



General Assembly

**Amendment**

January Session, 2001

LCO No. 8294

Offered by:

REP. KNOPP, 137<sup>th</sup> Dist.

SEN. FONFARA, 1<sup>st</sup> Dist.

REP. HAMZY, 78<sup>th</sup> Dist.

SEN. RORABACK, 30<sup>th</sup> Dist.

To: House Bill No. 6424

File No. 271

Cal. No. 214

**"AN ACT REQUIRING NOTIFICATION OF VOTING OR VOTING  
REGISTRATION TO CONSERVATORS OF RESIDENTS OF  
CERTAIN INSTITUTIONS."**

1 Strike out everything after the enacting clause and substitute the  
2 following in lieu thereof:

3 "Section 1. (NEW) (a) The administrator of an institution, as defined  
4 in subsection (a) of section 9-159q of the general statutes, a residential  
5 facility for the mentally retarded licensed pursuant to section 17a-227,  
6 or a community residence, as defined in section 19a-507a of the general  
7 statutes, shall use his or her best efforts to provide written notice to  
8 any conservator or guardian appointed to manage the affairs of a  
9 resident of such institution, facility or residence pursuant to sections  
10 45a-644 to 45a-663, inclusive, or sections 45a-668 to 45a-684, inclusive,  
11 of the general statutes, at least seven days prior to the date any voter  
12 registration or voting opportunity is presented to the resident with

13 respect to a primary, referendum or election. As used in this section,  
14 "voter registration" or "voting opportunity" includes, but is not limited  
15 to, the solicitation or completion of: (1) An application for admission as  
16 an elector; (2) an absentee ballot application; or (3) an absentee ballot,  
17 regardless of whether supervised absentee ballot voting will take place  
18 at such institution. The administrator of such institution, facility or  
19 residence shall also use his or her best efforts to provide written notice  
20 to any such conservator or guardian at least seven days prior to the  
21 date when the resident may be brought to a polling place to vote in  
22 person. The notification provisions of this section shall not apply when  
23 a member of the resident's immediate family provides the resident  
24 with an absentee ballot application or brings the resident to a polling  
25 place to vote.

26 (b) Any such notice shall indicate that the resident is entitled to vote  
27 or register to vote unless the resident is determined incompetent to do  
28 so by a probate court, or unless the registrars of voters or their  
29 designees jointly conclude at a supervised voting session that the  
30 resident declines to vote the ballot or they are unable to determine how  
31 the resident desires to vote the ballot, as provided in subsection (g) of  
32 section 9-159q of the general statutes. The notice shall also specify that  
33 a resident who requires assistance to vote in accordance with section 9-  
34 264 of the general statutes, by reason of blindness, disability or  
35 inability to read or write may receive assistance from a person of the  
36 resident's choosing.

37 (c) The administrator of any such institution, facility or residence  
38 may also provide such notice to a person with a power of attorney for  
39 a resident of the institution, facility or residence.

40 Sec. 2. (NEW) The guardian or conservator of an individual may file  
41 a petition in probate court to determine such individual's competency  
42 to vote in a primary, referendum or election. The probate court shall  
43 hold a hearing on the petition not later than fifteen days after the filing  
44 of the petition and the hearing shall be privileged with respect to  
45 assignment.

46 Sec. 3. Section 9-323 of the general statutes is repealed and the  
47 following is substituted in lieu thereof:

48 (a) Any elector or candidate who claims that [he] such elector or  
49 candidate is aggrieved by any ruling of any election official in  
50 connection with any election [for presidential electors and] for a  
51 senator in Congress and for representative in Congress or [any] either  
52 of them, held in [his] said elector's or candidate's town, or that there  
53 was a mistake in the count of the votes cast at such election for  
54 [candidates for such electors,] senator in Congress and representative  
55 in Congress, or [any] either of them, at any voting district in [his] said  
56 elector's or candidate's town, or any candidate for such an office who  
57 claims that [he] said candidate is aggrieved by a violation of any  
58 provision of sections 9-355, 9-357 to 9-361, inclusive, 9-364, 9-364a or 9-  
59 365 in the casting of absentee ballots at such election, may bring [his]  
60 said elector's or candidate's complaint to any judge of the Supreme  
61 Court, in which [he] said elector or candidate shall set out the claimed  
62 errors of such election official, the claimed errors in the count or the  
63 claimed violations of said sections. In any action brought pursuant to  
64 the provisions of this section, the complainant shall send a copy of the  
65 complaint by first-class mail, or deliver a copy of the complaint by  
66 hand, to the State Elections Enforcement Commission.

67 (b) If such complaint is made prior to such election, such judge shall  
68 proceed expeditiously to render judgment on the complaint and shall  
69 cause notice of the hearing to be given to the Secretary of the State and  
70 the State Elections Enforcement Commission.

71 (c) (1) If such complaint is made subsequent to the election, it shall  
72 be brought within fourteen days of the election and such judge shall  
73 forthwith order a hearing to be had upon such complaint, upon a day  
74 not more than five nor less than three days from the making of such  
75 order, and shall cause notice of not less than three nor more than five  
76 days to be given to any candidate or candidates whose election may be  
77 affected by the decision upon such hearing, to such election official, to  
78 the Secretary of the State, to the State Elections Enforcement

79 Commission and to any other party or parties whom such judge deems  
80 proper parties thereto, of the time and place for the hearing upon such  
81 complaint. Such judge, with two other judges of the Supreme Court to  
82 be designated by the Chief Court Administrator, shall, on the day fixed  
83 for such hearing and without unnecessary delay, proceed to hear the  
84 parties.

85 (2) If sufficient reason is shown, such judges may order any voting  
86 machines to be unlocked or any ballot boxes to be opened and a  
87 recount of the votes cast, including absentee ballots, to be made. Such  
88 judges shall thereupon, in the case they, or any two of them, find any  
89 error in the rulings of the election official, any mistake in the count of  
90 such votes or any violation of said sections, certify the result of their  
91 finding or decision, or the finding or decision of a majority of them, to  
92 the Secretary of the State before the first Monday after the second  
93 Wednesday in December. Such judges may order a new election or a  
94 change in the existing election schedule. Such certificate of such  
95 judges, or a majority of them, shall be final upon all questions relating  
96 to the rulings of such election officials, to the correctness of such count  
97 and, for the purposes of this section only, such claimed violations, and  
98 shall operate to correct the returns of the moderators or presiding  
99 officers so as to conform to such finding or decision.

100 Sec. 4. (NEW) (a) Any elector or candidate who claims that such  
101 elector or candidate is aggrieved by any ruling of any election official  
102 in connection with any election for presidential electors held in said  
103 elector's or candidate's town, or that there was a mistake in the count  
104 of the votes cast at such election for candidates for the office of  
105 presidential elector at any voting district in said elector's or candidate's  
106 town, or any candidate for the office of presidential elector who claims  
107 that said candidate is aggrieved by a violation of any provision of  
108 section 9-355, sections 9-357 to 9-361, inclusive, section 9-364, 9-364a or  
109 9-365 of the general statutes in the casting of absentee ballots at such  
110 election, may bring said elector's or candidate's complaint to the  
111 Supreme Court, in which said elector or candidate shall set out the  
112 claimed errors of such election official, the claimed errors in the count

113 or the claimed violations of said sections. In any action brought  
114 pursuant to the provisions of this section, the complainant shall send a  
115 copy of the complaint by first-class mail, or deliver a copy of the  
116 complaint by hand, to the State Elections Enforcement Commission.

117 (b) If such complaint is made prior to such election, the Supreme  
118 Court, sitting en banc, shall proceed expeditiously to render judgment  
119 on the complaint and shall cause notice of the hearing to be given to  
120 the Secretary of the State and the State Elections Enforcement  
121 Commission.

122 (c) (1) If such complaint is made subsequent to the election, it shall  
123 be brought not later than fourteen days after the election. The Supreme  
124 Court, sitting en banc, shall forthwith order a hearing to be held on  
125 such complaint not less than three and not more than five days after  
126 the making of such order, and shall cause notice of not less than three  
127 and not more than five days to be given to any candidate or candidates  
128 whose election may be affected by the decision upon such hearing, to  
129 such election official, to the Secretary of the State, to the State Elections  
130 Enforcement Commission and to any other party or parties whom  
131 such court deems proper parties to the complaint, of the time and  
132 place for the hearing on the complaint. The Supreme Court shall, on  
133 the day fixed for such hearing and without unnecessary delay, proceed  
134 to hear the parties.

135 (2) If sufficient reason is shown, the Supreme Court may order any  
136 voting machines to be unlocked or any ballot boxes to be opened and a  
137 recount of the votes cast, including absentee ballots, to be made. The  
138 Supreme Court shall thereupon, if it finds any error in the rulings of  
139 the election official, any mistake in the count of such votes or any  
140 violation of said sections, certify the result of its finding or decision, to  
141 the Secretary of the State not later than the sixth day before the first  
142 Monday after the second Wednesday in December, which is the date  
143 on which the final determination by a state of any controversy or  
144 contest concerning the appointment of presidential electors is deemed  
145 conclusive pursuant to 3 USC 5. Said court may order a new election or

146 a change in the existing election schedule. Such certificate of the  
147 Supreme Court shall be final upon all questions relating to the rulings  
148 of such election officials, to the correctness of such count and, for the  
149 purposes of this section only, such claimed violations, and shall  
150 operate to correct the returns of the moderators or presiding officers so  
151 as to conform to such finding or decision.

152 Sec. 5. Section 9-290 of the general statutes is repealed and the  
153 following is substituted in lieu thereof:

154 (a) The selectmen shall provide, at the entrance into the enclosure  
155 prescribed by section 9-289, a ballot booth at which the elector shall  
156 obtain [his] the elector's ballot. Each ballot booth shall be in charge of  
157 two ballot clerks, not of the same political party, who shall be  
158 appointed by the registrars. [, one of whom]

159 (b) In each primary, election or referendum, when an elector has  
160 entered the polling place, the elector shall (1) announce the elector's  
161 street address, if any, and name to the checkers in a tone sufficiently  
162 loud and clear to enable all the election officials present to hear the  
163 same, and (2) (A) present to the checkers the elector's Social Security  
164 card or any other preprinted form of identification which shows the  
165 elector's name and either the elector's address, signature or  
166 photograph, or (B) sign a statement under penalty of false statement,  
167 on a form prescribed by the Secretary of the State, that the elector is the  
168 person whose name appears on the official checklist. Each checker  
169 shall check the name of such elector on the official checklist. No  
170 political party shall have more than one challenger. The moderator  
171 may allow in the polling place any witnesses that may be required in  
172 the case of a challenge, provided the moderator shall not allow in more  
173 than one witness at a time.

174 (c) In each polling place in which two or more parties are holding  
175 primaries in which unaffiliated electors are authorized to vote  
176 pursuant to section 9-431, an unaffiliated elector shall also announce to  
177 the separate table of checkers for unaffiliated electors the party in

178 whose primary the elector chooses to vote and the checkers shall note  
179 such party when checking such elector's name on the checklist of  
180 unaffiliated electors. Such choice shall not alter the elector's  
181 unaffiliated status.

182 (d) In each polling place in which two or more parties are holding  
183 primaries in which unaffiliated electors are authorized to vote or in  
184 which one party is holding a primary in which unaffiliated electors are  
185 authorized to vote for some but not all offices to be contested at the  
186 primary, the checkers shall give to each elector checked a receipt  
187 provided by the municipal clerk, in a form prescribed by the Secretary  
188 of the State, specifying either (1) the party with which the elector is  
189 enrolled, if any, or (2) in the case of an unaffiliated elector, the party in  
190 whose primary the elector has chosen to vote and whether the elector  
191 is authorized to vote for only a partial ballot.

192 (e) If not challenged by any of the election officials, the elector shall  
193 be permitted to pass the railing to the side where the ballot booth is  
194 located. The elector shall give any receipt the elector has received to a  
195 ballot clerk at the ballot booth to which the elector is directed and the  
196 ballot clerk shall permit the elector to vote only in the primary of the  
197 party specified by the receipt and, if applicable, at the separate ballot  
198 booth with the partial ballot specified by the receipt. One of the ballot  
199 clerks shall deliver to such elector one official ballot, [and no more;  
200 but, in case] except that if any elector so defaces or injures any such  
201 ballot as to render it unfit for use, upon the return of such ballot to the  
202 ballot clerks, such clerks shall furnish [him] the elector with another  
203 official ballot.

204 Sec. 6. Section 9-291 of the general statutes is repealed and the  
205 following is substituted in lieu thereof:

206 The ballot box shall be open for the reception of votes in an  
207 enclosure which shall be so arranged that access to it shall be from the  
208 room or rooms, booth or booths, in which the electors prepare their  
209 ballots. The exit from such enclosure shall be into some other enclosure

210 or hall or into a public street or square, and the partition separating it  
211 from the main hall shall not be less than three feet [nor] or more than  
212 four feet in height. No person shall be allowed to enter or remain in the  
213 enclosure where the ballot box and stub box are placed, at any election  
214 held under the provisions of this part, except for the purpose of  
215 depositing [his] the person's ballot, unless [he] the person is a  
216 moderator, box-tender [,] or registrar, [checker or challenger,] except as  
217 hereinafter provided. [; provided there shall not be more than one  
218 challenger for each political party.] An elector may be accompanied  
219 into the room or booth in which the electors prepare their ballots and  
220 into the enclosure where the ballot box and stub box are placed by one  
221 or more children who are fifteen years of age or younger and  
222 supervised by the elector, if the elector is the parent or legal guardian  
223 of such children. The moderator may admit into the enclosure where  
224 the ballot box and the stub box are placed [any witnesses that may be  
225 required in cases of challenge, but only one at a time, and also] such  
226 officers with power of arrest as may be required, but only when  
227 actually required to preserve order or enforce any of the provisions  
228 hereof. No person shall give or offer to any elector, in any such room  
229 or booth, any ballot to be used in voting, or place any ballots in such  
230 room or booth for the use of electors [,] or for any other purpose.

231 Sec. 7. Section 9-293 of the general statutes is repealed and the  
232 following is substituted in lieu thereof:

233 The moderator shall place the boxes before the box-tenders, in a  
234 location conveniently accessible to the electors, and publicly call upon  
235 the electors to bring in their ballots for such officers as are to be voted  
236 for. The electors shall, under the direction of the moderators in their  
237 respective towns or voting districts, lay the ballots, folded as provided  
238 in section 9-275, one at a time, on the lid of the ballot box. The box-  
239 tender shall [, after the elector's name is found and checked on the  
240 registry list, and after any challenge of the vote has been decided in  
241 favor of the elector offering such ballot, and not before,] tear or remove  
242 the stub from such ballot, [and] shall deposit the ballot in the box  
243 marked "ballots," without opening the same or exposing to view any

244 part of its face, and shall deposit the stub in the box marked "stubs".

245 Sec. 8. Subsection (a) of section 9-314 of the general statutes is  
246 repealed and the following is substituted in lieu thereof:

247 (a) The moderator of each state election in each town not divided  
248 into voting districts [,] and the head moderator in each town divided  
249 into voting districts shall make out a duplicate list of the votes given in  
250 [his] the moderator's or head moderator's town for each of the  
251 following officers: Presidential electors, Governor, Lieutenant  
252 Governor, Secretary of the State, Treasurer, Comptroller, Attorney  
253 General, United States senator, representative in Congress, state  
254 senator, judge of probate, state representative and registrars of voters  
255 when said officers are to be chosen. Included in said list shall be a  
256 statement of the total number of names on the official check list of such  
257 town and the total number checked as having voted. The moderator or  
258 head moderator, as the case may be, may transmit such list to the  
259 Secretary of the State by facsimile machine [, provided] or other  
260 electronic means as prescribed by the Secretary of the State, not later  
261 than midnight on election day. If the moderator does not transmit such  
262 list by such electronic means, the moderator shall [also] seal and  
263 deliver one of such lists by hand [in accordance with the provisions of  
264 this section. One of such lists he shall seal and deliver by hand] either  
265 (1) to the Secretary of the State not later than six o'clock p.m. of the day  
266 after the election, or (2) to the state police not later than four o'clock  
267 p.m. of the day after the election, in which case the state police shall  
268 deliver it by hand to the Secretary of the State not later than six o'clock  
269 p.m. of the day after the election. Any such moderator or head  
270 moderator [, as the case may be,] who fails to so transmit such list by  
271 electronic means to the Secretary of the State or to so deliver such list  
272 to either the Secretary of the State or the state police, by the time  
273 required, shall pay a late filing fee of fifty dollars. [The other of such  
274 lists he shall deliver] The moderator shall also deliver such list to the  
275 clerk of such town on or before the day after such election. The  
276 Secretary of the State shall enter the returns in tabular form in books  
277 kept by [him] the Secretary for that purpose and present a printed

278 report of the same, with the name of, and the total number of votes  
279 received by, each of the candidates for said offices, to the General  
280 Assembly at its next session.

281 Sec. 9. Subsection (b) of section 9-333b of the general statutes is  
282 repealed and the following is substituted in lieu thereof:

283 (b) As used in this chapter, "contribution" does not mean:

284 (1) A loan of money made in the ordinary course of business by a  
285 national or state bank;

286 (2) Any communication made by a corporation, organization or  
287 association to its members, owners, stockholders, executive or  
288 administrative personnel, or their families;

289 (3) Nonpartisan voter registration and get-out-the-vote campaigns  
290 by any corporation, organization or association aimed at its members,  
291 owners, stockholders, executive or administrative personnel, or their  
292 families;

293 (4) Uncompensated services provided by individuals volunteering  
294 their time;

295 (5) The use of real or personal property, and the cost of invitations,  
296 food or beverages, voluntarily provided by an individual to a  
297 candidate or on behalf of a state central or town committee, in  
298 rendering voluntary personal services for candidate or party-related  
299 activities at the individual's residence, to the extent that the cumulative  
300 value of the invitations, food or beverages provided by the individual  
301 on behalf of any single candidate does not exceed two hundred dollars  
302 with respect to any single election, and on behalf of all state central  
303 and town committees does not exceed four hundred dollars in any  
304 calendar year;

305 (6) The sale of food or beverage for use in a candidate's campaign or  
306 for use by a state central or town committee at a discount, if the charge  
307 is not less than the cost to the vendor, to the extent that the cumulative

308 value of the discount given to or on behalf of any single candidate does  
309 not exceed two hundred dollars with respect to any single election,  
310 and on behalf of all state central and town committees does not exceed  
311 four hundred dollars in a calendar year;

312 (7) Any unreimbursed payment for travel expenses made by an  
313 individual who on [his] the individual's own behalf volunteers [his]  
314 the individual's personal services to any single candidate to the extent  
315 the cumulative value does not exceed two hundred dollars with  
316 respect to any single election, and on behalf of all state central or town  
317 committees does not exceed four hundred dollars in a calendar year;

318 (8) The payment, by a party committee, political committee or an  
319 individual, of the costs of preparation, display, mailing or other  
320 distribution incurred by the committee or individual with respect to  
321 any printed slate card, sample ballot or other printed list containing  
322 the names of three or more candidates;

323 (9) The donation of any item of personal property by an individual  
324 to a committee for a fund-raising affair, including a tag sale or auction,  
325 or the purchase by an individual of any such item at such an affair, to  
326 the extent that the cumulative value donated or purchased does not  
327 exceed fifty dollars;

328 (10) The purchase of advertising space which clearly identifies the  
329 purchaser, in a program for a fund-raising affair, provided the  
330 cumulative purchase of such space does not exceed two hundred fifty  
331 dollars from any single candidate or [his] the candidate's committee  
332 with respect to any single election campaign or two hundred fifty  
333 dollars from any single party committee or other political committee in  
334 any calendar year if the purchaser is a business entity or fifty dollars  
335 for purchases by any other person;

336 (11) The payment of money by a candidate to [his] the candidate's  
337 candidate committee;

338 (12) The donation of goods or services by a business entity to a

339 committee for a fund-raising affair, including a tag sale or auction, to  
340 the extent that the cumulative value donated does not exceed one  
341 hundred dollars;

342 (13) The advance of a security deposit by an individual to a  
343 telephone company, as defined in section 16-1, for telecommunications  
344 service for a committee, provided the security deposit is refunded to  
345 the individual; [or]

346 (14) The provision of facilities, equipment, technical and managerial  
347 support, and broadcast time by a community antenna television  
348 company, as defined in section 16-1, for community access  
349 programming pursuant to section 16-331a, unless (A) the major  
350 purpose of providing such facilities, equipment, support and time is to  
351 influence the nomination or election of a candidate, or (B) such  
352 facilities, equipment, support and time are provided on behalf of a  
353 political party; or

354 (15) The sale of food or beverage by a town committee to an  
355 individual at a town fair, county fair or similar mass gathering held  
356 within the state, to the extent that the cumulative payment made by  
357 any one individual for such items does not exceed fifty dollars.

358 Sec. 10. Section 9-333d of the general statutes is amended by adding  
359 subsection (d) as follows:

360 (NEW) (d) (1) In addition to its jurisdiction over persons who are  
361 residents of this state, the State Elections Enforcement Commission  
362 may exercise personal jurisdiction over any nonresident person, or the  
363 agent of such nonresident person, who makes a payment of money,  
364 gives anything of value or makes a contribution or expenditure to or  
365 for the benefit of any committee or candidate.

366 (2) Where personal jurisdiction is based solely upon this subsection,  
367 an appearance does not confer personal jurisdiction with respect to  
368 causes of action not arising from an act enumerated in this subsection.

369 (3) Any nonresident person or the agent of such person over whom  
370 the State Elections Enforcement Commission may exercise personal  
371 jurisdiction, as provided in subdivision (1) of this subsection, shall be  
372 deemed to have appointed the Secretary of the State as the person's or  
373 agent's attorney and to have agreed that any process in any complaint,  
374 investigation or other matter conducted pursuant to section 9-7b and  
375 brought against the nonresident person, or said person's agent, may be  
376 served upon the Secretary of the State and shall have the same validity  
377 as if served upon such nonresident person or agent personally. The  
378 process shall be served upon the Secretary of the State by the officer to  
379 whom the same is directed by leaving with or at the office of the  
380 Secretary of the State, at least twelve days before any required  
381 appearance day of such process, a true and attested copy of such  
382 process, and by sending to the nonresident person or agent so served,  
383 at the person's or agent's last-known address, by registered or certified  
384 mail, postage prepaid, a like and attested copy with an endorsement  
385 thereon of the service upon the Secretary of the State. The Secretary of  
386 the State shall keep a record of each such process and the day and hour  
387 of service.

388 Sec. 11. Subsection (b) of section 9-333f of the general statutes is  
389 repealed and the following is substituted in lieu thereof:

390 (b) The formation of a candidate committee by a candidate and the  
391 filing of statements pursuant to section 9-333j shall not be required,  
392 except as provided in subdivision (4) of subsection (a) of section 9-333j,  
393 if the candidate files a certification with the proper authority required  
394 by section 9-333e, at any time prior to the acceptance of a contribution  
395 or making of an expenditure and any of the following conditions exist  
396 for the campaign: (1) The candidate is one of a slate of candidates  
397 whose campaigns are funded solely by a party committee or a political  
398 committee formed for a single election or primary and expenditures  
399 made on behalf of the candidate's campaign are reported by the  
400 committee sponsoring [his] the candidate's candidacy; (2) the  
401 candidate finances [his] the candidate's campaign entirely from  
402 personal funds and does not solicit or receive contributions; or (3) the

403 candidate does not receive or expend funds in excess of [five hundred]  
404 one thousand dollars. If the candidate no longer qualifies for the  
405 exemption under any of these conditions, [he] the candidate shall  
406 comply with the provisions of subsection (a) of this section, not later  
407 than three business days thereafter and shall provide [his] the  
408 candidate's designated campaign treasurer with all information  
409 required for completion of the treasurer's statements and filings as  
410 required by section 9-333j. If the candidate no longer qualifies for the  
411 exemption due to the condition stated in [his] the candidate's  
412 certification but so qualifies due to a different condition specified in  
413 this subsection, [he] the candidate shall file an amended certification  
414 with the proper authority and provide the new condition for [his] the  
415 candidate's qualification not later than three business days following  
416 the change in circumstances of the financing of [his] the candidate's  
417 campaign. The filing of a certification under this subsection shall not  
418 relieve the candidate from compliance with the provisions of this  
419 chapter.

420 Sec. 12. Subsection (d) of section 9-333g of the general statutes is  
421 repealed and the following is substituted in lieu thereof:

422 (d) A group of two or more individuals who have joined solely to  
423 promote the success or defeat of a referendum question shall not be  
424 required to file as a political committee, make such designations in  
425 accordance with subsections (a) and (b) of this section or file  
426 statements pursuant to section 9-333j, if the group does not receive or  
427 expend in excess of [five hundred] one thousand dollars for the entire  
428 campaign and the agent of such individuals files a certification with  
429 the proper authority or authorities as required under section 9-333e  
430 before an expenditure is made. The certification shall include the name  
431 of the group, or the names of the persons who comprise the group, and  
432 the name and address of the agent which shall appear on any  
433 communication paid for or sponsored by the group as required by  
434 section 9-333w. If the group receives or expends in excess of [five  
435 hundred] one thousand dollars, the agent shall complete the statement  
436 of organization and file as a political committee not later than three

437 business days thereafter. The agent shall provide the designated  
438 campaign treasurer with all information required for completion of the  
439 statements for filing as required by section 9-333j. The filing of a  
440 certification under this subsection shall not relieve the group from  
441 compliance with the provisions of this chapter, and the group shall be  
442 considered a political committee established solely for a referendum  
443 question for purposes of the limitations on contributions and  
444 expenditures.

445 Sec. 13. Subsection (d) of section 9-333h of the general statutes is  
446 repealed and the following is substituted in lieu thereof:

447 (d) No person shall act as a campaign treasurer or deputy campaign  
448 treasurer unless [he] the person is an elector of this state, and a  
449 statement, signed by the chairman in the case of a party committee or  
450 political committee or by the candidate in the case of a candidate  
451 committee, designating [him] the person as campaign treasurer or  
452 deputy campaign treasurer, has been filed in accordance with section  
453 9-333e. In the case of a political committee, the filing of a statement of  
454 organization by the chairman of the committee, in accordance with the  
455 provisions of section 9-333g, shall constitute compliance with the filing  
456 requirements of this section. No provision of this subsection shall  
457 prevent the campaign treasurer, deputy campaign treasurer or solicitor  
458 of any committee from being the campaign treasurer, deputy  
459 campaign treasurer or solicitor of any other committee or prevent any  
460 committee from having more than one solicitor, but no candidate shall  
461 have more than one campaign treasurer. A candidate shall not serve as  
462 [his] the candidate's own campaign treasurer or deputy campaign  
463 treasurer, except that a candidate who is exempt from forming a  
464 candidate committee under subsection (b) of section 9-333f and has  
465 filed a certification that [he] the candidate is financing [his] the  
466 candidate's campaign from [his] the candidate's own personal funds or  
467 is not receiving or expending in excess of [five hundred] one thousand  
468 dollars may perform the duties of a campaign treasurer for [his] the  
469 candidate's own campaign.

470 Sec. 14. Subsections (e) and (f) of section 9-333i of the general  
471 statutes are repealed and the following is substituted in lieu thereof:

472 (e) (1) Any such payment shall be by check drawn by the campaign  
473 treasurer, on the designated depository. [Each such treasurer] Any  
474 payment in satisfaction of any financial obligation incurred by a party  
475 committee or a political committee may also be made by debit card. (2)  
476 The campaign treasurer of each committee may draw a check, not to  
477 exceed one hundred dollars, to establish a petty cash fund and may  
478 deposit additional funds to maintain it, but the fund shall not exceed  
479 one hundred dollars at any time. All expenditures from a petty cash  
480 fund shall be reported in the same manner as any other expenditure.

481 (f) The campaign treasurer shall preserve all internal records of  
482 transactions entered in reports filed pursuant to section 9-333j for four  
483 years from the date of the report in which the transactions were  
484 entered. If any checks are issued pursuant to subsection (e) of this  
485 section, the campaign treasurer who [issued] issues them shall  
486 preserve all cancelled checks and bank statements for four years from  
487 the date on which they [were] are issued. If debit card payments are  
488 made pursuant to subsection (e) of this section, the campaign treasurer  
489 who makes said payments shall preserve all debit card slips and bank  
490 statements for four years from the date on which the payments are  
491 made. In the case of a candidate committee, the campaign treasurer or  
492 the candidate, if the candidate so requests, shall preserve all internal  
493 records, cancelled checks and bank statements for four years from the  
494 date of the last report required to be filed under subsection (a) of  
495 section 9-333j.

496 Sec. 15. Subsection (a) of section 9-333j of the general statutes is  
497 repealed and the following is substituted in lieu thereof:

498 (a) (1) Each campaign treasurer of a committee, other than a state  
499 central committee, shall file a statement, sworn under penalty of false  
500 statement with the proper authority in accordance with the provisions  
501 of section 9-333e, (A) on the second Thursday in the months of

502 January, April, July and October, (B) on the seventh day preceding  
503 each regular state election, except that (i) in the case of a candidate or  
504 exploratory committee established for an office to be elected at a  
505 municipal election, the statement shall be filed on the seventh day  
506 preceding a regular municipal election in lieu of such date, and (ii) in  
507 the case of a town committee, the statement shall be filed on the  
508 seventh day preceding each municipal election in addition to such  
509 date, and (C) if the committee has made or received a contribution or  
510 expenditure in connection with any other election, a primary or a  
511 referendum, on the seventh day preceding the election, primary or  
512 referendum. The statement shall be complete as of seven days  
513 immediately preceding the required filing day, and shall cover a  
514 period to begin with the first day not included in the last filed  
515 statement except that the January statement, when filed by a party  
516 committee or a political committee organized for the purpose of  
517 ongoing political activities, shall cover all contributions made or  
518 received and all expenditures made as of midnight on December  
519 thirty-first of the preceding calendar year.

520 (2) Each campaign treasurer of a candidate committee, within forty-  
521 five days following any election and within thirty days following any  
522 primary, and each campaign treasurer of a political committee formed  
523 for a single primary, election or referendum, within forty-five days  
524 after any election or referendum, shall file statements in the same  
525 manner as is required of them under subdivision (1) of this subsection.  
526 If the campaign treasurer of a candidate committee established by a  
527 candidate [,] who is unsuccessful in the primary or has terminated  
528 [his] the candidate's candidacy prior to the primary, distributes all  
529 surplus funds within thirty days following the scheduled primary and  
530 discloses the distribution on the postprimary statement, such  
531 campaign treasurer shall not be required to file any subsequent  
532 statement unless the committee has a deficit, in which case [he] the  
533 campaign treasurer shall file any required statements in accordance  
534 with the provisions of subdivision (3) of subsection (e) of this section.

535 (3) In the case of state central committees, on each January thirtieth,

536 April tenth and July tenth, and on the twelfth day preceding any  
537 election, the campaign treasurer of each such committee shall file with  
538 the proper authority [ ] a statement, sworn under penalty of false  
539 statement, complete as of the last day of the month immediately  
540 preceding the month in which such statement is to be filed in the case  
541 of statements required to be filed in January, April and July, and  
542 complete as of the nineteenth day preceding an election, in the case of  
543 the statement required to be filed on the twelfth day preceding an  
544 election, and in each case covering a period to begin with the first day  
545 not included in the last filed statement.

546 (4) Any candidate who (A) has filed a certification pursuant to  
547 subdivision (2) or (3) of subsection (b) of section 9-333f, and (B)  
548 personally makes an expenditure or expenditures in excess of one  
549 thousand dollars to, or for the benefit of, said candidate's campaign for  
550 nomination at a primary or election to an office or position shall file  
551 statements according to the same schedule and in the same manner as  
552 is required of a campaign treasurer of a candidate committee under  
553 this section.

554 Sec. 16. Subsection (c) of section 9-333j of the general statutes is  
555 repealed and the following is substituted in lieu thereof:

556 (c) (1) Each statement filed under subsection (a), (e) or (f) of this  
557 section shall include, but not be limited to: (A) An itemized accounting  
558 of each contribution, if any, including the full name and complete  
559 address of each contributor and the amount of the contribution; (B) in  
560 the case of anonymous contributions, the total amount received and  
561 the denomination of the bills; (C) an itemized accounting of each  
562 expenditure, if any, including the full name and complete address of  
563 each payee, the amount and the purpose of the expenditure, the  
564 candidate supported or opposed by the expenditure, whether the  
565 expenditure is made independently of the candidate supported or is an  
566 in-kind contribution to the candidate, and a statement of the balance  
567 on hand or deficit, as the case may be; (D) an itemized accounting of  
568 each expense incurred but not paid; (E) the name and address of any

569 person who is the guarantor of a loan to, or the cosigner of a note with,  
570 the candidate on whose behalf the committee was formed, or the  
571 campaign treasurer in the case of a party committee or a political  
572 committee or who has advanced a security deposit to a telephone  
573 company, as defined in section 16-1, for telecommunications service  
574 for a committee; (F) for each business entity or person purchasing  
575 advertising space in a program for a fund-raising affair, the name and  
576 address of the business entity and the name of the chief executive  
577 officer of the business entity or the name and address of the person,  
578 and the amount and aggregate amounts of such purchases; (G) for  
579 each individual who contributes in excess of one hundred dollars but  
580 not more than one thousand dollars, in the aggregate, to the extent  
581 known, the principal occupation of such individual and the name of  
582 the individual's employer, if any; (H) for each individual who  
583 contributes in excess of one thousand dollars in the aggregate, the  
584 principal occupation of such individual, the name of the individual's  
585 employer, if any, and a statement indicating whether the individual or  
586 a business with which he is associated has a contract with the state  
587 which is valued at more than five thousand dollars; [and] (I) for each  
588 itemized contribution made by a lobbyist, the spouse of a lobbyist or  
589 any dependent child of a lobbyist who resides in the lobbyist's  
590 household, a statement to that effect; (J) for each individual who  
591 contributes in excess of four hundred dollars in the aggregate to or for  
592 the benefit of any candidate's campaign for nomination at a primary or  
593 election to the office of chief executive officer of a town, city or  
594 borough, a statement indicating whether the individual or a business  
595 with which he is associated has a contract with said municipality  
596 which is valued at more than five thousand dollars; and (K) for each  
597 business entity making a donation of goods or services for a fund-  
598 raising affair pursuant to subdivision (12) of subsection (b) of section 9-  
599 333b, the name of the business entity, the name of the chief executive  
600 officer of the business entity and a brief description of the goods or  
601 services donated. Each campaign treasurer shall include in such  
602 statement the date, location and ticket price, if any, of any testimonial  
603 affair held under the provisions of section 9-333k or any other fund-

604 raising affair held and an itemized accounting of the receipts and  
605 expenditures relative to [any testimonial affair held under the  
606 provisions of section 9-333k or any other fund-raising affair] such  
607 testimonial or fund-raising affair.

608 (2) Each contributor described in subparagraph (G), (H), [or] (I) or  
609 (I) of subdivision (1) of this subsection shall, at the time [he] the  
610 contributor makes such a contribution, provide the information which  
611 the campaign treasurer is required to include under said subparagraph  
612 in the statement filed under subsection (a), (e) or (f) of this section.  
613 Notwithstanding any provision of subdivision (2) of section 9-7b, any  
614 contributor described in subparagraph (G) of subdivision (1) of this  
615 subsection who does not provide such information at the time [he] the  
616 contributor makes such a contribution and any treasurer shall not be  
617 subject to the provisions of subdivision (2) of section 9-7b. If a  
618 campaign treasurer receives a contribution from an individual which  
619 separately, or in the aggregate, is in excess of one thousand dollars and  
620 the contributor has not provided the information required by said  
621 subparagraph (H) or if a campaign treasurer receives a contribution  
622 from an individual to or for the benefit of any candidate's campaign  
623 for nomination at a primary or election to the office of chief executive  
624 officer of a town, city or borough, which separately, or in the  
625 aggregate, is in excess of four hundred dollars and the contributor has  
626 not provided the information required by said subparagraph (I), the  
627 campaign treasurer: (i) Within three business days after receiving the  
628 contribution, shall send a request for such information to the  
629 contributor by certified mail, return receipt requested; (ii) shall not  
630 deposit the contribution until [he] the campaign treasurer obtains such  
631 information from the contributor, notwithstanding the provisions of  
632 section 9-333h; and (iii) shall return the contribution to the contributor  
633 if the contributor does not provide the required information within  
634 fourteen days after the treasurer's written request or the end of the  
635 reporting period in which the contribution was received, whichever is  
636 later. Any failure of a contributor to provide the information which the  
637 campaign treasurer is required to include under said subparagraph (G)

638 or (I), which results in noncompliance by the campaign treasurer with  
639 the provisions of said subparagraph (G) or (I), shall be a complete  
640 defense to any action against the campaign treasurer for failure to  
641 disclose such information.

642 (3) Contributions from a single individual to a campaign treasurer  
643 in the aggregate totaling thirty dollars or less need not be individually  
644 identified in the statement, but a sum representing the total amount of  
645 all such contributions made by all such individuals during the period  
646 to be covered by such statement shall be a separate entry, identified  
647 only by the words "total contributions from small contributors".

648 (4) Statements filed in accordance with this section shall remain  
649 public records of the state for five years from the date such statements  
650 are filed.

651 Sec. 17. Subsection (e) of section 9-333m of the general statutes is  
652 repealed and the following is substituted in lieu thereof:

653 (e) No individual shall make a contribution to any candidate or  
654 committee, other than a contribution in kind, in excess of one hundred  
655 dollars except by personal check or credit card of that individual.

656 Sec. 18. Subsection (f) of section 9-333n of the general statutes is  
657 repealed and the following is substituted in lieu thereof:

658 (f) (1) As used in this subsection, "investment services" means legal  
659 services, investment banking services, investment advisory services,  
660 underwriting services, financial advisory services or brokerage firm  
661 services.

662 (2) No individual who is an owner of a firm which provides  
663 investment services and to which the Treasurer pays compensation,  
664 expenses or fees or issues a contract, and no individual who is  
665 employed by such a firm as a manager, officer, director, partner or  
666 employee with managerial or discretionary responsibilities to invest,  
667 manage funds or provide investment services for brokerage,

668 underwriting and financial advisory activities which are in the  
669 statutory and constitutional purview of the Treasurer, shall make a  
670 contribution on or after October 1, 1995, to, or solicit contributions on  
671 or after said date on behalf of, an exploratory committee or candidate  
672 committee established by a candidate for nomination or election to the  
673 office of Treasurer during the term of office of the Treasurer which  
674 pays compensation, expenses or fees or issues a contract to such firm.

675 (3) Neither the Treasurer, the Deputy Treasurer, any individual who  
676 is employed by the Treasurer as a manager, officer, director or  
677 employee with managerial or discretionary responsibilities, any  
678 candidate for the office of Treasurer nor any member of the Investment  
679 Advisory Council established under section 3-13b may solicit  
680 contributions on behalf of an exploratory committee, [or] candidate  
681 committee established by a candidate for nomination or election to any  
682 public office, political committee or party committee from (A) any  
683 individual who is an owner of a firm which provides investment  
684 services and to which the Treasurer pays compensation, expenses or  
685 fees or issues a contract, [or from] (B) any individual who is employed  
686 by such a firm as a manager, officer, director, partner or employee  
687 with managerial or discretionary responsibilities to invest, manage  
688 funds or provide investment services for brokerage, underwriting and  
689 financial advisory activities which are in the statutory and  
690 constitutional purview of the Treasurer, (C) the spouse of an  
691 individual described in subparagraph (A) or (B) of this subdivision or  
692 dependent child of any such individual who resides in the individual's  
693 household, or (D) a political committee established by any such firm.

694 (4) No member of the Investment Advisory Council appointed  
695 under section 3-13b shall make a contribution to, or solicit  
696 contributions on behalf of, an exploratory committee or candidate  
697 committee established by a candidate for nomination or election to the  
698 office of Treasurer.

699 (5) No individual who is an owner of a firm which provides  
700 investment services and to which the Treasurer pays compensation,

701 expenses or fees or issues a contract, and no individual who is  
702 employed by such a firm as a manager, officer, director, partner or  
703 employee with managerial or discretionary responsibilities to invest,  
704 manage funds or provide investment services for brokerage,  
705 underwriting and financial advisory activities which are in the  
706 statutory and constitutional purview of the Treasurer, may make a  
707 contribution to, or solicit contributions on behalf of, an exploratory  
708 committee or candidate committee established by a candidate for  
709 nomination or election to [any public office] the office of Governor,  
710 Lieutenant Governor, Secretary of the State, Comptroller or Attorney  
711 General. The provisions of this subdivision shall not restrict any such  
712 individual who is a candidate for any such office from establishing a  
713 candidate committee and soliciting contributions for such individual's  
714 own campaign.

715 Sec. 19. Subdivision (9) of section 9-333x of the general statutes is  
716 repealed and the following is substituted in lieu thereof:

717 (9) Any person who offers or receives a cash contribution in excess  
718 of [fifty] one hundred dollars to promote the success or defeat of any  
719 political party, candidate or referendum question.

720 Sec. 20. This act shall take effect from its passage, except that  
721 sections 5 to 8, inclusive, shall take effect July 1, 2001, sections 1 to 4,  
722 inclusive, shall take effect October 1, 2001, and sections 9, 11 to 17,  
723 inclusive, and 19 shall take effect January 1, 2002, and sections 9, 11 to  
724 17, inclusive, and 19 shall apply to primaries and elections held after  
725 January 1, 2002."