1057 committee formed for a slate of candidates in a primary for the office
1058 of justice of the peace shall file statements with both the Secretary of
1059 the State and the town clerk of the municipality in which the primary
1060 is to be held.

1061 Sec. 21. Section 9-333g of the general statutes is repealed and the
1062 following is substituted in lieu thereof (*Effective January 1, 2009*):

1063 (a) The chairperson of each political committee shall designate a
1064 campaign treasurer and may designate a deputy campaign treasurer.
1065 The campaign treasurer and any deputy campaign treasurer so
1066 designated shall sign a statement accepting the designation. The
1067 chairperson of each political committee shall file a statement of
1068 organization along with the statement signed by the designated
1069 campaign treasurer and deputy campaign treasurer with the proper
1070 authority, within ten days after its organization, provided that the
1071 chairperson of any political committee organized within ten days prior
1072 to any primary, election or referendum in connection with which it
1073 intends to make any contributions or expenditures, shall immediately
1074 file a statement.

1075 (b) The statement shall include: (1) The name and address of the
1076 committee; (2) a statement of the purpose of the committee; (3) the
1077 name and address of its campaign treasurer, and deputy campaign
1078 treasurer if applicable; (4) the name, address and position of its
1079 chairman, and other principal officers if applicable; (5) the name and
1080 address of the depository institution for its funds; (6) the name of each
1081 person, other than an individual, that is a member of the committee;
1082 (7) the name and party affiliation of each candidate whom the
1083 committee is supporting and the office or position sought by each
1084 candidate; (8) if the committee is supporting the entire ticket of any
1085 party, a statement to that effect and the name of the party; (9) if the
1086 committee is supporting or opposing any referendum question, a brief

1087 statement identifying the substance of the question; (10) if the
1088 committee is established by a business entity or organization, the name
1089 of the entity or organization; (11) if the committee is established by an
1090 organization, whether it will receive its funds from the organization's
1091 treasury or from voluntary contributions; (12) if the committee files
1092 reports with the Federal Elections Commission or any out-of-state
1093 agency, a statement to that effect including the name of the agency;
1094 (13) a statement indicating whether the committee is established for a
1095 single primary, election or referendum or for ongoing political
1096 activities; [and] (14) if the committee is established by or on behalf of a
1097 lobbyist, a statement to that effect and the name of the lobbyist; and
1098 (15) the name and address of the person making the initial contribution
1099 or disbursement, if any, to the committee. If no such contribution or
1100 disbursement has been made at the time of the filing of such statement,
1101 the campaign treasurer of the committee shall, not later than forty-
1102 eight hours after receipt of such contribution or disbursement, file a
1103 report with the State Elections Enforcement Commission. The report
1104 shall be in the same form as statements filed under section 9-333j, as
1105 amended by this act.

1106 (c) The chairman of each political committee shall report any
1107 addition to or change in information previously submitted in a
1108 statement of organization to the proper authority within ten days after
1109 the addition or change.

1110 (d) A group of two or more individuals who have joined solely to
1111 promote the success or defeat of a referendum question shall not be
1112 required to file as a political committee, make such designations in
1113 accordance with subsections (a) and (b) of this section or file
1114 statements pursuant to section 9-333j, as amended by this act, if the
1115 group does not receive or expend in excess of one thousand dollars for
1116 the entire campaign and the agent of such individuals files a
1117 certification with the proper authority or authorities as required under
1118 section 9-333e, as amended by this act, before an expenditure is made.
1119 The certification shall include the name of the group, or the names of
1120 the persons who comprise the group, and the name and address of the

1121 agent which shall appear on any communication paid for or sponsored
1122 by the group as required by section 9-333w. If the group receives or
1123 expends in excess of one thousand dollars, the agent shall complete the
1124 statement of organization and file as a political committee not later
1125 than three business days thereafter. The agent shall provide the
1126 designated campaign treasurer with all information required for
1127 completion of the statements for filing as required by section 9-333j, as
1128 amended by this act. The filing of a certification under this subsection
1129 shall not relieve the group from compliance with the provisions of this
1130 chapter, and the group shall be considered a political committee
1131 established solely for a referendum question for purposes of the
1132 limitations on contributions and expenditures.

1133 (e) (1) No elected public official shall establish or control more than
1134 one political committee. The indicia of establishment or control of a
1135 political committee by an elected public official may include, but shall
1136 not be limited to, the elected public official making the initial
1137 contribution to the committee. Such indicia shall not include (A) an
1138 elected public official communicating with (i) an officer of the political
1139 committee, or (ii) any individual establishing or controlling the
1140 political committee, or (B) the elected public official monitoring
1141 contributions made by the political committee. Any elected public
1142 official who, on the effective date of this section, has established or
1143 controls more than one political committee shall, not later than thirty
1144 days after said effective date, disavow all but one of such committees,
1145 in writing, to the office of the Secretary of the State.

1146 (2) The members of the same political party in a house of the
1147 General Assembly may establish a single legislative caucus committee.
1148 The chairperson of the committee shall certify the designation of such
1149 committee as a legislative caucus committee and shall file such
1150 certification along with the statement of organization pursuant to
1151 subsection (a) of this section. The committee shall be identified in such
1152 designation by the house of the General Assembly in which such
1153 legislators serve and the political party to which they belong. A
1154 legislative caucus committee shall not be subject to the limitation in

1155 subdivision (1) of this subdivision on the establishment or control of
1156 one political committee by a member of the General Assembly or
1157 candidate for election to the General Assembly.

1158 (3) The provisions of subdivision (1) of this subsection shall not
1159 apply to the establishment of an exploratory committee by an elected
1160 public official.

1161 Sec. 22. Subsection (g) of section 9-333l of the general statutes is
1162 repealed and the following is substituted in lieu thereof (*Effective*
1163 *December 1, 2006, and applicable to elections held in 2008, and thereafter*):

1164 (g) [As used in this subsection, "immediate family" means any
1165 spouse or dependent child who resides in a lobbyist's household.] Each
1166 lobbyist who is an individual and, in conjunction with members of his
1167 immediate family, makes contributions to or purchases from
1168 committees exceeding one thousand dollars in the aggregate during
1169 the twelve-month period beginning July 1, 1993, or July first in any
1170 year thereafter, shall file a statement, sworn under penalty of false
1171 statement, with the Secretary of the State in accordance with the
1172 provisions of section 9-333e, as amended by this act, on the second
1173 Thursday in July following the end of such twelve-month period. The
1174 statement shall include: (1) The name of each committee to which the
1175 lobbyist or a member of his immediate family has made a contribution
1176 and the amount and date of each such contribution; and (2) the name
1177 of each committee from which the lobbyist or member of his
1178 immediate family has purchased any item of property or advertising
1179 space in a program in connection with a fund-raising event which is
1180 not considered a contribution under subsection (b) of section 9-333b, as
1181 amended by this act, and the amount, date and description of each
1182 such purchase. Each lobbyist who is an individual and who, in
1183 conjunction with members of his immediate family, does not make
1184 contributions to or purchases from committees exceeding one
1185 thousand dollars in the aggregate during any such twelve-month
1186 period shall file a statement, sworn under penalty of false statement,
1187 with the Secretary of the State in accordance with the provisions of

1188 section 9-333e, as amended by this act, on the second Thursday in July,
1189 so indicating.

1190 Sec. 23. Section 9-333l of the general statutes is amended by adding
1191 subsection (h) as follows (*Effective July 1, 2005*):

1192 (NEW) (h) (1) On and after January 1, 2007, no communicator
1193 lobbyist, immediate family member of a communicator lobbyist, agent
1194 of a communicator lobbyist, or political committee established or
1195 controlled by a communicator lobbyist or any such immediate family
1196 member or agent shall (A) solicit a contribution on behalf of a
1197 candidate committee or an exploratory committee established by a
1198 candidate for the office of Governor, Lieutenant Governor, Secretary of
1199 the State, State Treasurer, State Comptroller or Attorney General, (B)
1200 participate in any fund-raising activities for any such candidate
1201 committee or exploratory committee, including, but not limited to,
1202 forwarding tickets to potential contributors, (C) serve as chairperson,
1203 campaign treasurer, deputy campaign treasurer or any other officer of
1204 any such candidate committee or exploratory committee or any
1205 political committee, or (D) establish a political committee for the sole
1206 purpose of soliciting or receiving contributions for any such candidate
1207 committee or exploratory committee.

1208 (2) On and after January 1, 2009, no communicator lobbyist,
1209 immediate family member of a communicator lobbyist, agent of a
1210 communicator lobbyist, or political committee established or
1211 controlled by a communicator lobbyist or any such immediate family
1212 member or agent shall (A) solicit a contribution on behalf of a
1213 candidate committee or an exploratory committee established by a
1214 candidate for any public office not described in subdivision (1) of this
1215 section, (B) participate in any fund-raising activities for any such
1216 candidate committee or exploratory committee, including, but not
1217 limited to, forwarding tickets to potential contributors, (C) serve as
1218 chairperson, campaign treasurer, deputy campaign treasurer or any
1219 other officer of any such candidate committee or exploratory
1220 committee or any political committee, or (D) establish a political

1221 committee for the sole purpose of soliciting or receiving contributions
1222 for any such candidate committee or exploratory committee.

1223 (3) The provisions of subdivisions (1) and (2) of this subsection shall
1224 not prohibit a communicator lobbyist, immediate family member of a
1225 communicator lobbyist, agent of a communicator lobbyist, or political
1226 committee established or controlled by a communicator lobbyist or any
1227 such immediate family member or agent from (A) making a
1228 contribution that is otherwise permitted under this chapter, (B)
1229 informing any person of a position taken by a candidate for public
1230 office or a public official, or (C) notifying any person of the campaign
1231 activities of any candidate for public office.

1232 (4) The provisions of subdivisions (1) and (2) of this subsection shall
1233 not apply to the campaign of a communicator lobbyist, immediate
1234 family member of a communicator lobbyist or agent of a
1235 communicator lobbyist who is a candidate for public office.

1236 (5) Any person who violates any provision of this subsection shall
1237 be subject to a civil penalty, imposed by the State Elections
1238 Enforcement Commission, of not more than five thousand dollars or
1239 twice the amount of any contribution solicited in violation of this
1240 subsection, whichever is greater.

1241 (6) As used in this subsection, "agent" means any person acting at
1242 the direction of an individual.

1243 Sec. 24. Subsection (a) of section 9-333m of the general statutes is
1244 repealed and the following is substituted in lieu thereof (*Effective July*
1245 *1, 2005*):

1246 (a) No individual shall make a contribution or contributions to, for
1247 the benefit of, or pursuant to the authorization or request of, a
1248 candidate or a committee supporting or opposing any candidate's
1249 campaign for nomination at a primary, or any candidate's campaign
1250 for election, to the office of (1) Governor, in excess of two thousand
1251 five hundred dollars for a primary or an election held in 2006, and in

1252 excess of one thousand five hundred dollars for a primary and an
1253 election held in 2010, or thereafter; (2) Lieutenant Governor, Secretary
1254 of the State, State Treasurer, State Comptroller or Attorney General, in
1255 excess of one thousand five hundred dollars for a primary or an
1256 election held in 2006, and in excess of one thousand dollars for a
1257 primary and an election held in 2010, or thereafter; (3) chief executive
1258 officer of a town, city or borough, in excess of one thousand dollars; (4)
1259 state senator or probate judge, in excess of five hundred dollars; or (5)
1260 state representative or any other office of a municipality not
1261 [previously] specifically included in this subsection, in excess of two
1262 hundred fifty dollars. The limits imposed by this subsection shall be
1263 applied separately to primaries and elections.

1264 Sec. 25. Subsection (e) of section 9-333n of the general statutes is
1265 repealed and the following is substituted in lieu thereof (*Effective July*
1266 *1, 2005*):

1267 (e) (1) Any individual acting alone may, independent of any
1268 candidate, agent of the candidate, or committee, make unlimited
1269 expenditures to promote the success or defeat of any candidate's
1270 campaign for election, or nomination at a primary, to any office or
1271 position. [, provided] Except as provided in subdivision (2) of this
1272 subsection, any individual who makes an independent expenditure or
1273 expenditures in excess of one thousand dollars to promote the success
1274 or defeat of any candidate's campaign for election, or nomination at a
1275 primary, to any such office or position shall file statements according
1276 to the same schedule and in the same manner as is required of a
1277 campaign treasurer of a candidate committee under section 9-333j, as
1278 amended by this act.

1279 (2) Any person who makes or obligates to make an independent
1280 expenditure or expenditures, as defined in section 9-333a, as amended
1281 by this act, intended to promote the success or defeat of a candidate for
1282 the office of Governor, Lieutenant Governor, Secretary of the State,
1283 State Treasurer, State Comptroller, Attorney General, state senator or
1284 state representative, which exceeds one thousand dollars, in the

1285 aggregate, during a primary campaign or a general election campaign,
1286 as defined in section 1 of this act, on or after January 1, 2010, shall file a
1287 report of such independent expenditure to the State Elections
1288 Enforcement Commission. The report shall be in the same form as
1289 statements filed under section 9-333j, as amended by this act. If the
1290 person makes or obligates to make such independent expenditure or
1291 expenditures more than twenty days before the day of a primary or
1292 election, the person shall file such report not later than forty-eight
1293 hours after such payment or obligation. If the person makes or
1294 obligates to make such independent expenditure or expenditures
1295 twenty days or less before the day of a primary or election, the person
1296 shall file such report not later than twenty-four hours after such
1297 payment or obligation. The report shall be filed under penalty of false
1298 statement.

1299 (3) The independent expenditure report in subdivision (2) of this
1300 subsection shall include a statement (A) identifying the candidate for
1301 whom the independent expenditure or expenditures is intended to
1302 promote the success or defeat, and (B) affirming that the expenditure is
1303 totally independent and involves no cooperation or coordination with
1304 or direction from a candidate or a political party.

1305 (4) Any person may file a complaint with the commission upon the
1306 belief that (A) any such independent expenditure report or statement
1307 is false, or (B) any person who is required to file an independent
1308 expenditure report under subdivision (2) of this subsection has failed
1309 to do so. The commission shall make a prompt determination on such
1310 a complaint.

1311 (5) (A) If a person fails to file a report required under subdivision (2)
1312 of this subsection for an independent expenditure or expenditures
1313 made or obligated to be made more than twenty days before the day of
1314 a primary or election, the person shall be subject to a civil penalty,
1315 imposed by the State Elections Enforcement Commission, of not more
1316 than five thousand dollars. If a person fails to file a report required
1317 under subdivision (2) of this subsection for an independent

1318 expenditure or expenditures made or obligated to be made twenty
1319 days or less before the day of a primary or election, the person shall be
1320 subject to a civil penalty, imposed by the State Elections Enforcement
1321 Commission, of not more than ten thousand dollars. (B) If any such
1322 failure is knowing and wilful, the person responsible for the failure
1323 shall also be fined not more than five thousand dollars or imprisoned
1324 not more than five years, or both.

1325 Sec. 26. Section 9-333n of the general statutes is amended by adding
1326 subsections (g) and (h) as follows (*Effective July 1, 2005*):

1327 (NEW) (g) Between January 1, 2006, and December 31, 2006,
1328 inclusive, no communicator lobbyist or political committee established
1329 by a communicator lobbyist shall make a contribution or contributions
1330 to, or for the benefit of, (1) any candidate's campaign for nomination at
1331 a primary or election to any public office, in excess of one hundred
1332 dollars, (2) an exploratory committee, in excess of one hundred dollars,
1333 (3) a legislative caucus committee, in excess of one hundred dollars, (4)
1334 any other political committee, in excess of one thousand dollars, (5) the
1335 state central committee of a political party, in excess of two thousand
1336 five hundred dollars, or (6) a town committee, in excess of five
1337 hundred dollars. On and after January 1, 2007, no communicator
1338 lobbyist or political committee established by a communicator lobbyist
1339 shall make a contribution to, or for the benefit of, any such candidate's
1340 campaign or any such committee.

1341 (NEW) (h) (1) No executive head of a state agency in the executive
1342 branch, executive head of a quasi-public agency, deputy of any such
1343 executive head, or other full-time official or employee of any such state
1344 agency or quasi-public agency who is appointed by the Governor shall
1345 make a contribution or contributions to, or for the benefit of, any
1346 candidate's campaign for nomination at a primary or election to the
1347 office of Governor or Lieutenant Governor, in excess of one hundred
1348 dollars. As used in this section, "quasi-public agency" has the same
1349 meaning as provided in section 1-120.

1350 (2) No official or employee of the office of the Attorney General,
1351 State Comptroller, Secretary of the State or State Treasurer shall make
1352 a contribution or contributions to, or for the benefit of, any candidate's
1353 campaign for nomination at a primary or election to the office in which
1354 such official or employee serves, in excess of one hundred dollars.

1355 (3) No member of a caucus staff for a major party in the Senate or
1356 House of Representatives shall make a contribution or contributions to,
1357 or for the benefit of, any candidate's campaign for nomination at a
1358 primary or election to the office of state senator or state representative,
1359 in excess of one hundred dollars.

1360 Sec. 27. Section 9-333o of the general statutes is repealed and the
1361 following is substituted in lieu thereof (*Effective July 1, 2005*):

1362 (a) No business entity shall make any contributions or expenditures
1363 to, or for the benefit of, any candidate's campaign for election to any
1364 public office or position subject to this chapter or for nomination at a
1365 primary for any such office or position, or to promote the defeat of any
1366 candidate for any such office or position, or to promote the success or
1367 defeat of any political party, except as provided in subsection (b) of
1368 this section. On and after January 1, 2009, no business entity shall
1369 establish more than one political committee. A political committee
1370 shall be deemed to have been established by a business entity if the
1371 initial disbursement or contribution to the committee is made under
1372 subsection (b) of this section or by an officer, director, owner, limited
1373 or general partner or holder of stock constituting five per cent or more
1374 of the total outstanding stock of any class of the business entity.

1375 (b) A business entity may make reasonable and necessary transfers
1376 or disbursements to or for the benefit of a political committee
1377 established by such business entity, for the administration of, or
1378 solicitation of contributions to, such political committee. Nonmonetary
1379 contributions by a business entity which are incidental in nature and
1380 are directly attributable to the administration of such political
1381 committee shall be exempt from the reporting requirements of this

1382 chapter.

1383 (c) The provisions of this section shall not preclude a business entity
1384 from making contributions or expenditures to promote the success or
1385 defeat of a referendum question.

1386 (d) (1) [A] On or before December 31, 2006, no political committee
1387 organized by a business entity shall [not] make a contribution or
1388 contributions to or for the benefit of any candidate's campaign for
1389 nomination at a primary or any candidate's campaign for election to
1390 the office of: [(1)] (A) Governor, in excess of five thousand dollars; [(2)]
1391 (B) Lieutenant Governor, Secretary of the State, Treasurer, Comptroller
1392 or Attorney General, in excess of three thousand dollars; [(3) state] or
1393 (C) an exploratory committee established by any such candidate, in
1394 excess of two hundred fifty dollars. On and after January 1, 2007, no
1395 political committee organized by a business entity shall make any
1396 contribution to or for the benefit of any candidate's campaign for
1397 nomination at a primary or any candidate's campaign for election to
1398 any such office, or to or for the benefit of any exploratory committee
1399 established by such a candidate.

1400 (2) On or before December 31, 2008, no political committee
1401 organized by a business entity shall make a contribution or
1402 contributions to or for the benefit of any candidate's campaign for
1403 nomination at a primary or any candidate's campaign for election to
1404 the office of: (A) State senator, probate judge or chief executive officer
1405 of a town, city or borough, in excess of one thousand dollars; [(4)] (B)
1406 state representative, in excess of five hundred dollars; [or (5)] (C) any
1407 other office of a municipality not included in [subdivision (3)]
1408 subparagraph (A) of this [subsection] subdivision, in excess of two
1409 hundred fifty dollars; or (D) an exploratory committee established by
1410 any such candidate, in excess of two hundred fifty dollars. On and
1411 after January 1, 2009, no political committee organized by a business
1412 entity shall make any contribution to or for the benefit of any
1413 candidate's campaign for nomination at a primary or any candidate's
1414 campaign for election to any such office, or to or for the benefit of any

1415 exploratory committee established by such a candidate.

1416 (3) The limits imposed by this subsection shall apply separately to
1417 primaries and elections and contributions by any such committee to
1418 candidates designated in this subsection shall not exceed one hundred
1419 thousand dollars in the aggregate for any single election and primary
1420 preliminary thereto. Contributions to such committees shall also be
1421 subject to the provisions of section 9-333t in the case of committees
1422 formed for ongoing political activity or section 9-333u in the case of
1423 committees formed for a single election or primary.

1424 (e) [A political committee organized by a business entity may make
1425 unlimited contributions to, or for the benefit of, another political
1426 committee organized by a business entity or to a party committee. No
1427 political committee organized by a business entity shall make a
1428 contribution to an exploratory committee in excess of two hundred
1429 fifty dollars.] No such political committee shall make a contribution or
1430 contributions in excess of two thousand dollars to any other kind of
1431 political committee, in any one calendar year if organized for ongoing
1432 political activities, or if formed for a single primary, election or
1433 referendum, with respect to such primary, election or referendum,
1434 except that no such political committee established by a client lobbyist
1435 shall make a contribution or contributions in excess of one thousand
1436 dollars in any one calendar year to any such political committee. No
1437 political committee established by a business entity shall make
1438 contributions in any one calendar year to, or for the benefit of, (1) the
1439 state central committee of a political party, in excess of five thousand
1440 dollars, or (2) a town committee, in excess of one thousand dollars. No
1441 political committee established by a business entity shall make
1442 contributions to committees designated in this subsection, which in the
1443 aggregate exceed fifteen thousand dollars in any calendar year.

1444 (f) As used in this subsection, "investment services" means
1445 investment legal services, investment banking services, investment
1446 advisory services, underwriting services, financial advisory services or
1447 brokerage firm services. No political committee established by a firm

1448 which provides investment services and to which the State Treasurer
1449 pays compensation, expenses or fees or issues a contract shall make a
1450 contribution to, or solicit contributions on behalf of, an exploratory
1451 committee or candidate committee established by a candidate for
1452 nomination or election to the office of State Treasurer during the term
1453 of office of the State Treasurer who does business with such firm.

1454 Sec. 28. Section 9-333p of the general statutes is repealed and the
1455 following is substituted in lieu thereof (*Effective December 1, 2006*):

1456 (a) An organization may make contributions or expenditures, other
1457 than those made to promote the success or defeat of a referendum
1458 question, only by first forming its own political committee. The
1459 political committee shall then be authorized to receive funds
1460 exclusively from the organization's treasury or from voluntary
1461 contributions made by its members, but not both, from another
1462 political committee or, from a candidate committee distributing a
1463 surplus and (1) to make contributions or expenditures to, or for the
1464 benefit of, a candidate's campaign or a political party or (2) to make
1465 contributions to another political committee. No organization shall
1466 [form] establish more than one political committee. A political
1467 committee shall be deemed to have been established by an
1468 organization if the initial contribution to the committee is made by the
1469 organization's treasury or an officer or director of the organization.

1470 (b) A political committee established by an organization may elect
1471 to alter the manner in which it is funded if it complies with the
1472 requirements of this subsection. The committee chairperson shall
1473 notify the repository with which the committee's most recent statement
1474 of organization is filed, in writing, of the committee's intent to alter its
1475 manner of funding. Within fifteen days after the date of receipt of such
1476 notification, the campaign treasurer of such political committee shall
1477 return any funds remaining in the account of the committee to the
1478 organization's treasury after payment of each outstanding liability.
1479 Within seven days after the distribution and payments have been
1480 made, the campaign treasurer shall file a statement with the same

1481 repository itemizing each such distribution and payment. Upon such
1482 filing, the campaign treasurer may receive voluntary contributions
1483 from any member of the organization which established such
1484 committee subject to the limitations imposed in subsection (b) of
1485 section 9-333n, as amended by this act.

1486 (c) The chairperson of [each] the political committee established by
1487 an organization on or after July 1, 1985, shall designate the manner in
1488 which the committee shall be funded in the committee's statement of
1489 organization.

1490 Sec. 29. Section 9-333q of the general statutes is repealed and the
1491 following is substituted in lieu thereof (*Effective July 1, 2005*):

1492 (a) (1) [No] On or before December 31, 2006, no political committee
1493 established by an organization shall make a contribution or
1494 contributions to, or for the benefit of, any candidate's campaign for
1495 nomination at a primary or for election to the office of: [(1)] (A)
1496 Governor, in excess of two thousand five hundred dollars; [(2)] (B)
1497 Lieutenant Governor, Secretary of the State, Treasurer, Comptroller or
1498 Attorney General, in excess of one thousand five hundred dollars; [(3)
1499 chief] or (C) an exploratory committee established by any such
1500 candidate, in excess of two hundred fifty dollars. On and after January
1501 1, 2007, no political committee established by an organization shall
1502 make any contribution to or for the benefit of any candidate's
1503 campaign for nomination at a primary or any candidate's campaign for
1504 election to any such office, or to or for the benefit of any exploratory
1505 committee established by such a candidate.

1506 (2) On or before December 31, 2008, no political committee
1507 established by an organization shall make a contribution or
1508 contributions to or for the benefit of any candidate's campaign for
1509 nomination at a primary or any candidate's campaign for election to
1510 the office of: (A) Chief executive officer of a town, city or borough, in
1511 excess of one thousand dollars; [(4)] (B) state senator or probate judge,
1512 in excess of five hundred dollars; [or (5)] (C) state representative or any

1513 other office of a municipality not previously included in this
1514 subsection, in excess of two hundred fifty dollars, or (D) an
1515 exploratory committee established by any such candidate, in excess of
1516 two hundred fifty dollars. On and after January 1, 2009, no political
1517 committee established by an organization shall make any contribution
1518 to or for the benefit of any candidate's campaign for nomination at a
1519 primary or any candidate's campaign for election to any such office, or
1520 to or for the benefit of any exploratory committee established by such a
1521 candidate.

1522 (b) [No such committee shall make a contribution or contributions
1523 to, or for the benefit of, an exploratory committee, in excess of two
1524 hundred fifty dollars.] Any such committee may make unlimited
1525 contributions to a political committee formed solely to aid or promote
1526 the success or defeat of a referendum question.

1527 (c) The limits imposed by subsection (a) of this section shall apply
1528 separately to primaries and elections and no such committee shall
1529 make contributions to the candidates designated in this section which
1530 in the aggregate exceed fifty thousand dollars for any single election
1531 and primary preliminary thereto.

1532 (d) No political committee established by an organization shall
1533 make contributions in any one calendar year to, or for the benefit of, (1)
1534 the state central committee of a political party, in excess of five
1535 thousand dollars; (2) a town committee, in excess of one thousand
1536 dollars; or (3) any political committee, other than an exploratory
1537 committee or a committee formed solely to aid or promote the success
1538 or defeat of a referendum question, in excess of two thousand dollars.

1539 (e) No political committee established by an organization shall make
1540 contributions to the committees designated in subsection (d) of this
1541 section, which in the aggregate exceed fifteen thousand dollars in any
1542 one calendar year. Contributions to a political committee established
1543 by an organization shall also be subject to the provisions of section 9-
1544 333t, as amended by this act, in the case of a committee formed for

1545 ongoing political activity or section 9-333u, as amended by this act, in
1546 the case of a committee formed for a single election or primary.

1547 Sec. 30. Section 9-333s of the general statutes is repealed and the
1548 following is substituted in lieu thereof (*Effective July 1, 2005*):

1549 (a) A party committee may make unlimited contributions to, or for
1550 the benefit of, any of the following: (1) Another party committee; (2) [a
1551 candidate committee; (3)] a national committee of a political party; [(4)]
1552 (3) a committee of a candidate for federal or out-of-state office; or [(5)]
1553 (4) a political committee, except as provided in subsection (f) of this
1554 section. A party committee may also make contributions to a charitable
1555 organization which is a tax-exempt organization under Section
1556 501(c)(3) of the Internal Revenue Code, as from time to time amended,
1557 or make memorial contributions. A town committee may also
1558 contribute to a scholarship awarded by a high school on the basis of
1559 objective criteria.

1560 (b) (b) On or before December 31, 2006, a party committee may
1561 make unlimited contributions to, or for the benefit of, a candidate
1562 committee.

1563 (c) (1) Between January 1, 2007, and December 31, 2008, no state
1564 central committee shall make a contribution or contributions to, or for
1565 the benefit of, any candidate's campaign for nomination at a primary
1566 and election to the office of (A) Governor, in excess of forty thousand
1567 dollars; (B) Lieutenant Governor, Secretary of the State, State
1568 Treasurer, State Comptroller or Attorney General, in excess of twenty
1569 thousand dollars; (C) state senator, not exceeding eight thousand
1570 dollars for a primary campaign or twelve thousand dollars for a
1571 general election campaign; or (D) state representative, not exceeding
1572 two thousand dollars for a primary campaign or three thousand
1573 dollars for a general election campaign.

1574 (2) Between January 1, 2007, and December 31, 2008, no town
1575 committee shall make a contribution or contributions to, or for the
1576 benefit of, any candidate's campaign for nomination at a primary and

1577 election to the office of (A) Governor, in excess of ten thousand dollars;
1578 (B) Lieutenant Governor, Secretary of the State, State Treasurer, State
1579 Comptroller or Attorney General, in excess of six thousand dollars; (C)
1580 state senator or probate judge, in excess of three thousand dollars; (D)
1581 state representative, in excess of one thousand dollars; (E) chief
1582 executive officer of a town, city or borough, in excess of two thousand
1583 five hundred dollars; or (F) any other office of a municipality not
1584 specifically included in this subsection, in excess of one thousand
1585 dollars. The provisions of this subdivision shall not apply to
1586 expenditures by a town committee for a slate of candidates who
1587 unanimously consent to have their general election campaign financed
1588 solely by such town committee pursuant to subdivision (1) of
1589 subsection (d) of section 9-333f.

1590 (3) The limits imposed under this subsection shall apply separately
1591 to primaries and elections.

1592 (d) On and after January 1, 2009, no party committee shall make a
1593 contribution to a candidate committee or an exploratory committee,
1594 except that:

1595 (1) A state central committee of a political party may make in-kind
1596 contributions (A) in any amount, in the case of an in-kind contribution
1597 for the benefit of the candidate committees of two or more candidates
1598 nominated by such party, or (B) in the following amounts, in the case
1599 of an in-kind contribution for the benefit of a candidate committee of a
1600 single candidate for the office of: (i) Governor, in an amount not
1601 exceeding forty thousand dollars for a primary campaign or a general
1602 election campaign, (ii) Lieutenant Governor, Secretary of the State,
1603 State Treasurer, State Comptroller or Attorney General, not exceeding
1604 twenty thousand dollars for a primary campaign or a general election
1605 campaign, (iii) state senator, not exceeding ten per cent of the grant
1606 that a candidate committee for a participating candidate, as defined in
1607 section 4 of this act, in the Citizens' Election Program is eligible to
1608 receive under section 6 of this act for a primary campaign or a general
1609 election campaign, or (iv) state representative, not exceeding ten per

1610 cent of the grant that a candidate committee for a participating
1611 candidate, as defined in section 4 of this act, in the Citizens' Election
1612 Program is eligible to receive under section 6 of this act for a primary
1613 campaign or a general election campaign.

1614 (2) A town committee of a political party may make in-kind
1615 contributions (A) in any amount, in the case of an in-kind contribution
1616 for the benefit of the candidate committees of two or more candidates
1617 nominated by such party, or (B) in the following amounts, in the case
1618 of an in-kind contribution for the benefit of a candidate committee of a
1619 single candidate for the office of: (i) Governor, in excess of ten
1620 thousand dollars; (ii) Lieutenant Governor, Secretary of the State, State
1621 Treasurer, State Comptroller or Attorney General, in excess of six
1622 thousand dollars; (iii) state senator, in excess of three thousand dollars,
1623 provided the aggregate total in-kind contributions that a candidate for
1624 the office of state senator may receive from all town committees shall
1625 be three thousand dollars; (iv) probate judge, in excess of three
1626 thousand dollars; (v) state representative, in excess of one thousand
1627 dollars, provided the aggregate total in-kind contributions that a
1628 candidate for the office of state representative may receive from all
1629 town committees shall be three thousand dollars; (vi) chief executive
1630 officer of a town, city or borough, in excess of two thousand five
1631 hundred dollars; or (vii) any other office of a municipality not
1632 specifically included in this subsection, in excess of one thousand
1633 dollars. The provisions of this subdivision shall not apply to
1634 expenditures by a town committee for a slate of candidates who
1635 unanimously consent to have their general election campaign financed
1636 solely by such town committee pursuant to subdivision (1) of
1637 subsection (d) of section 9-333f.

1638 (3) In-kind contributions under this subsection may include, but
1639 shall not be limited to, voter file data and polling. The State Elections
1640 Enforcement Commission shall adopt regulations, in accordance with
1641 the provisions of chapter 54, to carry out the purposes of this
1642 subsection concerning in-kind contributions.

1643 (4) The limits imposed under this subsection shall apply separately
1644 to primaries and elections.

1645 (e) (1) No state central committee shall make contributions to any
1646 political committee, other than a committee established solely to aid or
1647 promote the success or defeat of a referendum question, in excess of
1648 five thousand dollars.

1649 (2) No town committee shall make contributions to any political
1650 committee, other than a committee established solely to aid or promote
1651 the success or defeat of a referendum question, in excess of two
1652 thousand dollars.

1653 [(b)] (f) A party committee may receive contributions from a federal
1654 account of a national committee of a political party, but may not
1655 receive contributions from any other account of a national committee
1656 of a political party or from a committee of a candidate for federal or
1657 out-of-state office, for use in the election of candidates subject to the
1658 provisions of this chapter.

1659 Sec. 31. Section 9-333t of the general statutes is repealed and the
1660 following is substituted in lieu thereof (*Effective July 1, 2005*):

1661 (a) A political committee organized for ongoing political activities
1662 may make unlimited contributions to, or for the benefit of, a party
1663 committee, except as provided in subsection (d) of this section; any
1664 national committee of a political party; a candidate committee, except
1665 as provided in subsections (b) and (c) of this section; or a committee of
1666 a candidate for federal or out-of-state office. No such political
1667 committee shall make a contribution or contributions in excess of two
1668 thousand dollars to another political committee in any calendar year,
1669 except that a political committee organized by a business entity may
1670 make unlimited contributions to, or for the benefit of, another political
1671 committee organized by a business entity until November 30, 2006.
1672 [No] On or before December 31, 2008, no political committee organized
1673 for ongoing political activities shall make a contribution in excess of
1674 two hundred fifty dollars to an exploratory committee. If such an

1675 ongoing committee is established by an organization or a business
1676 entity, its contributions shall be subject to the limits imposed by
1677 sections 9-333o to 9-333q, inclusive. A political committee organized
1678 for ongoing political activities may make contributions to a charitable
1679 organization which is a tax-exempt organization under Section
1680 501(c)(3) of the Internal Revenue Code, as from time to time amended,
1681 or make memorial contributions.

1682 (b) On and after January 1, 2007, no political committee organized
1683 for ongoing political activities shall make a contribution to any
1684 candidate committee or exploratory committee of a candidate for the
1685 office of Governor, Lieutenant Governor, Attorney General, State
1686 Treasurer, State Comptroller or Secretary of the State.

1687 (c) Between January 1, 2007, and December 31, 2008, no political
1688 committee organized for ongoing political activities shall make a
1689 contribution or contributions to, or for the benefit of, any candidate's
1690 campaign for nomination at a primary and election to the office of (1)
1691 state senator or probate judge, in excess of thirty thousand dollars; (2)
1692 state representative, in excess of seven thousand five hundred dollars;
1693 (3) chief executive officer of a city, town or borough, in excess of two
1694 thousand five hundred dollars; and (4) any other office of a
1695 municipality not specifically included in this subsection, in excess of
1696 one thousand dollars. The limits imposed under this subsection shall
1697 apply separately to primaries and elections. On and after January 1,
1698 2009, no such political committee shall make a contribution to any
1699 candidate committee or exploratory committee, except that, for an
1700 election held in 2010 or thereafter, (A) a legislative caucus committee
1701 may make in-kind contributions for the benefit of the candidate
1702 committee of a candidate for the office of state senator or state
1703 representative, in any amount, and (B) a political committee
1704 established or controlled by a state senator, a state representative or a
1705 candidate for the office of state senator or state representative may
1706 make in-kind contributions for the benefit of the candidate committee
1707 of a candidate for the office of state senator or state representative, in
1708 an amount not exceeding ten per cent of the grant that the candidate

1709 committee of a participating candidate, as defined in section 4 of this
1710 act, is eligible to receive under section 6 of this act for a primary
1711 campaign or a general election campaign, whichever is applicable.
1712 Such in-kind contributions may include, but shall not be limited to,
1713 voter file data and polling. The State Elections Enforcement
1714 Commission shall adopt regulations, in accordance with the provisions
1715 of chapter 54, to carry out the purposes of this subsection concerning
1716 in-kind contributions.

1717 (d) On and after January 1, 2007, no political committee organized
1718 for ongoing political activities shall make contributions in any one
1719 calendar year to, or for the benefit of (1) the state central committee of
1720 a political party, in excess of five thousand dollars, or (2) a town
1721 committee, in excess of one thousand dollars.

1722 ~~[(b)]~~ (e) A political committee organized for ongoing political
1723 activities may receive contributions from the federal account of a
1724 national committee of a political party, but may not receive
1725 contributions from any other account of a national committee of a
1726 political party or from a committee of a candidate for federal or out-of-
1727 state office.

1728 Sec. 32. Section 9-333u of the general statutes is repealed and the
1729 following is substituted in lieu thereof (*Effective July 1, 2005*):

1730 (a) A political committee established for a single primary or election
1731 may make unlimited contributions to, or for the benefit of, a party
1732 committee or a candidate committee, except as provided in subsections
1733 (b), (c) and (d) of this section, but no such political committee shall
1734 make contributions to a national committee, or a committee of a
1735 candidate for federal or out-of-state office. If such a political committee
1736 is established by an organization or a business entity, its contributions
1737 shall also be subject to the limitations imposed by sections 9-333o to 9-
1738 333q, inclusive, as amended by this act. No political committee formed
1739 for a single election or primary shall, with respect to such election or
1740 primary make a contribution or contributions in excess of two

1741 thousand dollars to another political committee, provided on or before
1742 December 31, 2008, no such political committee shall make a
1743 contribution in excess of two hundred fifty dollars to an exploratory
1744 committee.

1745 (b) On and after January 1, 2007, no political committee established
1746 for a single election or primary shall make a contribution to any
1747 candidate committee or exploratory committee of a candidate for the
1748 office of Governor, Lieutenant Governor, Attorney General, State
1749 Treasurer, State Comptroller or Secretary of the State.

1750 (c) Between January 1, 2007, and December 31, 2008, no political
1751 committee established for a single primary or election shall make a
1752 contribution or contributions to, or for the benefit of, any candidate's
1753 campaign for nomination at a primary and election to the office of (1)
1754 state senator or probate judge, in excess of thirty thousand dollars; (2)
1755 state representative, in excess of seven thousand five hundred dollars;
1756 (3) chief executive officer of a city, town or borough, in excess of two
1757 thousand five hundred dollars; and (4) any other office of a
1758 municipality not specifically included in this subsection, in excess of
1759 one thousand dollars. The limits imposed under this subsection shall
1760 apply separately to primaries and elections. On and after January 1,
1761 2009, no such political committee shall make a contribution to any
1762 candidate committee or exploratory committee, except that, for an
1763 election held in 2010 or thereafter, (A) a legislative caucus committee
1764 may make in-kind contributions for the benefit of the candidate
1765 committee of a candidate for the office of state senator or state
1766 representative, in any amount, and (B) a political committee
1767 established or controlled by a state senator, a state representative or a
1768 candidate for the office of state senator or state representative may
1769 make in-kind contributions for the benefit of the candidate committee
1770 of a candidate for the office of state senator or state representative, in
1771 an amount not exceeding ten per cent of the grant that the candidate
1772 committee of a participating candidate, as defined in section 4 of this
1773 act, is eligible to receive under section 6 of this act for a primary
1774 campaign or a general election campaign, whichever is applicable.

1775 Such in-kind contributions may include, but shall not be limited to,
1776 voter file data and polling. The State Elections Enforcement
1777 Commission shall adopt regulations, in accordance with the provisions
1778 of chapter 54, to carry out the purposes of this subsection concerning
1779 in-kind contributions.

1780 (d) On and after January 1, 2007, no political committee established
1781 for a single primary or election shall make contributions in any one
1782 calendar year to, or for the benefit of, (1) the state central committee of
1783 a political party, in excess of five thousand dollars, or (2) a town
1784 committee, in excess of one thousand dollars.

1785 ~~[(b)]~~ (e) A political committee established for a single primary or
1786 election shall not receive contributions from a committee of a
1787 candidate for federal or out-of-state office or from a national
1788 committee.

1789 Sec. 33. Subsection (b) of section 9-333y of the general statutes is
1790 repealed and the following is substituted in lieu thereof (*Effective July*
1791 *1, 2005*):

1792 (b) If any campaign treasurer or lobbyist fails to file the statements
1793 required by section 9-333j, as amended by this act, or subsection (g) of
1794 section 9-333l, as the case may be, within the time required, [he] the
1795 campaign treasurer or lobbyist shall pay a late filing fee of fifty-five
1796 dollars. In the case of a statement that is required to be filed with the
1797 Secretary of the State, the secretary shall, within ten days after the
1798 filing deadline, notify by certified mail, return receipt requested, the
1799 person required to file that, if such statement is not filed within
1800 twenty-one days after the deadline, the person is in violation of said
1801 section or subsection. If the person does not file such statement within
1802 twenty-one days after the deadline, the secretary shall notify the State
1803 Elections Enforcement Commission within twenty-eight days after the
1804 deadline. In the case of a copy of a statement that is required to be filed
1805 with the State Elections Enforcement Commission, the commission
1806 shall, not later than ten days after the filing deadline, notify the person

1807 required to file, by certified mail, return receipt requested, that if such
1808 statement is not filed not later than twenty-one days after the deadline
1809 the person is in violation of section 9-333j, as amended by this act. In
1810 the case of a statement that is required to be filed with a town clerk, the
1811 town clerk shall forthwith after the filing deadline notify by certified
1812 mail, return receipt requested, the person required to file that, if such
1813 statement is not filed within seven days after receiving such notice, the
1814 town clerk shall notify the State Elections Enforcement Commission
1815 that the person is in violation of said section or subsection. The penalty
1816 for any violation of said section or subsection shall be a fine of not
1817 more than one thousand dollars or imprisonment for not more than
1818 one year or both.

1819 Sec. 34. Section 9-7b of the general statutes is repealed and the
1820 following is substituted in lieu thereof (*Effective July 1, 2005*):

1821 (a) The State Elections Enforcement Commission shall have the
1822 following duties and powers:

1823 (1) To make investigations on its own initiative or with respect to
1824 statements filed with the commission by the Secretary of the State or
1825 any town clerk, or upon written complaint under oath by any
1826 individual, with respect to alleged violations of any provision of the
1827 general statutes and sections 1 to 17, inclusive, of this act, relating to
1828 any election or referendum, any primary held pursuant to section 9-
1829 423, 9-425 or 9-464 or any primary held pursuant to a special act, and
1830 to hold hearings when the commission deems necessary to investigate
1831 violations of any provisions of the general statutes or sections 1 to 17,
1832 inclusive, of this act, relating to any such election, primary or
1833 referendum, and for the purpose of such hearings the commission may
1834 administer oaths, examine witnesses and receive oral and
1835 documentary evidence, and shall have the power to subpoena
1836 witnesses under procedural rules the commission shall adopt, to
1837 compel their attendance and to require the production for examination
1838 of any books and papers which the commission deems relevant to any
1839 matter under investigation or in question. In connection with its

1840 investigation of any alleged violation of any provision of chapter 145,
1841 or of any provision of section 9-359 or section 9-359a, the commission
1842 shall also have the power to subpoena any municipal clerk and to
1843 require the production for examination of any absentee ballot, inner
1844 and outer envelope from which any such ballot has been removed,
1845 depository envelope containing any such ballot or inner or outer
1846 envelope as provided in sections 9-150a and 9-150b and any other
1847 record, form or document as provided in section 9-150b, in connection
1848 with the election, primary or referendum to which the investigation
1849 relates. In case of a refusal to comply with any subpoena issued
1850 pursuant to this subsection or to testify with respect to any matter
1851 upon which that person may be lawfully interrogated, the superior
1852 court for the judicial district of Hartford, on application of the
1853 commission, may issue an order requiring such person to comply with
1854 such subpoena and to testify; failure to obey any such order of the
1855 court may be punished by the court as a contempt thereof. In any
1856 matter under investigation which concerns the operation or inspection
1857 of or outcome recorded on any voting machine, the commission may
1858 issue an order to the municipal clerk to impound such machine until
1859 the investigation is completed;

1860 (2) To levy a civil penalty not to exceed (A) two thousand dollars
1861 per offense against any person the commission finds to be in violation
1862 of any provision of chapter 145, part V of chapter 146, part I of chapter
1863 147, chapter 148, section 9-12, subsection (a) of section 9-17, section 9-
1864 19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a, 9-23g, 9-23h, 9-23j to 9-
1865 23o, inclusive, 9-23r, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-35c, 9-40a, 9-42, 9-
1866 43, 9-50a, 9-56, 9-59, 9-168d, 9-170, 9-171, 9-172, 9-232i to 9-232o,
1867 inclusive, 9-404a to 9-404c, inclusive, 9-409, 9-410, 9-412, 9-436, 9-436a,
1868 9-453e to 9-453h, inclusive, 9-453k or 9-453o, or (B) two thousand
1869 dollars per offense or twice the amount of any improper payment or
1870 contribution, whichever is greater, against any person the commission
1871 finds to be in violation of any provision of chapter 150 or sections 1 to
1872 17, inclusive, of this act. The commission may levy a civil penalty
1873 against any person under subparagraph (A) or (B) of this subdivision

1874 only after giving the person an opportunity to be heard at a hearing
1875 conducted in accordance with sections 4-176e to 4-184, inclusive. In the
1876 case of failure to pay any such penalty levied pursuant to this
1877 subsection within thirty days of written notice sent by certified or
1878 registered mail to such person, the superior court for the judicial
1879 district of Hartford, on application of the commission, may issue an
1880 order requiring such person to pay the penalty imposed and such
1881 court costs, state marshal's fees and attorney's fees incurred by the
1882 commission as the court may determine. Any civil penalties paid,
1883 collected or recovered under subparagraph (B) of this subdivision for a
1884 violation of any provision of chapter 150 applying to the office of the
1885 Treasurer shall be deposited on a pro rata basis in any trust funds, as
1886 defined in section 3-13c, affected by such violation;

1887 (3) (A) To issue an order requiring any person the commission finds
1888 to have received any contribution or payment which is prohibited by
1889 any of the provisions of chapter 150, after an opportunity to be heard
1890 at a hearing conducted in accordance with the provisions of sections 4-
1891 176e to 4-184, inclusive, to return such contribution or payment to the
1892 donor or payor, or to remit such contribution or payment to the state
1893 for deposit in the General Fund, whichever is deemed necessary to
1894 effectuate the purposes of chapter 150;

1895 (B) To issue an order when the commission finds that an intentional
1896 violation of any provision of chapter 150 has been committed, after an
1897 opportunity to be heard at a hearing conducted in accordance with
1898 sections 4-176e to 4-184, inclusive, which order may contain one or
1899 more of the following sanctions: (i) Removal of a campaign treasurer,
1900 deputy campaign treasurer or solicitor; (ii) prohibition on serving as a
1901 campaign treasurer, deputy campaign treasurer or solicitor, for a
1902 period not to exceed four years; and (iii) in the case of a party
1903 committee or a political committee, suspension of all political
1904 activities, including, but not limited to, the receipt of contributions and
1905 the making of expenditures, provided the commission may not order
1906 such a suspension unless the commission has previously ordered the
1907 removal of the campaign treasurer and notifies the officers of the

1908 committee that the commission is considering such suspension;

1909 (C) To issue an order revoking any person's eligibility to be
1910 appointed or serve as an election, primary or referendum official or
1911 unofficial checker or in any capacity at the polls on the day of an
1912 election, primary or referendum, when the commission finds such
1913 person has intentionally violated any provision of the general statutes
1914 relating to the conduct of an election, primary or referendum, after an
1915 opportunity to be heard at a hearing conducted in accordance with
1916 sections 4-176e to 4-184, inclusive;

1917 (D) To issue an order to enforce the provisions of the Help America
1918 Vote Act, P.L. 107-252, as amended from time to time, as the
1919 commission deems appropriate;

1920 (4) To issue an order to a candidate committee that receives moneys
1921 from the Citizens' Election Fund pursuant to sections 1 to 17, inclusive,
1922 of this act, to comply with the provisions of sections 1 to 17, inclusive,
1923 of this act after an opportunity to be heard at a hearing conducted in
1924 accordance with the provisions of sections 4-176e to 4-184, inclusive;

1925 ~~[(4)]~~ (5) To inspect or audit at any reasonable time and upon
1926 reasonable notice the accounts or records of any campaign treasurer or
1927 principal campaign treasurer, as required by chapter 150 and to audit
1928 any such election, primary or referendum held within the state;
1929 provided, (A) (i) not later than two months preceding the day of an
1930 election at which a candidate is seeking election, the commission shall
1931 complete any audit it has initiated in the absence of a complaint that
1932 involves a committee of the same candidate from a previous election,
1933 and (ii) during the two-month period preceding the day of an election
1934 at which a candidate is seeking election, the commission shall not
1935 initiate an audit in the absence of a complaint that involves a
1936 committee of the same candidate from a previous election, and (B) the
1937 commission shall not audit any caucus, as defined in subdivision (1) of
1938 section 9-372;

1939 ~~[(5)]~~ (6) To attempt to secure voluntary compliance, by informal

1940 methods of conference, conciliation and persuasion, with any
1941 provision of chapters 149 to 153, inclusive, or any other provision of
1942 the general statutes relating to any such election, primary or
1943 referendum;

1944 ~~[(6)]~~ (7) To consult with the Secretary of the State, the Chief State's
1945 Attorney or the Attorney General on any matter which the commission
1946 deems appropriate;

1947 ~~[(7)]~~ (8) To refer to the Chief State's Attorney evidence bearing upon
1948 violation of any provision of chapters 149 to 153, inclusive, or any
1949 other provision of the general statutes pertaining to or relating to any
1950 such election, primary or referendum;

1951 ~~[(8)]~~ (9) To refer to the Attorney General evidence for injunctive
1952 relief and any other ancillary equitable relief in the circumstances of
1953 subdivision ~~[(7)]~~ (8) of this subsection. Nothing in this subdivision
1954 shall preclude a person who claims that he is aggrieved by a violation
1955 of any provision of chapter 152 or any other provision of the general
1956 statutes relating to referenda from pursuing injunctive and any other
1957 ancillary equitable relief directly from the Superior Court by the filing
1958 of a complaint;

1959 ~~[(9)]~~ (10) To refer to the Attorney General evidence pertaining to any
1960 ruling which the commission finds to be in error made by election
1961 officials in connection with any election, primary or referendum. Those
1962 remedies and procedures available to parties claiming to be aggrieved
1963 under the provisions of sections 9-323, 9-324, 9-328 and 9-329a shall
1964 apply to any complaint brought by the Attorney General as a result of
1965 the provisions of this subdivision;

1966 ~~[(10)]~~ (11) To consult with the United States Department of Justice
1967 and the United States Attorney for Connecticut on any investigation
1968 pertaining to a violation of this section, section 9-12, subsection (a) of
1969 section 9-17 or section 9-19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a,
1970 9-23g, 9-23h, 9-23j to 9-23o, inclusive, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-
1971 35c, 9-40a, 9-42, 9-43, 9-50a, 9-56 or 9-59 and to refer to said department

1972 and attorney evidence bearing upon any such violation for prosecution
1973 under the provisions of the National Voter Registration Act of 1993,
1974 P.L. 103-31, as amended from time to time;

1975 [(11)] (12) To inspect reports filed with the Secretary of the State and
1976 with town clerks pursuant to chapter 150 and refer to the Chief State's
1977 Attorney evidence bearing upon any violation of law therein if such
1978 violation was committed knowingly and wilfully;

1979 [(12)] (13) To intervene in any action brought pursuant to the
1980 provisions of sections 9-323, 9-324, 9-328 and 9-329a upon application
1981 to the court in which such action is brought when in the opinion of the
1982 court it is necessary to preserve evidence of possible criminal violation
1983 of the election laws;

1984 [(13)] (14) To adopt and publish regulations pursuant to chapter 54
1985 to carry out the provisions of section 9-7a, this section and chapter 150;
1986 to issue upon request and publish advisory opinions in the
1987 Connecticut Law Journal upon the requirements of chapter 150, and to
1988 make recommendations to the General Assembly concerning
1989 suggested revisions of the election laws;

1990 [(14)] (15) To the extent that the Elections Enforcement Commission
1991 is involved in the investigation of alleged or suspected criminal
1992 violations of any provision of the general statutes pertaining to or
1993 relating to any such election, primary or referendum and is engaged in
1994 such investigation for the purpose of presenting evidence to the Chief
1995 State's Attorney, the Elections Enforcement Commission shall be
1996 deemed a law enforcement agency for purposes of subdivision (3) of
1997 subsection (b) of section 1-210, provided nothing in this section shall be
1998 construed to exempt the Elections Enforcement Commission in any
1999 other respect from the requirements of the Freedom of Information
2000 Act, as defined in section 1-200;

2001 [(15)] (16) To enter into such contractual agreements as may be
2002 necessary for the discharge of its duties, within the limits of its
2003 appropriated funds and in accordance with established procedures;

2004 [(16)] (17) To provide the Secretary of the State with notice and
2005 copies of all decisions rendered by the commission in contested cases,
2006 advisory opinions and declaratory judgments, at the time such
2007 decisions, judgments and opinions are made or issued;

2008 [(17)] (18) To receive and determine complaints filed under the Help
2009 America Vote Act, P.L. 107-252, as amended from time to time, by any
2010 person who believes there is a violation of any provision of Title III of
2011 P.L. 107-252, as amended. Any complaint filed under this subdivision
2012 shall be in writing, notarized and signed and sworn by the person
2013 filing the complaint. At the request of the complainant, there shall be a
2014 hearing on the record, conducted in accordance with sections 4-167e to
2015 4-184, inclusive. The commission shall make a final determination with
2016 respect to a complaint prior to the expiration of the ninety-day period
2017 beginning on the date the complaint is filed, unless the complainant
2018 consents to a longer period for making such determination. If the
2019 commission fails to meet the applicable deadline under this
2020 subdivision with respect to a complaint, the commission shall resolve
2021 the complaint within sixty days after the expiration of such ninety-day
2022 period under an alternative dispute resolution procedure established
2023 by the commission.

2024 (b) In the case of a refusal to comply with an order of the
2025 commission issued pursuant to subdivision (3) of subsection (a) of this
2026 section, the superior court for the judicial district of Hartford, on
2027 application of the commission, may issue a further order to comply.
2028 Failure to obey such further order may be punished by the court as a
2029 contempt thereof.

2030 Sec. 35. Section 9-324 of the general statutes is repealed and the
2031 following is substituted in lieu thereof (*Effective July 1, 2005*):

2032 Any elector or candidate who claims that [he] such elector or
2033 candidate is aggrieved by any ruling of any election official in
2034 connection with any election for Governor, Lieutenant Governor,
2035 Secretary of the State, State Treasurer, Attorney General, State

2036 Comptroller or judge of probate, held in [his] such elector's or
2037 candidate's town, or that there has been a mistake in the count of the
2038 votes cast at such election for candidates for said offices or any of
2039 them, at any voting district in [his] such elector's or candidate's town,
2040 or any candidate for such an office who claims that [he] such candidate
2041 is aggrieved by a violation of any provision of [sections] section 9-355,
2042 9-357 to 9-361, inclusive, 9-364, 9-364a or 9-365 in the casting of
2043 absentee ballots at such election or any candidate for the office of
2044 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,
2045 Attorney General or State Comptroller, who claims that such candidate
2046 is aggrieved by a violation of any provision of sections 1 to 17,
2047 inclusive, of this act, may bring [his] such elector's or candidate's
2048 complaint to any judge of the Superior Court, in which [he] such
2049 elector or candidate shall set out the claimed errors of such election
2050 official, the claimed errors in the count or the claimed violations of said
2051 sections. In any action brought pursuant to the provisions of this
2052 section, the complainant shall send a copy of the complaint by first-
2053 class mail, or deliver a copy of the complaint by hand, to the State
2054 Elections Enforcement Commission. If such complaint is made prior to
2055 such election, such judge shall proceed expeditiously to render
2056 judgment on the complaint and shall cause notice of the hearing to be
2057 given to the Secretary of the State and the State Elections Enforcement
2058 Commission. If such complaint is made subsequent to the election, it
2059 shall be brought [within] not later than fourteen days of the election
2060 and such judge shall forthwith order a hearing to be had upon such
2061 complaint, upon a day not more than five nor less than three days
2062 from the making of such order, and shall cause notice of not less than
2063 three nor more than five days to be given to any candidate or
2064 candidates whose election may be affected by the decision upon such
2065 hearing, to such election official, the Secretary of the State, the State
2066 Elections Enforcement Commission and to any other party or parties
2067 whom such judge deems proper parties thereto, of the time and place
2068 for the hearing upon such complaint. Such judge shall, on the day
2069 fixed for such hearing and without unnecessary delay, proceed to hear
2070 the parties. If sufficient reason is shown, [he] such judge may order

2071 any voting machines to be unlocked or any ballot boxes to be opened
2072 and a recount of the votes cast, including absentee ballots, to be made.
2073 Such judge shall thereupon, in case [he] such judge finds any error in
2074 the rulings of the election official, any mistake in the count of the votes
2075 or any violation of said sections, certify the result of [his] such judge's
2076 finding or decision to the Secretary of the State before the fifteenth day
2077 of the next succeeding December. Such judge may order a new election
2078 or a change in the existing election schedule. Such certificate of such
2079 judge of [his] such judge's finding or decision shall be final and
2080 conclusive upon all questions relating to errors in the rulings of such
2081 election officials, to the correctness of such count, and, for the purposes
2082 of this section only, such claimed violations, and shall operate to
2083 correct the returns of the moderators or presiding officers, so as to
2084 conform to such finding or decision, unless the same is appealed from
2085 as provided in section 9-325.

2086 Sec. 36. (NEW) (*Effective July 1, 2005*) (a) The provisions of
2087 subsections (b), (c) and (d) of this section shall apply from July 1, 2005,
2088 to December 31, 2008.

2089 (b) (1) No candidate for the office of Governor or Lieutenant
2090 Governor shall solicit contributions, on behalf of a candidate
2091 committee established by a candidate for nomination or election to any
2092 public office or on behalf of any political committee or party
2093 committee, or accept contributions from (A) any individual who (i) is
2094 an officer, director, owner, limited or general partner or holder of stock
2095 constituting five per cent or more of the total outstanding stock of any
2096 class of a business which has a contract valued at two hundred fifty
2097 thousand dollars or more with a state agency in the executive branch,
2098 except for the office of the Attorney General, State Comptroller,
2099 Secretary of the State or State Treasurer, and (ii) has substantial policy
2100 or decision-making authority related to the administration of said
2101 contract, (B) a member of the immediate family of such individual, or
2102 (C) a political committee established by such business.

2103 (2) During the term of such contract, (A) no such individual from

2104 such business, immediate family member or political committee
2105 established by such business shall make a contribution to, or solicit a
2106 contribution on behalf of, any candidate committee established by a
2107 candidate for the office of Governor or Lieutenant Governor, and (B)
2108 no such business, individual, immediate family member or political
2109 committee shall purchase advertising space in a program for a fund-
2110 raising affair from said candidate or candidate committee. If any such
2111 individual, immediate family member or political committee makes
2112 such a contribution or if any such business, individual, immediate
2113 family member or political committee purchases such advertising
2114 space, the business shall be prohibited from being awarded a contract
2115 described in subdivision (1) of this subsection, or an extension or an
2116 amendment to any such contract, for one year after the election for
2117 which such contribution is made.

2118 (c) (1) No candidate for the office of Attorney General, State
2119 Comptroller or Secretary of the State shall solicit contributions, on
2120 behalf of a candidate committee established by a candidate for
2121 nomination or election to any public office or on behalf of any political
2122 committee or party committee, or accept contributions from (A) any
2123 individual who (i) is an officer, director, owner, limited or general
2124 partner or holder of stock constituting five per cent or more of the total
2125 outstanding stock of any class of a business which has a contract
2126 valued at two hundred fifty thousand dollars or more with the office
2127 that such candidate is seeking election to, and (ii) has substantial
2128 policy or decision-making authority related to the administration of
2129 said contract, (B) a member of the immediate family of such
2130 individual, or (C) a political committee established by such business.

2131 (2) During the term of such contract, (A) no such individual from
2132 such business, immediate family member or political committee
2133 established by such business shall make a contribution to, or solicit a
2134 contribution on behalf of, any candidate committee established by a
2135 candidate for the office with which the business has a contract, and (B)
2136 no such business, individual, immediate family member or political
2137 committee shall purchase advertising space in a program for a fund-

2138 raising affair from said candidate or candidate committee. If any such
2139 individual, immediate family member or political committee makes
2140 such a contribution or if any such business, individual, immediate
2141 family member or political committee purchases such advertising
2142 space, the business shall be prohibited from being awarded a contract
2143 described in subdivision (1) of this subsection, or an extension or an
2144 amendment to any such contract, for one year after the election for
2145 which such contribution is made.

2146 (3) The provisions of this subsection shall also apply to the State
2147 Treasurer to the extent such provisions are not inconsistent with the
2148 provisions of subsection (f) of section 9-333n of the general statutes, as
2149 amended by this act.

2150 (d) (1) No candidate for the office of state senator or state
2151 representative shall solicit contributions, on behalf of a candidate
2152 committee established by a candidate for nomination or election to any
2153 public office or on behalf of any political committee or party
2154 committee, or accept contributions from (A) any individual who (i) is
2155 an officer, director, owner, limited or general partner or holder of stock
2156 constituting five per cent or more of the total outstanding stock of any
2157 class of a business which has a contract with the General Assembly
2158 valued at two hundred fifty thousand dollars or more, and (ii) has
2159 substantial policy or decision-making authority related to the
2160 administration of said contract, (B) a member of the immediate family
2161 of such individual, or (C) a political committee established by such
2162 business.

2163 (2) During the term of such contract, (A) no such individual from
2164 such business, immediate family member or political committee
2165 established by such business shall make a contribution to, or solicit a
2166 contribution on behalf of, any candidate committee established by a
2167 candidate for the office of state senator or state representative, and (B)
2168 no such business, individual, immediate family member or political
2169 committee shall purchase advertising space in a program for a fund-
2170 raising affair from said candidate or candidate committee. If any such

2171 individual, immediate family member or political committee makes
2172 such a contribution or if any such business, individual, immediate
2173 family member or political committee purchases such advertising
2174 space, the business shall be prohibited from being awarded a contract
2175 described in subdivision (1) of this subsection, or an extension or an
2176 amendment to any such contract, for one year after the election for
2177 which such contribution is made.

2178 (e) (1) The provisions of this subsection shall apply on and after
2179 January 1, 2009.

2180 (2) No candidate for the office of Governor, Lieutenant Governor,
2181 Attorney General, State Comptroller, Secretary of the State, State
2182 Treasurer, state senator or state representative shall solicit
2183 contributions, on behalf of a candidate committee established by a
2184 candidate for nomination or election to any public office or on behalf
2185 of any political committee or party committee, or accept contributions
2186 from (A) any individual who (i) is an officer, director, owner, limited
2187 or general partner or holder of stock constituting five per cent or more
2188 of the total outstanding stock of any class of a business which has a
2189 contract valued at two hundred fifty thousand dollars or more with
2190 any, and (ii) has substantial policy or decision-making authority
2191 related to the administration of said contract, (B) a member of the
2192 immediate family of such individual, or (C) a political committee
2193 established by such business.

2194 (3) During the term of such contract, (A) no such individual from
2195 such business, immediate family member or political committee
2196 established by such business shall make a contribution to, or solicit a
2197 contribution on behalf of, any candidate committee established by a
2198 candidate for the office of Governor, Lieutenant Governor, Attorney
2199 General, State Comptroller, Secretary of the State, State Treasurer, state
2200 senator or state representative, and (B) no such business, individual,
2201 immediate family member or political committee shall purchase
2202 advertising space in a program for a fund-raising affair from said
2203 candidate or candidate committee. If any such individual, immediate

2204 family member or political committee makes such a contribution or if
2205 any such business, individual, immediate family member or political
2206 committee purchases such advertising space, the business shall be
2207 prohibited from being awarded a contract described in subdivision (1)
2208 of this subsection, or an extension or an amendment to any such
2209 contract, for one year after the election for which such contribution is
2210 made.

2211 (f) (1) No candidate for any elected office in a municipality shall
2212 solicit contributions on behalf of a candidate committee established by
2213 a candidate for nomination or election to any public office or on behalf
2214 of any political committee or party committee, or accept contributions
2215 from (A) any individual who (i) is an officer, director, owner, limited
2216 or general partner or holder of stock constituting five per cent or more
2217 of the total outstanding stock of any class of a business which has a
2218 contract with such municipality valued at one hundred thousand
2219 dollars or more, and (ii) has substantial policy or decision-making
2220 authority related to the administration of said contract, (B) a member
2221 of the immediate family of such individual, or (C) a political committee
2222 established by such business.

2223 (2) During the term of such contract, (A) no such individual from
2224 such business, immediate family member or political committee
2225 established by such business shall make a contribution to, or solicit a
2226 contribution on behalf of, any candidate committee established by a
2227 candidate for any elected office in such municipality, and (B) no such
2228 business, individual, immediate family member or political committee
2229 shall purchase advertising space in a program for a fund-raising affair
2230 from said candidate or candidate committee. If any such individual,
2231 immediate family member or political committee makes such a
2232 contribution or if any such business, individual, immediate family
2233 member or political committee purchases such advertising space, the
2234 business shall be prohibited from being awarded a contract described
2235 in subdivision (1) of this subsection, or an extension or an amendment
2236 to any such contract, for one year after the election for which such
2237 contribution is made.

2238 (g) As used in this section, "immediate family" means any spouse of
2239 an individual or any dependent child of an individual who resides in
2240 the individual's household.

2241 Sec. 37. (NEW) (*Effective December 1, 2006, and applicable to elections*
2242 *held in 2008, and thereafter*) (a) The State Ethics Commission, upon a
2243 finding that a communicator lobbyist, as defined in section 1-91 of the
2244 general statutes, has violated the provisions of subsection (h) of section
2245 9-333l of the general statutes, as amended by this act, may suspend
2246 said lobbyist's registration for a period of not more than the remainder
2247 of the term of such registration and may prohibit said lobbyist from
2248 engaging in the profession of lobbyist for a period of not more than
2249 three years.

2250 (b) The State Ethics Commission may revoke the registration of a
2251 communicator lobbyist upon a finding that the lobbyist has been
2252 convicted of, or has pleaded guilty or nolo contendere to, a crime
2253 involving bribery, theft or moral turpitude, which the lobbyist
2254 committed in the course of lobbying.

2255 (c) The commission shall make any finding under subsection (a) or
2256 (b) of this section in accordance with the same procedure set forth in
2257 section 1-93 of the general statutes for a finding by the commission of a
2258 violation of part II of chapter 10 of the general statutes.

2259 (d) As used in this section, "communicator lobbyist", "lobbyist" and
2260 "lobbying" have the same meanings as provided in section 1-91 of the
2261 general statutes.

2262 Sec. 38. Section 22a-243 of the general statutes is repealed and the
2263 following is substituted in lieu thereof (*Effective July 1, 2005, and*
2264 *applicable to sales occurring on or after July 1, 2005*):

2265 For purposes of sections 22a-243 to 22a-245, inclusive, and section 40
2266 of this act:

2267 (1) "Beverage" means beer or other malt beverages and mineral

2268 waters, soda water and similar carbonated soft drinks in liquid form
2269 and intended for human consumption;

2270 (2) "Beverage container" means the individual, separate, sealed
2271 glass, metal or plastic bottle, can, jar or carton containing a beverage;

2272 (3) "Consumer" means every person who purchases a beverage in a
2273 beverage container for use or consumption;

2274 (4) "Dealer" means every person who engages in the sale of
2275 beverages in beverage containers to a consumer;

2276 (5) "Distributor" means every person who engages in the sale of
2277 beverages in beverage containers to a dealer in this state including any
2278 manufacturer who engages in such sale and includes a dealer who
2279 engages in the sale of beverages in beverage containers on which no
2280 deposit has been collected prior to retail sale;

2281 (6) "Manufacturer" means every person bottling, canning or
2282 otherwise filling beverage containers for sale to distributors or dealers;

2283 (7) "Place of business of a dealer" means the location at which a
2284 dealer sells or offers for sale beverages in beverage containers to
2285 consumers;

2286 (8) "Redemption center" means any facility established to redeem
2287 empty beverage containers from consumers or to collect and sort
2288 empty beverage containers from dealers and to prepare such
2289 containers for redemption by the appropriate distributors;

2290 (9) "Use or consumption" includes the exercise of any right or power
2291 over a beverage incident to the ownership thereof, other than the sale
2292 or the keeping or retention of a beverage for the purposes of sale;

2293 (10) "Nonrefillable beverage container" means a beverage container
2294 which is not designed to be refilled and reused in its original shape;

2295 (11) "Deposit initiator" means the first distributor who is not a

2296 manufacturer to sell a beverage container in this state or a
2297 manufacturer who sells a beverage container to a person who sells a
2298 beverage container.

2299 Sec. 39. (NEW) (*Effective from passage, and applicable to sales occurring*
2300 *on or after July 1, 2005*) (a) Each deposit initiator shall open a special
2301 interest-bearing account to the credit of the deposit initiator. Each
2302 deposit initiator shall invest in such account an amount equal to the
2303 refund value established pursuant to subsection (a) of section 22a-244
2304 of the general statutes for each beverage container sold by such deposit
2305 initiator. Such investment shall be made not more than three days after
2306 the date such beverage container was sold. All interest, dividends and
2307 returns earned on the special account shall be paid directly into such
2308 account. Such moneys shall be kept separate and apart from all other
2309 moneys in the possession of the deposit initiator.

2310 (b) Not later than July 1, 2005, the Commissioner of Revenue
2311 Services shall adopt regulations, in accordance with the provisions of
2312 chapter 54 of the general statutes, to establish an accounting system for
2313 the withdrawal of moneys from the deposit initiator's special account.
2314 Any reimbursement of the refund value for a redeemed beverage
2315 container shall be paid from the deposit initiator's special account in
2316 the manner prescribed in said accounting system.

2317 (c) Each deposit initiator shall submit a report not later than October
2318 31, 2005, for the calendar quarter ending September 30, 2005, and one
2319 month after the close of each calendar quarter thereafter, to the
2320 Commissioner of Environmental Protection, on a form prescribed by
2321 the commissioner and with such information the commissioner deems
2322 necessary, including, but not limited to: (1) The balance in the deposit
2323 initiator's special account at the beginning of the quarter for which the
2324 report is prepared; (2) a list of all deposits credited to such account
2325 during such quarter, including all refund values paid to the deposit
2326 initiator and all interest, dividends or returns received on the account;
2327 (3) a list of all withdrawals from such account during such quarter, all
2328 service charges and overdraft charges on the account and all payments

2329 made pursuant to subsection (d) of this section; and (4) the balance in
2330 the account at the close of the quarter for which the report is prepared.

2331 (d) Not later than October 31, 2005, for the calendar quarter ending
2332 September 30, 2005, and one month after the close of each calendar
2333 quarter thereafter, each deposit initiator shall pay the balance
2334 outstanding in the special account to the Commissioner of
2335 Environmental Protection for deposit in the Citizens' Election Fund
2336 established in section 2 of this act. If the amount of the required
2337 payment to said commissioner pursuant to this subsection is not paid
2338 within seven days after it is due, a penalty of ten per cent of the
2339 amount due shall be added to the amount due and an additional five
2340 per cent penalty shall be added for each day thereafter that such
2341 payment is not submitted. Such penalties shall not be paid from funds
2342 maintained in the special account.

2343 (e) If moneys deposited in the special account are insufficient to pay
2344 for withdrawals authorized pursuant to subsection (b) of this section,
2345 such deficiency shall be added, with interest, to the succeeding
2346 payment due pursuant to subsection (d) of this section.

2347 (f) The State Treasurer may, independently or upon request of the
2348 commissioner, examine the accounts and records of any deposit
2349 initiator referring to accounts and records maintained under sections
2350 22a-243 to 22a-245, inclusive, of the general statutes, as amended by
2351 this act, including receipts, disbursements and such other items as the
2352 Treasurer deems appropriate. The Treasurer may assess a surcharge in
2353 the amount of ten per cent per annum for any audit adjustments to
2354 accounts or records maintained under said sections 22a-243 to 22a-245,
2355 inclusive, and this section, during any fiscal year, and ten per cent per
2356 annum, together with interest, for any underpayment of the payment
2357 established by subsection (d) of this section. Such penalties shall not be
2358 paid from funds maintained in the special account.

2359 (g) The Attorney General may, independently or upon complaint of
2360 the commissioner, institute any appropriate action or proceeding to

2361 enforce any provision of this section or any regulation adopted
2362 pursuant to subsection (b) of this section.

2363 Sec. 40. Section 22a-246 of the general statutes is repealed and the
2364 following is substituted in lieu thereof (*Effective July 1, 2005, and*
2365 *applicable to sales occurring on or after July 1, 2005*):

2366 Any person who violates any provision of section 22a-244, [or] 22a-
2367 245 or section 40 of this act shall be fined not less than fifty dollars nor
2368 more than one hundred dollars, and for a second offense shall be fined
2369 not less than one hundred dollars nor more than two hundred dollars
2370 and for a third and each additional offense shall be fined not less than
2371 two hundred fifty dollars nor more than five hundred dollars.

2372 Sec. 41. (NEW) (*Effective July 1, 2005*) Any person, business entity,
2373 organization, party committee or political committee, as such terms are
2374 defined in section 9-333a of the general statutes, as amended by this
2375 act, may contribute to the Citizens' Election Fund. Any such
2376 contribution shall be made by check or money order. The State
2377 Elections Enforcement Commission shall immediately transmit all
2378 contributions received pursuant to this section to the State Treasurer
2379 for deposit in the Citizens' Election Fund established in section 2 of this
2380 act.

2381 Sec. 42. Subsection (e) of section 9-333j of the general statutes is
2382 repealed and the following is substituted in lieu thereof (*Effective*
2383 *December 1, 2006, and applicable to elections held in 2008, and thereafter*):

2384 (e) (1) Notwithstanding any provisions of this chapter, [to the
2385 contrary,] in the event of a surplus the campaign treasurer of a
2386 candidate committee or of a political committee, other than a political
2387 committee formed for ongoing political activities or an exploratory
2388 committee, shall distribute or expend such surplus [within] not later
2389 than ninety days after a primary which results in the defeat of the
2390 candidate, an election or referendum not held in November or by
2391 January thirty-first following an election or referendum held in
2392 November, in the following manner:

2393 (A) Such committees may distribute their surplus to a party
2394 committee, or a political committee organized for ongoing political
2395 activities, return such surplus to all contributors to the committee on a
2396 prorated basis of contribution, distribute all or any part of such surplus
2397 to the Citizens' Election Fund established in section 2 of this act or
2398 distribute such surplus to any charitable organization which is a tax-
2399 exempt organization under Section 501(c)(3) of the Internal Revenue
2400 Code of 1986, or any subsequent corresponding internal revenue code
2401 of the United States, as from time to time amended, provided (i) no
2402 candidate committee may distribute such surplus to a committee
2403 which has been established to finance future political campaigns of the
2404 candidate, and (ii) a candidate committee which received moneys from
2405 the Citizens' Election Fund shall distribute such surplus to such fund;

2406 (B) Each such political committee established by an organization
2407 which received its funds from the organization's treasury shall return
2408 its surplus to its sponsoring organization;

2409 (C) (i) Each political committee formed solely to aid or promote the
2410 success or defeat of any referendum question, which does not receive
2411 contributions from a business entity or an organization, shall distribute
2412 its surplus to a party committee, to a political committee organized for
2413 ongoing political activities, to a national committee of a political party,
2414 to all contributors to the committee on a prorated basis of contribution,
2415 to state or municipal governments or agencies or to any organization
2416 which is a tax-exempt organization under Section 501(c)(3) of the
2417 Internal Revenue Code of 1986, or any subsequent corresponding
2418 internal revenue code of the United States, as from time to time
2419 amended. [(ii) each] (ii) Each political committee formed solely to aid
2420 or promote the success or defeat of any referendum question, which
2421 receives contributions from a business entity or an organization, shall
2422 distribute its surplus to all contributors to the committee on a prorated
2423 basis of contribution, to state or municipal governments or agencies, or
2424 to any organization which is tax-exempt under said provisions of the
2425 Internal Revenue Code. Notwithstanding the provisions of this
2426 subsection, a committee formed for a single referendum shall not be

2427 required to expend its surplus within ninety days after the referendum
2428 and may continue in existence if a substantially similar referendum
2429 question on the same issue will be submitted to the electorate within
2430 six months after the first referendum. If two or more substantially
2431 similar referenda on the same issue are submitted to the electorate,
2432 each no more than six months apart, the committee shall expend such
2433 surplus within ninety days following the date of the last such
2434 referendum;

2435 (D) The campaign treasurer of the candidate committee of a
2436 candidate who is elected to office may, upon the authorization of such
2437 candidate, expend surplus campaign funds to pay for the cost of
2438 clerical, secretarial or other office expenses necessarily incurred by
2439 such candidate in preparation for taking office; except such surplus
2440 shall not be distributed for the personal benefit of any individual or to
2441 any organization; and

2442 (E) The campaign treasurer of a candidate committee, or of a
2443 political committee, other than a political committee formed for
2444 ongoing political activities or an exploratory committee, shall, prior to
2445 the dissolution of such committee, either (i) distribute any equipment
2446 purchased, including but not limited to computer equipment, to any
2447 recipient as set forth in subparagraph (A) of this subdivision, or (ii) sell
2448 any equipment purchased, including, but not limited to, computer
2449 equipment, to any person for fair market value and then distribute the
2450 proceeds of such sale to any recipient as set forth in said subparagraph
2451 (A).

2452 (2) Notwithstanding any provisions of this chapter, [to the
2453 contrary,] the campaign treasurer of the candidate committee of a
2454 candidate who has withdrawn from a primary or election may, prior to
2455 the primary or election, distribute its surplus to any organization
2456 which is tax-exempt under Section 501(c)(3) of the Internal Revenue
2457 Code of 1986, or any subsequent corresponding internal revenue code
2458 of the United States, as from time to time amended, or return such
2459 surplus to all contributors to the committee on a prorated basis of

2460 contribution.

2461 (3) [~~Within~~] Not later than seven days after such distribution or
 2462 [~~within~~] not later than seven days after all funds have been expended
 2463 in accordance with subparagraph (D) of subdivision (1) of this
 2464 subsection, the campaign treasurer shall file a supplemental statement,
 2465 sworn under penalty of false statement, with the proper authority,
 2466 identifying all further contributions received since the previous
 2467 statement and explaining how any surplus has been distributed or
 2468 expended in accordance with this section. No surplus may be
 2469 distributed or expended until after the election, primary or
 2470 referendum.

2471 (4) In the event of a deficit the campaign treasurer shall file a
 2472 supplemental statement ninety days after an election, primary or
 2473 referendum not held in November or on the seventh calendar day in
 2474 February, or the next business day if such day is a Saturday, Sunday or
 2475 legal holiday, after an election or referendum held in November, with
 2476 the proper authority and, thereafter, on the seventh day of each month
 2477 following if on the last day of the previous month there was an
 2478 increase or decrease in the deficit in excess of five hundred dollars
 2479 from that reported on the last statement filed. The campaign treasurer
 2480 shall file such supplemental statements as required until the deficit is
 2481 eliminated. If any such committee does not have a surplus or a deficit,
 2482 the statement required to be filed [~~within~~] not later than forty-five days
 2483 following any election or referendum not held in November or on the
 2484 seventh calendar day in January, or the next business day if such day is
 2485 a Saturday, Sunday or legal holiday, following an election or
 2486 referendum held in November, or [~~within~~] not later than thirty days
 2487 following any primary shall be the last required statement."

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section

Sec. 2	<i>July 1, 2005</i>	New section
Sec. 3	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 4	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 5	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 6	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 7	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 8	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 9	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 10	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 11	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 12	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 13	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 14	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 15	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 16	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section

Sec. 17	<i>July 1, 2005, and applicable to elections held in 2010, and thereafter</i>	New section
Sec. 18	<i>December 1, 2006, and applicable to elections held in 2008, and thereafter</i>	9-333a
Sec. 19	<i>December 1, 2006, and applicable to elections held in 2008, and thereafter</i>	9-333b
Sec. 20	<i>December 1, 2006, and applicable to elections held in 2008, and thereafter</i>	9-333e(a)
Sec. 21	<i>January 1, 2009</i>	9-333g
Sec. 22	<i>December 1, 2006, and applicable to elections held in 2008, and thereafter</i>	9-333l(g)
Sec. 23	<i>July 1, 2005</i>	9-333l
Sec. 24	<i>July 1, 2005</i>	9-333m(a)
Sec. 25	<i>July 1, 2005</i>	9-333n(e)
Sec. 26	<i>July 1, 2005</i>	9-333n
Sec. 27	<i>July 1, 2005</i>	9-333o
Sec. 28	<i>December 1, 2006</i>	9-333p
Sec. 29	<i>July 1, 2005</i>	9-333q
Sec. 30	<i>July 1, 2005</i>	9-333s
Sec. 31	<i>July 1, 2005</i>	9-333t
Sec. 32	<i>July 1, 2005</i>	9-333u
Sec. 33	<i>July 1, 2005</i>	9-333y(b)
Sec. 34	<i>July 1, 2005</i>	9-7b
Sec. 35	<i>July 1, 2005</i>	9-324
Sec. 36	<i>July 1, 2005</i>	New section
Sec. 37	<i>December 1, 2006, and applicable to elections held in 2008, and thereafter</i>	New section
Sec. 38	<i>July 1, 2005, and applicable to sales occurring on or after July 1, 2005</i>	22a-243
Sec. 39	<i>from passage, and applicable to sales occurring on or after July 1, 2005</i>	New section

Sec. 40	<i>July 1, 2005, and applicable to sales occurring on or after July 1, 2005</i>	22a-246
Sec. 41	<i>July 1, 2005</i>	New section
Sec. 42	<i>December 1, 2006, and applicable to elections held in 2008, and thereafter</i>	9-333j(e)