



General Assembly

Substitute Bill No. 5698

February Session, 2002

**AN ACT REQUIRING DISCLOSURE OF MUNICIPAL CONTRACTS
HELD BY CERTAIN CONTRIBUTORS TO CANDIDATES FOR THE
OFFICE OF CHIEF EXECUTIVE OFFICER OF A MUNICIPALITY.**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Subsection (c) of section 9-333j of the general statutes is
2 repealed and the following is substituted in lieu thereof (*Effective*
3 *October 1, 2002*):

4 (c) (1) Each statement filed under subsection (a), (e) or (f) of this
5 section shall include, but not be limited to: (A) An itemized accounting
6 of each contribution, if any, including the full name and complete
7 address of each contributor and the amount of the contribution; (B) in
8 the case of anonymous contributions, the total amount received and
9 the denomination of the bills; (C) an itemized accounting of each
10 expenditure, if any, including the full name and complete address of
11 each payee, the amount and the purpose of the expenditure, the
12 candidate supported or opposed by the expenditure, whether the
13 expenditure is made independently of the candidate supported or is an
14 in-kind contribution to the candidate, and a statement of the balance
15 on hand or deficit, as the case may be; (D) an itemized accounting of
16 each expense incurred but not paid; (E) the name and address of any
17 person who is the guarantor of a loan to, or the cosigner of a note with,
18 the candidate on whose behalf the committee was formed, or the
19 campaign treasurer in the case of a party committee or a political

20 committee or who has advanced a security deposit to a telephone
21 company, as defined in section 16-1, as amended, for
22 telecommunications service for a committee; (F) for each business
23 entity or person purchasing advertising space in a program for a fund-
24 raising affair, the name and address of the business entity and the
25 name of the chief executive officer of the business entity or the name
26 and address of the person, and the amount and aggregate amounts of
27 such purchases; (G) for each individual who contributes in excess of
28 one hundred dollars but not more than one thousand dollars, in the
29 aggregate, to the extent known, the principal occupation of such
30 individual and the name of the individual's employer, if any; (H) for
31 each individual who contributes in excess of one thousand dollars in
32 the aggregate, the principal occupation of such individual, the name of
33 the individual's employer, if any, and a statement indicating whether
34 the individual or a business with which he is associated has a contract
35 with the state which is valued at more than five thousand dollars;
36 [and] (I) for each itemized contribution made by a lobbyist, the spouse
37 of a lobbyist or any dependent child of a lobbyist who resides in the
38 lobbyist's household, a statement to that effect; and (J) for each
39 individual who contributes in excess of two hundred fifty dollars in
40 the aggregate to or for the benefit of any candidate's campaign for
41 nomination at a primary or election to the office of chief executive
42 officer of a town, city or borough, a statement indicating whether the
43 individual or a business with which he is associated has a contract
44 with said municipality which is valued at more than five thousand
45 dollars. Each campaign treasurer shall include in such statement an
46 itemized accounting of the receipts and expenditures relative to any
47 testimonial affair held under the provisions of section 9-333k or any
48 other fund-raising affair.

49 (2) Each contributor described in subparagraph (G), (H), [or] (I) or
50 (J) of subdivision (1) of this subsection shall, at the time [he] the
51 contributor makes such a contribution, provide the information which
52 the campaign treasurer is required to include under said subparagraph
53 in the statement filed under subsection (a), (e) or (f) of this section.

54 Notwithstanding any provision of subdivision (2) of section 9-7b, any
55 contributor described in subparagraph (G) of subdivision (1) of this
56 subsection who does not provide such information at the time [he] the
57 contributor makes such a contribution and any treasurer shall not be
58 subject to the provisions of subdivision (2) of section 9-7b. If a
59 campaign treasurer receives a contribution from an individual which
60 separately, or in the aggregate, is in excess of one thousand dollars and
61 the contributor has not provided the information required by said
62 subparagraph (H) or if a campaign treasurer receives a contribution
63 from an individual to or for the benefit of any candidate's campaign
64 for nomination at a primary or election to the office of chief executive
65 officer of a town, city or borough, which separately, or in the
66 aggregate, is in excess of two hundred fifty dollars and the contributor
67 has not provided the information required by said subparagraph (I),
68 the campaign treasurer: (i) Within three business days after receiving
69 the contribution, shall send a request for such information to the
70 contributor by certified mail, return receipt requested; (ii) shall not
71 deposit the contribution until [he] the campaign treasurer obtains such
72 information from the contributor, notwithstanding the provisions of
73 section 9-333h; and (iii) shall return the contribution to the contributor
74 if the contributor does not provide the required information within
75 fourteen days after the treasurer's written request or the end of the
76 reporting period in which the contribution was received, whichever is
77 later. Any failure of a contributor to provide the information which the
78 campaign treasurer is required to include under said subparagraph (G)
79 or (I), which results in noncompliance by the campaign treasurer with
80 the provisions of said subparagraph (G) or (I), shall be a complete
81 defense to any action against the campaign treasurer for failure to
82 disclose such information.

83 (3) Contributions from a single individual to a campaign treasurer
84 in the aggregate totaling thirty dollars or less need not be individually
85 identified in the statement, but a sum representing the total amount of
86 all such contributions made by all such individuals during the period
87 to be covered by such statement shall be a separate entry, identified

88 only by the words "total contributions from small contributors".

89 (4) Statements filed in accordance with this section shall remain
90 public records of the state for five years from the date such statements
91 are filed.

This act shall take effect as follows:	
Section 1	<i>October 1, 2002</i>

PD *Joint Favorable Subst.*