



General Assembly

Raised Bill No. 434

February Session, 2004

LCO No. 1877

* SB00434GAE 031604 *

Referred to Committee on Government Administration and
Elections

Introduced by:
(GAE)

***AN ACT LIMITING CAMPAIGN CONTRIBUTIONS TO CANDIDATES
FOR STATE OFFICE BY PERSONS AND POLITICAL COMMITTEES
ASSOCIATED WITH LARGE STATE CONTRACTORS.***

Be it enacted by the Senate and House of Representatives in General
Assembly convened:

1 Section 1. Section 9-333n of the general statutes, as amended by
2 section 14 of public act 03-241, is repealed and the following is
3 substituted in lieu thereof (*Effective July 1, 2004*):

4 (a) No individual shall make a contribution or contributions in any
5 one calendar year in excess of five thousand dollars to the state central
6 committee of any party, or for the benefit of such committee pursuant
7 to its authorization or request; or one thousand dollars to a town
8 committee of any political party, or for the benefit of such committee
9 pursuant to its authorization or request; or one thousand dollars to a
10 political committee other than (1) a political committee formed solely
11 to aid or promote the success or defeat of a referendum question, (2) an
12 exploratory committee, (3) a political committee established by an
13 organization, or for the benefit of such committee pursuant to its
14 authorization or request, or (4) a political committee formed by a slate

15 of candidates in a primary for the office of justice of the peace of the
16 same town.

17 (b) No individual shall make a contribution to a political committee
18 established by an organization which receives its funds from the
19 organization's treasury. With respect to a political committee
20 established by an organization which has complied with the provisions
21 of subsection (b) or (c) of section 9-333p, and has elected to receive
22 contributions, no individual other than a member of the organization
23 may make contributions to the committee, in which case the individual
24 may contribute not more than five hundred dollars in any one calendar
25 year to such committee or for the benefit of such committee pursuant
26 to its authorization or request.

27 (c) In no event may any individual make contributions to a
28 candidate committee and a political committee formed solely to
29 support one candidate other than an exploratory committee or for the
30 benefit of a candidate committee and a political committee formed
31 solely to support one candidate pursuant to the authorization or
32 request of any such committee, in an amount which in the aggregate is
33 in excess of the maximum amount which may be contributed to the
34 candidate.

35 (d) Any individual may make unlimited contributions or
36 expenditures to aid or promote the success or defeat of any
37 referendum question, provided any individual who makes an
38 expenditure or expenditures in excess of one thousand dollars to
39 promote the success or defeat of any referendum question shall file
40 statements according to the same schedule and in the same manner as
41 is required of a campaign treasurer of a political committee under
42 section 9-333j, as amended.

43 (e) Any individual acting alone may, independent of any candidate,
44 agent of the candidate, or committee, make unlimited expenditures to
45 promote the success or defeat of any candidate's campaign for election,
46 or nomination at a primary, to any office or position, provided any

47 individual who makes an independent expenditure or expenditures in
48 excess of one thousand dollars to promote the success or defeat of any
49 candidate's campaign for election, or nomination at a primary, to any
50 such office or position shall file statements according to the same
51 schedule and in the same manner as is required of a campaign
52 treasurer of a candidate committee under section 9-333j, as amended.

53 (f) (1) As used in this subsection and subsection (f) of section 9-333j,
54 as amended, (A) "investment services" means investment legal
55 services, investment banking services, investment advisory services,
56 underwriting services, financial advisory services or brokerage firm
57 services, and (B) "principal of an investment services firm" means (i) an
58 individual who is a director of or has an ownership interest in an
59 investment services firm to which the State Treasurer pays
60 compensation, expenses or fees or issues a contract, except for an
61 individual who owns less than five per cent of the shares of an
62 investment services firm which is a publicly traded corporation, (ii) an
63 individual who is employed by such an investment services firm as
64 president, treasurer, or executive or senior vice president, (iii) an
65 employee of such an investment services firm who has managerial or
66 discretionary responsibilities with respect to any investment services
67 provided to the State Treasurer, (iv) the spouse or a dependent child of
68 an individual described in this subparagraph, or (v) a political
69 committee established by or on behalf of an individual described in
70 this subparagraph.

71 (2) No principal of an investment services firm shall make a
72 contribution to, or solicit contributions on behalf of, an exploratory
73 committee or candidate committee established by a candidate for
74 nomination or election to the office of State Treasurer during the term
75 of office of the State Treasurer who pays compensation, expenses or
76 fees or issues a contract to such firm.

77 (3) Neither the State Treasurer, the Deputy State Treasurer, any
78 unclassified employee of the office of the State Treasurer acting on

79 behalf of the State Treasurer or Deputy State Treasurer, any candidate
80 for the office of State Treasurer, any member of the Investment
81 Advisory Council established under section 3-13b nor any agent of any
82 such candidate may solicit contributions on behalf of an exploratory
83 committee or candidate committee established by a candidate for
84 nomination or election to any public office, a political committee or a
85 party committee, from a principal of an investment services firm,
86 except that the prohibition in this subsection shall not apply to an
87 incumbent State Treasurer who establishes an exploratory committee
88 or candidate committee for any public office other than State
89 Treasurer.

90 (4) No member of the Investment Advisory Council appointed
91 under section 3-13b shall make a contribution to, or solicit
92 contributions on behalf of, an exploratory committee or candidate
93 committee established by a candidate for nomination or election to the
94 office of State Treasurer.

95 (5) The provisions of this subsection shall not restrict an individual
96 from establishing an exploratory or candidate committee for the
97 individual's own campaign or from soliciting contributions for such
98 committees from persons not prohibited from making contributions
99 under this subsection.

100 (g) (1) As used in this subsection, "state officer" means the Governor,
101 Lieutenant Governor, Secretary of the State, State Comptroller or
102 Attorney General.

103 (2) If a state officer awards a contract or contracts which, separately
104 or in the aggregate, have a value of one hundred thousand dollars or
105 more to a business, (A) no individual who is an owner, partner,
106 director or officer of said business, or a manager of said business who
107 has substantial policy or decision-making authority concerning the
108 administration of the contract, and no political committee organized
109 by said business, shall (i) make a contribution or contributions in
110 excess of one hundred dollars (I) to, or for the benefit of, any

111 candidate's campaign for nomination or election to the office held by
112 said state officer or to said state officer's campaign for nomination or
113 election to any other public office, (II) to an exploratory committee
114 formed by said state officer, or (III) to a political committee under
115 subparagraph (B) of subdivision (3) of section 9-333a, as amended,
116 which is established by any said candidate or said candidate's agent or
117 in consultation with or at the request or suggestion of said candidate
118 or agent or which is controlled by said candidate or agent, or (ii) solicit
119 contributions on behalf of the candidate or exploratory committee
120 established by any said candidate, and (B) no such state officer or
121 committee or agent of the state officer shall solicit contributions, on
122 behalf of the candidate or exploratory committee established by said
123 state officer or the candidate or exploratory committee established by
124 any other candidate for nomination or election to any other public
125 office or on behalf of any political committee or party committee, and
126 no candidate for the nomination or election to the office held by said
127 state officer shall solicit any contribution, on behalf of the candidate
128 committee established by said candidate or the candidate or
129 exploratory committee established by any other candidate for
130 nomination or election to any other public office or on behalf of any
131 political committee or party committee, from (i) any individual who is
132 an owner, officer, director, partner or such a manager of said business,
133 (ii) any individual who is an owner, officer, director or partner of a
134 subcontractor of said business for such contract or a manager of said
135 subcontractor who has substantial policy or decision-making authority
136 concerning the administration of the subcontract, (iii) the spouse of
137 any such individual or a dependent child of any such individual who
138 resides in the individual's household, or (iv) a political committee
139 established by said business or subcontractor.

140 (3) Each state officer shall keep a list of (A) businesses to which the
141 state officer has awarded a contract or contracts of one hundred
142 thousand dollars or more, and (B) all subcontractors under said
143 contracts. Said list shall be subject to disclosure under the Freedom of
144 Information Act and shall be available to the State Elections

145 Enforcement Commission. Each contract awarded by a state officer
146 shall include the provisions of subparagraph (A) of subdivision (2) of
147 this subsection as a condition of the contract. Each business to which a
148 state officer has awarded a contract or contracts of one hundred
149 thousand dollars or more and each subcontractor under said contract
150 shall maintain a list of such business' or subcontractor's owners,
151 partners, directors, officers and managers with substantial policy or
152 decision-making authority related to the administration of such
153 contracts and shall provide such list to the State Elections Enforcement
154 Commission upon request.

155 (4) For purposes of this subsection, (A) a contract awarded by a
156 department head in the executive branch of state government who is
157 appointed by the Governor shall be deemed to have been awarded by
158 the Governor, and (B) a contract awarded by a board, commission,
159 council or other multimember authority, for which a majority of the
160 members are appointed by a single state officer, shall be deemed to
161 have been awarded by said state officer.

162 Sec. 2. Section 9-333w of the general statutes is amended by adding
163 subsection (g) as follows (*Effective July 1, 2004*):

164 (NEW) (g) The campaign treasurer of an exploratory committee or
165 candidate committee established by a candidate for nomination or
166 election as a state officer, as defined in subdivision (1) of subsection (g)
167 of section 9-333n, as amended by this act, which sponsors any written,
168 typed or other printed communication for the purpose of raising funds
169 shall include in such communication a statement concerning the
170 contribution limit set forth in subsection (g) of section 9-333n, as
171 amended by this act.

This act shall take effect as follows:	
Section 1	<i>July 1, 2004</i>
Sec. 2	<i>July 1, 2004</i>

GAE *Joint Favorable*