



General Assembly

January Session, 2001

Amendment

LCO No. 7844

Offered by:

REP. KNOPP, 137th Dist.

To: Senate Bill No. 1234

File No. 131

Cal. No. 578

**"AN ACT CONCERNING CONTRACTING AUTHORITY FOR THE
STATE ETHICS COMMISSION."**

1 After line 6, insert the following and renumber the remaining
2 section accordingly:

3 "Sec. 2. Section 1-83 of the general statutes is repealed and the
4 following is substituted in lieu thereof:

5 (a) (1) All state-wide elected officers, members of the General
6 Assembly, department heads and their deputies, members of the
7 Gaming Policy Board, the executive director of the Division of Special
8 Revenue within the Department of Revenue Services, members or
9 directors of each quasi-public agency, members of the Investment
10 Advisory Council, [state marshal] and such members of the Executive
11 Department and such employees of quasi-public agencies as the
12 Governor shall require, shall file, under penalty of false statement, a
13 statement of financial interests for the preceding calendar year with the
14 [commission] State Ethics Commission on or before the May first next
15 in any year in which they hold such a position. Any such individual

16 who leaves his or her office or position shall file a statement of
17 financial interests covering that portion of the year during which such
18 individual held his or her office or position. The commission shall
19 notify such individuals of the requirements of this subsection within
20 thirty days after their departure from such office or position. Such
21 individuals shall file such statement within sixty days after receipt of
22 the notification.

23 (2) Each state agency, department, board and commission shall
24 develop and implement, in cooperation with the State Ethics
25 Commission, an ethics statement as it relates to the mission of the
26 agency, department, board or commission. The executive head of each
27 such agency, department, board or commission shall be directly
28 responsible for the development and enforcement of such ethics
29 statement and shall file a copy of such ethics statement with the
30 Department of Administrative Services and the State Ethics
31 Commission.

32 (b) [(1)] The statement of financial interests [, except as provided in
33 subdivision (2) of this subsection,] shall include the following
34 information for the preceding calendar year in regard to the individual
35 required to file the statement and the individual's spouse and
36 dependent children residing in the individual's household: [(A)] (1)
37 The names of all businesses with which associated; [(B)] (2) the
38 category or type of all sources of income in excess of one thousand
39 dollars, without specifying amounts of income; [(C)] (3) the name of
40 securities in excess of five thousand dollars at fair market value owned
41 by such individual, spouse or dependent children or held in the name
42 of a corporation, partnership or trust for the benefit of such individual,
43 spouse or dependent children; [(D)] (4) the existence of any known
44 blind trust and the names of the trustees; [(E)] (5) all real property and
45 its location, whether owned by such individual, spouse or dependent
46 children or held in the name of a corporation, partnership or trust for
47 the benefit of such individual, spouse or dependent children; [(F)] (6)
48 the names and addresses of creditors to whom the individual, the
49 individual's spouse or dependent children, individually, owed debts of

50 more than ten thousand dollars; and [(G)] (7) any leases or contracts
51 with the state held or entered into by the individual or a business with
52 which he was associated. [(2) The statement of financial interests filed
53 by state marshals shall include only amounts and sources of income
54 earned in their capacity as state marshals.]

55 (c) The statement of financial interests filed pursuant to this section
56 shall be a matter of public information, except the list of names, filed in
57 accordance with [subparagraph (F) of] subdivision [(1)] (6) of
58 subsection (b) of this section shall be sealed and confidential and for
59 the use of the [commission] State Ethics Commission only after a
60 complaint has been filed under section 1-82 and such complaint has
61 been determined by a vote of the commission to be of sufficient merit
62 and gravity to justify the unsealing of such list or lists and not open to
63 public inspection unless the respondent requests otherwise. If the
64 commission reports its findings to the Chief State's Attorney in
65 accordance with subsection (c) of section 1-88, the commission shall
66 turn over to the Chief State's Attorney such relevant information
67 contained in the statement as may be germane to the specific violation
68 or violations or a prosecutorial official may subpoena such statement
69 in a criminal action. Unless otherwise a matter of public record, the
70 [Ethics Commission] commission shall not disclose to the public any
71 such subpoena which would be exempt from disclosure by the issuing
72 agency.

73 (d) Any individual who is unable to provide information required
74 under the provisions of [subdivision (1) of] subsection (b) of this
75 section by reason of impossibility may petition the commission for a
76 waiver of the requirements.

77 Sec. 3. Subsection (e) of section 1-88 of the general statutes is
78 repealed and the following is substituted in lieu thereof:

79 (e) Any employee or member of the commission who, in violation of
80 this part, discloses information filed in accordance with [subparagraph
81 (B) or subparagraph (F) of subdivision (1)] subdivision (2) or (6) of

82 subsection (b) of section 1-83, as amended by this act, shall be
83 dismissed, if an employee, or removed from the commission, if a
84 member."