



General Assembly

**Amendment**

January Session, 2001

LCO No. 5563

Offered by:

SEN. DELUCA, 32<sup>nd</sup> Dist.

SEN. RORABACK, 30<sup>th</sup> Dist.

To: Subst. Senate Bill No. 1219

File No. 292

Cal. No. 243

**"AN ACT PROPOSING COMPREHENSIVE CAMPAIGN FINANCE REFORM FOR STATE-WIDE CONSTITUTIONAL OFFICES AND GENERAL ASSEMBLY OFFICES."**

1 Strike out everything after the enacting clause and substitute the  
2 following in lieu thereof:

3 "Section 1. Section 9-333a of the general statutes is repealed and the  
4 following is substituted in lieu thereof:

5 As used in this chapter:

6 (1) "Committee" means a party committee, [political committee or a]  
7 candidate committee, exploratory committee or referendum committee  
8 organized, as the case may be, for a single primary, election or  
9 referendum, or [for ongoing political activities,] to aid or promote the  
10 success or defeat of any political party, any one or more candidates for  
11 public office or the position of convention delegate or town committee  
12 member or any referendum question.

13 (2) "Party committee" means a state central committee or a town  
14 committee. "Party committee" does not mean a party-affiliated or  
15 district, ward or borough committee which receives all of its funds  
16 from the state central committee of its party or from a single town  
17 committee with the same party affiliation. Any such committee so  
18 funded shall be construed to be a part of its state central or town  
19 committee for purposes of this chapter.

20 (3) ["Political committee"] "Exploratory committee" means [(A) a  
21 committee organized by a business entity or organization, (B) persons  
22 other than individuals, or two or more individuals organized or acting  
23 jointly conducting their activities in or outside the state, (C)] a  
24 committee established by a candidate to determine the particular  
25 public office to which [he] the candidate shall seek nomination or  
26 election. [, and referred to in this chapter as an exploratory committee  
27 or (D) a committee established by or on behalf of a slate of candidates  
28 in a primary for the position of convention delegate, but does not mean  
29 a candidate committee or a party committee.]

30 (4) "Referendum committee" means a committee established to  
31 promote the success or defeat of a referendum question.

32 [(4)] (5) "Candidate committee" means any committee designated by  
33 a single candidate, or established with the consent, authorization or  
34 cooperation of a candidate, for the purpose of a single primary or  
35 election and to aid or promote [his] the candidate's candidacy alone for  
36 a particular public office or the position of town committee member or  
37 established by or on behalf of a slate of candidates in a primary for the  
38 position of convention delegate, but does not mean [a political  
39 committee or] a party committee.

40 [(5)] (6) "National committee" means the organization which  
41 according to the bylaws of a political party is responsible for the day-  
42 to-day operation of the party at the national level.

43 [(6)] (7) "Organization" means all labor organizations, (A) as defined  
44 in the Labor-Management Reporting and Disclosure Act of 1959, as

45 from time to time amended, or (B) as defined in subdivision (9) of  
46 section 31-101, employee organizations as defined in subsection (d) of  
47 section 5-270 and subdivision (6) of section 7-467, bargaining  
48 representative organizations for teachers, any local, state or national  
49 organization, to which a labor organization pays membership or per  
50 capita fees, based upon its affiliation or membership, and trade or  
51 professional associations which receive their funds exclusively from  
52 membership dues, whether organized in or outside of this state, but  
53 does not mean a candidate committee, party committee or a political  
54 committee.

55 [(7)] (8) "Business entity" means the following, whether organized in  
56 or outside of this state: Stock corporations, banks, insurance  
57 companies, business associations, bankers associations, insurance  
58 associations, trade or professional associations which receive funds  
59 from membership dues and other sources, partnerships, joint ventures,  
60 private foundations, as defined in Section 509 of the Internal Revenue  
61 Code of 1986, or any subsequent corresponding internal revenue code  
62 of the United States, as from time to time amended; trusts or estates;  
63 corporations organized under sections 38a-175 to 38a-192, inclusive,  
64 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and  
65 chapters 594 to 597, inclusive; cooperatives, and any other association,  
66 organization or entity which is engaged in the operation of a business  
67 or profit-making activity; but does not include professional service  
68 corporations organized under chapter 594a and owned by a single  
69 individual, nonstock corporations which are not engaged in business  
70 or profit-making activity, organizations, as defined in subdivision (6)  
71 of this section, candidate committees, party committees and political  
72 committees as defined in this section. For purposes of this chapter,  
73 corporations which are component members of a controlled group of  
74 corporations, as those terms are defined in Section 1563 of the Internal  
75 Revenue Code of 1986, or any subsequent corresponding internal  
76 revenue code of the United States, as from time to time amended, shall  
77 be deemed to be one corporation.

78 [(8)] (9) "Individual" means a human being, a sole proprietorship, or

79 a professional service corporation organized under chapter 594a and  
80 owned by a single human being.

81 [(9)] (10) "Person" means an individual, committee, firm,  
82 partnership, organization, association, syndicate, company trust,  
83 corporation, limited liability company or any other legal entity of any  
84 kind but does not mean the state or any political or administrative  
85 subdivision of the state.

86 [(10)] (11) "Candidate" means an individual who seeks nomination  
87 for election or election to public office whether or not such individual  
88 is elected, and for the purposes of this chapter an individual shall be  
89 deemed to seek nomination for election or election if [he] the  
90 individual has (A) been endorsed by a party or become eligible for a  
91 position on the ballot at an election or primary or (B) solicited or  
92 received contributions or made expenditures or [given his consent]  
93 consented to any other person to solicit or receive contributions or  
94 make expenditures with the intent to bring about [his] the individual's  
95 nomination for election or election to any such office. "Candidate" also  
96 means a slate of candidates which is to appear on the ballot in a  
97 primary for the position of convention delegate. For the purposes of  
98 sections 9-333 to 9-333l, inclusive, and section 9-333w, "candidate" also  
99 means an individual who is a candidate in a primary for town  
100 committee members.

101 [(11)] (12) "Campaign treasurer" means the individual appointed by  
102 a candidate or by the chairman of a party committee or [a political  
103 committee] exploratory committee or referendum committee to receive  
104 and disburse funds on behalf of the candidate or committee.

105 [(12)] (13) "Deputy campaign treasurer" means the individual  
106 appointed by the candidate or by the chairman of a committee to serve  
107 in the capacity of the campaign treasurer if the campaign treasurer is  
108 unable to perform [his] the campaign treasurer's duties.

109 [(13)] (14) "Solicitor" means an individual appointed by a campaign  
110 treasurer of a committee to receive, but not to disburse, funds on

111 behalf of the committee.

112 [(14)] (15) "Referendum question" means a question to be voted  
113 upon at any election or referendum, including a proposed  
114 constitutional amendment.

115 [(15)] (16) "Lobbyist" means a lobbyist as defined in subsection (l) of  
116 section 1-91.

117 [(16)] (17) "Business with which he is associated" means any  
118 business in which the contributor is a director, officer, owner, limited  
119 or general partner or holder of stock constituting five per cent or more  
120 of the total outstanding stock of any class. Officer refers only to the  
121 president, executive or senior vice-president or treasurer of such  
122 business.

123 [(17)] (18) "Independent expenditure" means an expenditure that is  
124 made without the consent, knowing participation, or consultation of, a  
125 candidate or agent of the candidate committee. "Independent  
126 expenditure" does not include an expenditure (A) if there is any  
127 coordination or direction with respect to the expenditure between the  
128 candidate or the treasurer, deputy treasurer or chairman of [his] the  
129 candidate's candidate committee and the person making the  
130 expenditure or (B) if, during the same election cycle, the individual  
131 making the expenditure serves or has served as the treasurer, deputy  
132 treasurer or chairman of the candidate committee.

133 [(18)] (19) "Federal account" means a depository account that is  
134 subject to the disclosure and contribution limits provided under the  
135 Federal Election Campaign Act of 1971, as amended from time to time.

136 [(19)] (20) "Public funds" means funds belonging to, or under the  
137 control of, the state or a political subdivision of the state.

138 Sec. 2. Subsection (b) of section 9-333b of the general statutes is  
139 repealed and the following is substituted in lieu thereof:

140 (b) As used in this chapter, "contribution" does not mean:

141 (1) A loan of money made in the ordinary course of business by a  
142 national or state bank;

143 (2) Any communication made by a corporation, organization or  
144 association to its members, owners, stockholders, executive or  
145 administrative personnel, or their families;

146 (3) Nonpartisan voter registration and get-out-the-vote campaigns  
147 by any corporation, organization or association aimed at its members,  
148 owners, stockholders, executive or administrative personnel, or their  
149 families;

150 (4) Uncompensated services provided by individuals volunteering  
151 their time;

152 (5) The use of real or personal property, and the cost of invitations,  
153 food or beverages, voluntarily provided by an individual to a  
154 candidate or on behalf of a state central or town committee, in  
155 rendering voluntary personal services for candidate or party-related  
156 activities at the individual's residence, to the extent that the cumulative  
157 value of the invitations, food or beverages provided by the individual  
158 on behalf of any single candidate does not exceed two hundred dollars  
159 with respect to any single election, and on behalf of all state central  
160 and town committees does not exceed four hundred dollars in any  
161 calendar year;

162 (6) The sale of food or beverage for use in a candidate's campaign or  
163 for use by a state central or town committee at a discount, if the charge  
164 is not less than the cost to the vendor, to the extent that the cumulative  
165 value of the discount given to or on behalf of any single candidate does  
166 not exceed two hundred dollars with respect to any single election,  
167 and on behalf of all state central and town committees does not exceed  
168 four hundred dollars in a calendar year;

169 (7) Any unreimbursed payment for travel expenses made by an  
170 individual who on [his] the individual's own behalf volunteers [his]  
171 the individual's personal services to any single candidate to the extent

172 the cumulative value does not exceed two hundred dollars with  
173 respect to any single election, and on behalf of all state central or town  
174 committees does not exceed four hundred dollars in a calendar year;

175 (8) The payment, by a party committee, political exploratory  
176 committee or an individual, of the costs of preparation, display,  
177 mailing or other distribution incurred by the committee or individual  
178 with respect to any printed slate card, sample ballot or other printed  
179 list containing the names of three or more candidates;

180 (9) The donation of any item of personal property by an individual  
181 to a committee for a fund-raising affair, including a tag sale or auction,  
182 or the purchase by an individual of any such item at such an affair, to  
183 the extent that the cumulative value donated or purchased does not  
184 exceed fifty dollars;

185 [(10) The purchase of advertising space which clearly identifies the  
186 purchaser, in a program for a fund-raising affair, provided the  
187 cumulative purchase of such space does not exceed two hundred fifty  
188 dollars from any single candidate or his committee with respect to any  
189 single election campaign or two hundred fifty dollars from any single  
190 party committee or other political committee in any calendar year if  
191 the purchaser is a business entity or fifty dollars for purchases by any  
192 other person;]

193 [(11)] (10) The payment of money by a candidate to [his] the  
194 candidate's candidate committee;

195 [(12)] (11) The donation of goods or services by a business entity to a  
196 committee for a fund-raising affair, including a tag sale or auction, to  
197 the extent that the cumulative value donated does not exceed one  
198 hundred dollars;

199 [(13)] (12) The advance of a security deposit by an individual to a  
200 telephone company, as defined in section 16-1, for telecommunications  
201 service for a committee, provided the security deposit is refunded to  
202 the individual; or

203 [(14)] (13) The provision of facilities, equipment, technical and  
204 managerial support, and broadcast time by a community antenna  
205 television company, as defined in section 16-1, for community access  
206 programming pursuant to section 16-331a, unless (A) the major  
207 purpose of providing such facilities, equipment, support and time is to  
208 influence the nomination or election of a candidate, or (B) such  
209 facilities, equipment, support and time are provided on behalf of a  
210 political party.

211 Sec. 3. Section 9-333d of the general statutes of the general statutes is  
212 repealed and the following is substituted in lieu thereof:

213 (a) Except with respect to an individual acting on [his] the  
214 individual's own, no contributions may be made, solicited or received  
215 and no expenditures may be made, directly or indirectly, in aid of or in  
216 opposition to the candidacy for nomination or election of any  
217 individual or any party or referendum question, unless (1) the  
218 candidate or chairman of the committee has filed a designation of a  
219 campaign treasurer and a depository institution situated in this state as  
220 the depository for the committee's funds or (2) the candidate or, in the  
221 event of a referendum question, a group of individuals has filed a  
222 certification in accordance with the provisions of section 9-333f or 9-  
223 333g, as the case may be. In the case of [a political committee] an  
224 exploratory committee or a referendum committee, the filing of the  
225 statement of organization by the chairman of such committee, in  
226 accordance with the provisions of section 9-333g shall constitute  
227 compliance with the provisions of this subsection.

228 (b) No contribution in aid of or in opposition to the candidacy of  
229 any person or to any party or referendum question shall be made at  
230 any time, except to the committee's campaign treasurer whose  
231 designation is on file with the proper authority, a solicitor, a candidate  
232 who is exempt from the requirement to form a candidate committee  
233 and has filed a certification, or a group of individuals which have  
234 joined solely to support or oppose a referendum question and have  
235 filed a certification.

236 (c) An individual who is designated as campaign treasurer of a  
237 committee shall be responsible for all duties required of [him] the  
238 campaign treasurer under this chapter until the committee is  
239 terminated. The campaign treasurer shall be relieved of such duties  
240 upon [his] the campaign treasurer's permanent incapacity, resignation  
241 or replacement, provided a statement to that effect is filed with the  
242 proper authority, as provided in section 9-333e. In the event of the  
243 death of the campaign treasurer or after a statement has been filed  
244 concerning the campaign treasurer's incapacity, resignation or  
245 replacement, if a deputy campaign treasurer has been designated, the  
246 deputy campaign treasurer shall be responsible for all duties required  
247 of the campaign treasurer under this chapter until the candidate or  
248 chairman of the committee files with the proper authority a  
249 designation of a successor campaign treasurer. If a deputy campaign  
250 treasurer has not been designated, the candidate or chairman shall  
251 designate a successor campaign treasurer and file such designation  
252 with the proper authority not more than ten days after the death of the  
253 campaign treasurer or the filing of the statement of [his] the campaign  
254 treasurer's incapacity, resignation or replacement.

255 (d) (1) In addition to its jurisdiction over persons who are residents  
256 of this state, the State Elections Enforcement Commission may exercise  
257 personal jurisdiction over any nonresident person, or the agent of such  
258 person, who makes a payment of money, gives anything of value or  
259 makes a contribution or expenditure to or for the benefit of any  
260 committee or candidate.

261 (2) Where personal jurisdiction is based solely upon this subsection,  
262 an appearance does not confer personal jurisdiction with respect to  
263 causes of action not arising from an act enumerated in this subsection.

264 (3) Any nonresident person or the agent of such person over whom  
265 the State Elections Enforcement Commission may exercise personal  
266 jurisdiction, as provided in subdivision (1) of this subsection, shall be  
267 deemed to have appointed the Secretary of the State as the person's or  
268 agent's attorney and to have agreed that any process in any complaint,

269 investigation or other matter conducted pursuant to section 9-7b  
270 brought against the nonresident person, or said person's agent, may be  
271 served upon the Secretary of the State and shall have the same validity  
272 as if served upon such nonresident person or agent personally. The  
273 process shall be served by the officer to whom the same is directed  
274 upon the Secretary of the State by leaving with or at the office of the  
275 Secretary of the State, at least twelve days before any required  
276 appearance day of such process, a true and attested copy of such  
277 process, and by sending to the nonresident person or agent so served,  
278 at the person's or agent's last-known address, by registered or certified  
279 mail, postage prepaid, a like and attested copy with an endorsement  
280 thereon of the service upon the Secretary of the State. The Secretary of  
281 the State shall keep a record of each such process and the day and hour  
282 of service.

283       Sec. 4. Section 9-333e of the general statutes is repealed and the  
284 following is substituted in lieu thereof:

285       (a) Statements filed by party committees, [political] referendum  
286 committees formed to aid or promote the success or defeat of a  
287 referendum question proposing a constitutional convention,  
288 constitutional amendment or revision of the constitution, individual  
289 lobbyists, exploratory committees, and those [political committees  
290 and] candidate committees formed to aid or promote the success or  
291 defeat of any candidate for the office of Governor, Lieutenant  
292 Governor, Secretary of the State, Treasurer, Comptroller, Attorney  
293 General, judge of probate and members of the General Assembly, shall  
294 be filed with the office of the Secretary of the State. A copy of each  
295 statement filed by a town committee shall be filed at the same time  
296 with the town clerk of the municipality in which the committee is  
297 situated. A [political] candidate committee formed for a slate of  
298 candidates in a primary for the position of convention delegate shall  
299 file statements with both the Secretary of the State and the town clerk  
300 of the municipality in which the primary is to be held.

301       (b) Statements filed by [political] referendum committees formed

302 solely to aid or promote the success or defeat of a referendum question  
303 to be voted upon by the electors of a single municipality and those  
304 [political committees or] candidate committees formed to aid or  
305 promote the success or defeat of any candidate for public office, other  
306 than those enumerated in subsection (a) of this section, or the position  
307 of town committee member shall be filed only with the town clerk of  
308 the municipality in which the election or referendum is to be held.  
309 Each unsalaried town clerk shall be entitled to receive ten cents from  
310 the town for the filing of each such statement.

311 (c) A certification of a candidate who is exempt from the  
312 requirement of subsection (a) of section 9-333f to form a candidate  
313 committee shall be filed with the Secretary of the State if the candidate  
314 seeks an office enumerated in subsection (a) of this section, or with the  
315 town clerk of the municipality in which the election is to be held if the  
316 candidate seeks an office other than those enumerated. A certification  
317 of a group of individuals who have joined solely to aid or promote a  
318 referendum question and who are exempt from the requirement to  
319 form a political committee under section 9-333g shall be filed with the  
320 town clerk of each municipality in which the referendum is to be held.

321 Sec. 5. Section 9-333f of the general statutes is repealed and the  
322 following is substituted in lieu thereof:

323 (a) Each candidate for a particular public office or the position of  
324 town committee member shall form a single candidate committee for  
325 which [he] the candidate shall designate a campaign treasurer and a  
326 depository institution situated in this state as the depository for the  
327 committee's funds and shall file a committee statement containing  
328 such designations with the proper authority as required by section 9-  
329 333e. The candidate may also designate a deputy campaign treasurer  
330 on such committee statement. The campaign treasurer and any deputy  
331 campaign treasurer so designated shall sign a statement accepting such  
332 designation which the candidate shall include as part of, or file with,  
333 the committee statement.

334 (b) The formation of a candidate committee by a candidate and the  
335 filing of statements pursuant to section 9-333j shall not be required if  
336 the candidate files a certification with the proper authority required by  
337 section 9-333e, at any time prior to the acceptance of a contribution or  
338 making of an expenditure and any of the following conditions exist for  
339 the campaign: (1) The candidate is one of a slate of candidates whose  
340 campaigns are funded solely by a party committee or a [political]  
341 candidate committee formed for a single election or primary and  
342 expenditures made on behalf of the candidate's campaign are reported  
343 by the committee sponsoring [his] the candidate's candidacy; (2) the  
344 candidate finances [his] the candidate's campaign entirely from  
345 personal funds and does not solicit or receive contributions; or (3) the  
346 candidate does not receive or expend funds in excess of five hundred  
347 dollars. If the candidate no longer qualifies for the exemption under  
348 any of these conditions, [he] the candidate shall comply with the  
349 provisions of subsection (a) of this section, not later than three business  
350 days thereafter and shall provide [his] the candidate's designated  
351 campaign treasurer with all information required for completion of the  
352 treasurer's statements and filings as required by section 9-333j. If the  
353 candidate no longer qualifies for the exemption due to the condition  
354 stated in [his] the candidate's certification but so qualifies due to a  
355 different condition specified in this subsection, [he] the candidate shall  
356 file an amended certification with the proper authority and provide the  
357 new condition for [his] the candidate's qualification not later than three  
358 business days following the change in circumstances of the financing  
359 of [his] the candidate's campaign. The filing of a certification under this  
360 subsection shall not relieve the candidate from compliance with the  
361 provisions of this chapter.

362 [(c) The chairman of a political committee formed to support a  
363 single candidate for public office shall, not later than seven days after  
364 filing a statement of organization with the proper authority under  
365 section 9-333e, send the candidate a notice, by certified mail, of such  
366 filing. If a candidate (1) does not, within fourteen days after receiving  
367 such notice, disavow such committee, in writing, to the proper

368 authority under section 9-333e, or (2) disavows such committee within  
369 such period, but, at any time before such disavowal, accepts funds  
370 from the committee for his campaign, such committee shall be deemed  
371 to have been authorized by such candidate and shall constitute a  
372 candidate committee for the purposes of this chapter.]

373 (c) No candidate shall establish, agree to or assist in establishing, or  
374 give [his] the candidate's consent or authorization to establishing a  
375 committee other than a single candidate committee to promote [his]  
376 the candidate's candidacy for any public office except that a candidate  
377 may establish a single [political] exploratory committee, for a single  
378 election or primary, for the sole purpose of determining whether to  
379 seek [(A)] (1) nomination or election to the General Assembly, [(B)] (2)  
380 a state office, as defined in subsection (e) of section 9-333l, or [(C)] (3)  
381 nomination or election to any other public office. The candidate shall  
382 designate such purpose on the statement of organization. Not later  
383 than fifteen days after a public declaration by the candidate of [his] the  
384 candidate's intention to seek nomination or election to the General  
385 Assembly, a state office, as so defined, or any other particular public  
386 office, the candidate shall form a single candidate committee.

387 (d) A slate of candidates in a primary for the position of delegate to  
388 the same convention shall designate a chairperson to form a single  
389 [political] candidate committee to comply with the requirements of  
390 section 9-333g, except if the individuals on the slate unanimously  
391 consent to have their campaign financed solely by a town committee or  
392 by the candidate committee of a candidate for state or district office to  
393 which they are committed, and such committee or candidate consents  
394 to such financing by filing a statement of consent with both the  
395 Secretary of the State and the town clerk of the municipality in which  
396 the primary is to be held.

397 Sec. 6. Section 9-333g of the general statutes is repealed and the  
398 following is substituted in lieu thereof:

399 (a) The chairperson of each [political committee] exploratory

400 committee and referendum committee shall designate a campaign  
401 treasurer and may designate a deputy campaign treasurer. The  
402 campaign treasurer and any deputy campaign treasurer so designated  
403 shall sign a statement accepting the designation. The chairperson of  
404 each [political committee] exploratory committee and referendum  
405 committee shall file a statement of organization along with the  
406 statement signed by the designated campaign treasurer and deputy  
407 campaign treasurer with the proper authority, within ten days after its  
408 organization, provided that the chairperson of any [political] such  
409 committee organized within ten days prior to any primary, election or  
410 referendum in connection with which it intends to make any  
411 contributions or expenditures, shall immediately file a statement.

412 (b) The statement shall include: (1) The name and address of the  
413 committee; (2) a statement of the purpose of the committee; (3) the  
414 name and address of its campaign treasurer, and deputy campaign  
415 treasurer if applicable; (4) the name, address and position of its  
416 [chairman] chairperson, and other principal officers if applicable; (5)  
417 the name and address of the depository institution for its funds; (6) the  
418 name of each person, other than an individual, that is a member of the  
419 committee; (7) the name and party affiliation of [each] the candidate  
420 whom the committee is supporting, if applicable, and the office or  
421 position sought by [each] the candidate; [(8) if the committee is  
422 supporting the entire ticket of any party, a statement to that effect and  
423 the name of the party; (9)] (8) if the committee is supporting or  
424 opposing any referendum question, a brief statement identifying the  
425 substance of the question; [(10) if the committee is established by a  
426 business entity or organization, the name of the entity or organization;  
427 (11) if the committee is established by an organization, whether it will  
428 receive its funds from the organization's treasury or from voluntary  
429 contributions; (12) if the committee files reports with the Federal  
430 Elections Commission or any out-of-state agency, a statement to that  
431 effect including the name of the agency; (13)] and (9) a statement  
432 indicating whether the committee is established for a single [primary,  
433 election or referendum or for ongoing political activities; and (14) if the

434 committee is established by or on behalf of a lobbyist, a statement to  
435 that effect and the name of the lobbyist] referendum.

436 (c) The [chairman] chairperson of each [political committee]  
437 exploratory committee or referendum committee shall report any  
438 addition to or change in information previously submitted in a  
439 statement of organization to the proper authority within ten days after  
440 the addition or change.

441 (d) A group of two or more individuals who have joined solely to  
442 promote the success or defeat of a referendum question shall not be  
443 required to file as a [political committee] referendum committee, make  
444 such designations in accordance with subsections (a) and (b) of this  
445 section or file statements pursuant to section 9-333j, if the group does  
446 not receive or expend in excess of five hundred dollars for the entire  
447 campaign and the agent of such individuals files a certification with  
448 the proper authority or authorities as required under section 9-333e  
449 before an expenditure is made. The certification shall include the name  
450 of the group, or the names of the persons who comprise the group, and  
451 the name and address of the agent which shall appear on any  
452 communication paid for or sponsored by the group as required by  
453 section 9-333w. If the group receives or expends in excess of five  
454 hundred dollars, the agent shall complete the statement of  
455 organization and file as a [political committee] referendum committee  
456 not later than three business days thereafter. The agent shall provide  
457 the designated campaign treasurer with all information required for  
458 completion of the statements for filing as required by section 9-333j.  
459 The filing of a certification under this subsection shall not relieve the  
460 group from compliance with the provisions of this chapter, and the  
461 group shall be considered a [political committee established solely for  
462 a referendum question] referendum committee for purposes of the  
463 limitations on contributions and expenditures.

464 Sec. 7. Section 9-333h of the general statutes is repealed and the  
465 following is substituted in lieu thereof:

466 (a) The campaign treasurer of each committee shall be responsible  
467 for (1) depositing, receiving and reporting all contributions and other  
468 funds in the manner specified in section 9-333j, (2) making and  
469 reporting expenditures, (3) reporting expenses incurred but not yet  
470 paid, (4) filing the statements required under section 9-333j, and (5)  
471 keeping internal records of each entry made on such statements. The  
472 campaign treasurer of each committee shall deposit contributions in  
473 the committee's designated depository within [seven] fifteen business  
474 days after receiving them. The campaign treasurer of each [political  
475 committee] exploratory committee or party committee which makes a  
476 contribution of goods to another committee shall send written notice to  
477 the campaign treasurer of the recipient committee before the close of  
478 the reporting period during which the contribution was made. The  
479 notice shall be signed by the campaign treasurer of the committee  
480 making the contribution and shall include the full name of such  
481 committee, the date on which the contribution was made, a complete  
482 description of the contribution and the value of the contribution. Any  
483 dispute concerning the information contained in such notice shall be  
484 resolved by the campaign treasurer of the recipient committee. Such  
485 resolution shall not impair in any way the authority of the State  
486 Elections Enforcement Commission under section 9-7b. The campaign  
487 treasurer of the recipient committee shall preserve each such notice  
488 received for the period prescribed by subsection (f) of section 9-333i.

489 (b) A contribution in the form of a check drawn on a joint bank  
490 account shall, for the purpose of allocation, be deemed to be a  
491 contribution made by the individual who signed the check. If a check  
492 is signed by more than one individual, the total amount of the check  
493 shall be divided equally among the cosigners for the purpose of  
494 allocation. If a committee receives an anonymous contribution of more  
495 than fifteen dollars the campaign treasurer shall immediately remit the  
496 contribution to the State Treasurer. The State Treasurer shall deposit  
497 the contribution in the General Fund.

498 (c) The campaign treasurer of each committee [, other than a  
499 political committee established by an organization which receives its

500 funds from the organization's treasury,] may appoint solicitors. If  
501 solicitors are appointed, the campaign treasurer shall receive and  
502 report all contributions made or promised to each solicitor. Each  
503 solicitor shall submit to the campaign treasurer a list of all  
504 contributions made or promised to him. The list shall be complete as of  
505 seventy-two hours immediately preceding midnight of the day  
506 preceding the dates on which the campaign treasurer is required to file  
507 a sworn statement as provided in section 9-333j. Lists shall be received  
508 by the campaign treasurer not later than twenty-four hours  
509 immediately preceding each required filing date. Each solicitor shall  
510 deposit all contributions with the campaign treasurer, within ten  
511 business days after receipt. No solicitor shall expend any contributions  
512 received by [him] the solicitor or disburse such contributions to any  
513 person other than the campaign treasurer.

514 (d) No person shall act as a campaign treasurer or deputy campaign  
515 treasurer unless [he] the person is an elector of this state, and a  
516 statement, signed by the chairman in the case of a party committee, [or  
517 political committee] exploratory committee or referendum committee  
518 or by the candidate in the case of a candidate committee, designating  
519 [him] the person as campaign treasurer or deputy campaign treasurer  
520 has been filed in accordance with section 9-333e. In the case of [a  
521 political committee] an exploratory committee or a referendum  
522 committee, the filing of a statement of organization by the chairman of  
523 the committee, in accordance with the provisions of section 9-333g,  
524 shall constitute compliance with the filing requirements of this section.  
525 No provision of this subsection shall prevent the campaign treasurer,  
526 deputy campaign treasurer or solicitor of any committee from being  
527 the campaign treasurer, deputy campaign treasurer or solicitor of any  
528 other committee or prevent any committee from having more than one  
529 solicitor, but no candidate shall have more than one campaign  
530 treasurer. A candidate shall not serve as [his] the candidate's own  
531 campaign treasurer or deputy campaign treasurer, except that a  
532 candidate who is exempt from forming a candidate committee under  
533 subsection (b) of section 9-333f and has filed a certification that [he] the

534 candidate is financing [his] the candidate's campaign from [his] the  
535 candidate's own personal funds or is not receiving or expending in  
536 excess of five hundred dollars may perform the duties of a campaign  
537 treasurer for [his] the candidate's own campaign.

538 Sec. 8. Section 9-333i of the general statutes is repealed and the  
539 following is substituted in lieu thereof:

540 (a) No financial obligation shall be incurred by a committee unless  
541 authorized by the campaign treasurer, except that certain expenditures  
542 of a candidate's personal funds may be reimbursed as provided in  
543 subsection (k) of this section.

544 (b) No candidate, campaign treasurer, or committee shall be liable  
545 for any debt incurred in aid of or in opposition to any political party,  
546 referendum question or the candidacy of any person or persons for  
547 said offices or positions unless such debt was incurred pursuant to an  
548 authorization issued under subsection (a) of this section.

549 (c) On any day on which an election or primary is being held, the  
550 campaign treasurer of any committee which functions as a town  
551 committee may give a check to one individual in each voting district of  
552 the municipality in which the election or primary is being held. The  
553 check shall be drawn by the campaign treasurer against the  
554 committee's depository institution account to the order of such  
555 individual in an amount not to exceed two hundred fifty dollars. Such  
556 individual may use the proceeds of the check to make cash  
557 expenditures in such voting district for per diem allotments to  
558 campaign workers, or expenses incurred by campaign workers on  
559 election or primary day, including but not limited to, food, beverages,  
560 gasoline and other similar ordinary and necessary expenses. Such  
561 individual shall submit to the campaign treasurer, within forty-eight  
562 hours after the closing of the polls, a detailed accounting of all such  
563 expenditures. The campaign treasurer shall report the names of all  
564 such individuals and the expenditures made by them in accordance  
565 with the provisions of section 9-333j.

566 (d) Except as provided in subsections (j) and (k) of this section, no  
567 payment in satisfaction of any financial obligation incurred by a  
568 committee shall be made by or accepted from any person other than  
569 the campaign treasurer and then only according to the tenor of an  
570 authorization issued pursuant to subsection (a) of this section.

571 (e) Any such payment shall be by check drawn by the campaign  
572 treasurer, on the designated depository. Each such treasurer may draw  
573 a check, not to exceed one hundred dollars, to establish a petty cash  
574 fund and may deposit additional funds to maintain it, but the fund  
575 shall not exceed one hundred dollars at any time. All expenditures  
576 from a petty cash fund shall be reported in the same manner as any  
577 other expenditure.

578 (f) The campaign treasurer shall preserve all internal records of  
579 transactions entered in reports filed pursuant to section 9-333j for four  
580 years from the date of the report in which the transactions were  
581 entered. If any checks are issued pursuant to subsection (e) of this  
582 section, the campaign treasurer who issued them shall preserve all  
583 cancelled checks and bank statements for four years from the date on  
584 which they were issued. In the case of a candidate committee, the  
585 campaign treasurer or the candidate, if the candidate so requests, shall  
586 preserve all internal records, cancelled checks and bank statements for  
587 four years from the date of the last report required to be filed under  
588 subsection (a) of section 9-333j.

589 (g) (1) As used in this subsection, (A) "the lawful purposes of his  
590 committee" means: (i) For a candidate committee or exploratory  
591 committee, the promoting of the nomination or election of the  
592 candidate who established the committee; (ii) for a [political  
593 committee] referendum committee, the promoting of [the success or  
594 defeat of candidates for nomination and election to public office or  
595 position subject to the requirements of this chapter, or] the success or  
596 defeat of referendum questions, provided a [political committee  
597 formed for a single referendum question] referendum committee shall  
598 not promote the success or defeat of any candidate; [, and provided

599 further a political committee designated by the majority of the  
600 members of a political party who are also members of the state House  
601 of Representatives or the state Senate may expend funds to defray  
602 costs of its members for conducting legislative or constituency-related  
603 business which are not reimbursed or paid by the state;] and (iii) for a  
604 party committee, the promoting of the party, the candidates of the  
605 party and continuing operating costs of the party, and (B) "immediate  
606 family" means a spouse or dependent child of a candidate who resides  
607 in the candidate's household.

608 (2) Unless otherwise provided by this chapter, any campaign  
609 treasurer, in accomplishing the lawful purposes of his committee, may  
610 pay the expenses of: (A) Advertising in electronic and print media; (B)  
611 any other form of printed advertising or communications including  
612 "thank you" advertising after the election; (C) campaign items,  
613 including, but not limited to, brochures, leaflets, flyers, invitations,  
614 stationery, envelopes, reply cards, return envelopes, campaign  
615 business cards, direct mailings, postcards, palm cards, "thank you"  
616 notes, sample ballots and other similar items; (D) political banners and  
617 billboards; (E) political paraphernalia, which is customarily given or  
618 sold to supporters including, but not limited to, campaign buttons,  
619 stickers, pins, pencils, pens, matchbooks, balloons, pads, calendars,  
620 magnets, key chains, hats, tee shirts, sweatshirts, frisbees, pot holders,  
621 jar openers and other similar items; (F) purchasing office supplies for  
622 campaign or political purposes, campaign photographs, raffle or other  
623 fund-raising permits required by law, fund-raiser prizes, postage,  
624 express mail delivery services, bulk mail permits, and computer  
625 supplies and services; (G) banking service charges to maintain  
626 campaign and political accounts; (H) subscriptions to newspapers and  
627 periodicals which enhance the candidacy of the candidate or party; (I)  
628 lease or rental of office space for campaign or political purposes and  
629 expenses in connection therewith including, but not limited to,  
630 furniture, parking, storage space, utilities and maintenance, provided a  
631 party committee [or political committee organized for ongoing  
632 political activities] may purchase such office space; (J) lease or rental of

633 vehicles for campaign use only; (K) lease, rental or use charges of any  
634 ordinary and necessary campaign office equipment including, but not  
635 limited to, copy machines, telephones, postage meters, facsimile  
636 machines, computer hardware, software and printers, provided a  
637 party committee [or political committee organized for ongoing  
638 political activities] may purchase office equipment, and provided  
639 further that a candidate committee [or a political committee, other than  
640 a political committee formed for ongoing political activities or an  
641 exploratory committee,] may purchase computer equipment; (L)  
642 compensation for campaign or committee staff, fringe benefits and  
643 payroll taxes, provided the candidate and any member of his  
644 immediate family shall not receive compensation; (M) travel, meals  
645 and lodging expenses of speakers, campaign or committee workers,  
646 the candidate and the candidate's spouse for political and campaign  
647 purposes; (N) fund raising; (O) reimbursements to candidates and  
648 campaign or committee workers made in accordance with the  
649 provisions of section 9-333i for campaign-related expenses for which a  
650 receipt is received by the campaign treasurer; (P) campaign or  
651 committee services of attorneys, accountants, consultants or other  
652 professional persons for campaign activities, obtaining or contesting  
653 ballot status, nomination, or election, and compliance with this  
654 chapter; (Q) purchasing campaign finance reports; (R) repaying  
655 permissible campaign loans made to the committee that are properly  
656 reported and refunding contributions received from an impermissible  
657 source or in excess of the limitations set forth in this chapter; (S)  
658 conducting polls concerning any political party, issue, candidate or  
659 individual; (T) gifts to campaign or committee workers or purchasing  
660 flowers or other commemorative items for political purposes not to  
661 exceed fifty dollars to any one recipient in a calendar year or for the  
662 campaign, as the case may be; (U) purchasing tickets or advertising  
663 from charities, inaugural committees, or other civic organizations if for  
664 a political purpose, for any candidate, a candidate's spouse, a member  
665 of a candidate's campaign staff, or members of committees; (V) the  
666 inauguration of an elected candidate by that candidate's candidate  
667 committee; (W) hiring of halls, rooms, music and other entertainment

668 for political meetings and events; (X) reasonable compensation for  
669 public speakers hired by the committee; (Y) transporting electors to the  
670 polls and other get-out-the-vote activities on election day, and (Z) any  
671 other necessary campaign or political expense.

672 (3) Nothing in this section shall prohibit a candidate from  
673 purchasing equipment from [his] the candidate's personal funds and  
674 leasing or renting such equipment to [his] the candidate's candidate  
675 committee or [his] the candidate's exploratory committee, provided the  
676 candidate and [his] the candidate's campaign treasurer sign a written  
677 lease or rental agreement. Such agreement shall include the lease or  
678 rental price, which shall not exceed the fair lease or rental value of the  
679 equipment. The candidate shall not receive lease or rental payments  
680 which in the aggregate exceed [his] the candidate's cost of purchasing  
681 the equipment.

682 (4) As used in this subdivision, expenditures for "personal use"  
683 include expenditures to defray normal living expenses for the  
684 candidate or the immediate family of the candidate and expenditures  
685 for the personal benefit of the candidate having no direct connection  
686 with, or effect upon, the campaign of the candidate. No goods,  
687 services, funds and contributions received by any committee under  
688 this chapter shall be used or be made available for the personal use of  
689 any candidate. No candidate or candidate committee shall use such  
690 goods, services, funds or contributions for any purpose other than  
691 campaign purposes permitted by this chapter or expenses incurred in  
692 preparation for taking office.

693 (h) No campaign treasurer of [a political committee] an exploratory  
694 committee or a referendum committee may provide an honorarium to,  
695 compensate or make a gift to, any elected public official who is subject  
696 to the provisions of this chapter, for any speaking engagement or other  
697 services rendered on behalf of such committee, except that the  
698 provisions of this subsection shall not apply to [: (1) Reimbursement]  
699 reimbursement for actual travel expenses or food and beverage for the  
700 personal consumption of such public official or members of his

701 immediate family, in connection with the rendering of any such  
702 services by the public official. [; or (2) any contribution made to such  
703 public official in connection with his campaign for nomination or  
704 election to an office or position included in this chapter, which is  
705 reported in accordance with the provisions of this chapter.] Except as  
706 provided in this subsection, no such elected public official may receive  
707 any gift, honorarium or compensation from [a political committee] an  
708 exploratory committee or a referendum committee.

709 (i) The right of any person to expend money for proper legal  
710 expenses in maintaining or contesting the results of any election shall  
711 not be affected or limited by the provisions of this chapter.

712 (j) A candidate or [his] the candidate's committee worker shall be  
713 reimbursed by the campaign treasurer for any permissible expenditure  
714 which the candidate or committee worker has paid from his own  
715 personal funds if (1) the campaign treasurer authorized the  
716 expenditure, (2) the candidate or worker provides the campaign  
717 treasurer with a written receipt from the vendor proving [his] the  
718 candidate's or worker's payment of the expenditure, and (3) in the case  
719 of a reimbursement to the candidate, a detailed accounting of the  
720 expenditure is included in the report of the campaign treasurer. The  
721 campaign treasurer shall preserve all such receipts for the same period  
722 of time as required in the case of cancelled checks, except that the  
723 campaign treasurer of a candidate committee may, upon request of the  
724 candidate, give such receipts to the candidate to keep for such period.

725 (k) A candidate shall report to [his] the candidate's campaign  
726 treasurer each campaign expenditure of more than fifty dollars which  
727 [he] the candidate has made directly from [his] the candidate's own  
728 personal funds, except those expenditures for [his] the candidate's own  
729 telephone calls, travel and meals for which the candidate does not seek  
730 reimbursement from [his] the candidate's committee, by the close of  
731 the reporting period in which the expenditures were made. The  
732 candidate shall indicate whether or not [he] the candidate expects  
733 reimbursement by the committee. The campaign treasurer shall report

734 all such reimbursed and nonreimbursed expenditures as "campaign  
735 expenses paid by the candidate" on the sworn financial statements [he]  
736 the campaign treasurer is required to file in accordance with section 9-  
737 333j and in the same manner as committee expenditures.

738 [(l) Each check issued by the campaign treasurer of a political  
739 committee to a candidate committee, party committee or another  
740 political committee (1) shall have typed, stamped, or printed other  
741 than by hand, on its face, the name and address of the political  
742 committee making the contribution and (2) shall legibly indicate the  
743 name of the campaign treasurer of the political committee.]

744 [(m)] (l) Any obligation or restriction imposed by this section and  
745 sections 9-333j, 9-333l, 9-333m, 9-333o, [9-333q,] 9-333r, [9-333t,] 9-333v,  
746 9-333w, 9-333x and 9-333y on a campaign treasurer or a candidate  
747 committee shall be deemed to be imposed on any candidate who is  
748 exempt from forming a candidate committee and has filed a  
749 certification pursuant to subsection (b) of section 9-333f with the  
750 proper authority.

751 Sec. 10. Section 9-333j of the general statutes is repealed and the  
752 following is substituted in lieu thereof:

753 (a) (1) Each campaign treasurer of a committee, other than a state  
754 central committee, shall file a statement, sworn under penalty of false  
755 statement with the proper authority in accordance with the provisions  
756 of section 9-333e, (A) on the second Thursday in the months of  
757 January, April, July and October, (B) on the seventh day preceding  
758 each regular state election, except that (i) in the case of a candidate or  
759 exploratory committee established for an office to be elected at a  
760 municipal election, the statement shall be filed on the seventh day  
761 preceding a regular municipal election in lieu of such date, and (ii) in  
762 the case of a town committee, the statement shall be filed on the  
763 seventh day preceding each municipal election in addition to such  
764 date, and (C) if the committee has made or received a contribution or  
765 expenditure in connection with any other election, a primary or a

766 referendum, on the seventh day preceding the election, primary or  
767 referendum. The statement shall be complete as of seven days  
768 immediately preceding the required filing day, and shall cover a  
769 period to begin with the first day not included in the last filed  
770 statement except that the January statement, when filed by a party  
771 committee, [or a political committee organized for the purpose of  
772 ongoing political activities,] shall cover all contributions made or  
773 received and all expenditures made as of midnight on December  
774 thirty-first of the preceding calendar year.

775 (2) Each campaign treasurer of a candidate committee, within forty-  
776 five days following any election and within thirty days following any  
777 primary, and each campaign treasurer of a [political committee formed  
778 for a single primary, election or referendum] referendum committee,  
779 within forty-five days after any [election or] referendum, shall file  
780 statements in the same manner as is required of them under  
781 subdivision (1) of this subsection. If the campaign treasurer of a  
782 candidate committee established by a candidate, who is unsuccessful  
783 in the primary or has terminated [his] the candidate's candidacy prior  
784 to the primary, distributes all surplus funds within thirty days  
785 following the scheduled primary and discloses the distribution on the  
786 postprimary statement, such campaign treasurer shall not be required  
787 to file any subsequent statement unless the committee has a deficit, in  
788 which case [he] the campaign treasurer shall file any required  
789 statements in accordance with the provisions of subdivision (3) of  
790 subsection (e) of this section.

791 (3) In the case of state central committees, on each January thirtieth,  
792 April tenth and July tenth, and on the twelfth day preceding any  
793 election, the campaign treasurer of each such committee shall file with  
794 the proper authority, a statement, sworn under penalty of false  
795 statement, complete as of the last day of the month immediately  
796 preceding the month in which such statement is to be filed in the case  
797 of statements required to be filed in January, April and July, and  
798 complete as of the nineteenth day preceding an election, in the case of  
799 the statement required to be filed on the twelfth day preceding an

800 election, and in each case covering a period to begin with the first day  
801 not included in the last filed statement.

802 (b) The statements required to be filed under subsection (a) of this  
803 section and subdivisions (2) and (3) of subsection (e) of this section,  
804 shall not be required to be filed by: (1) A candidate committee or  
805 [political committee formed for a single primary or election]  
806 exploratory committee until such committee receives or expends an  
807 amount in excess of one thousand dollars for purposes of the primary  
808 or election for which such committee was formed; (2) a [political  
809 committee formed solely to aid or promote the success or defeat of any  
810 referendum question] referendum committee until such committee  
811 receives or expends an amount in excess of one thousand dollars; or (3)  
812 a party [or political] committee [organized for ongoing political  
813 activities] until such committee receives or expends an amount in  
814 excess of one thousand dollars for the calendar year except the  
815 statements required to be filed on the second Thursday in the month of  
816 January and on the seventh day preceding any election shall be so  
817 filed. The provisions of this subsection shall not apply to state central  
818 committees or to the statement required to be filed by an exploratory  
819 committee upon its termination. A committee which is exempted from  
820 filing statements under the provisions of this subsection shall file in  
821 lieu thereof a statement sworn under penalty of false statement,  
822 indicating that the committee has not received or expended an amount  
823 in excess of one thousand dollars.

824 (c) (1) Each statement filed under subsection (a), (e) or (f) of this  
825 section shall include, but not be limited to: (A) An itemized accounting  
826 of each contribution, if any, including the full name and complete  
827 address of each contributor and the amount of the contribution; (B) in  
828 the case of anonymous contributions, the total amount received and  
829 the denomination of the bills; (C) an itemized accounting of each  
830 expenditure, if any, including the full name and complete address of  
831 each payee, the amount and the purpose of the expenditure, the  
832 candidate supported or opposed by the expenditure, whether the  
833 expenditure is made independently of the candidate supported or is an

834 in-kind contribution to the candidate, and a statement of the balance  
835 on hand or deficit, as the case may be; (D) an itemized accounting of  
836 each expense incurred but not paid; (E) the name and address of any  
837 person who is the guarantor of a loan to, or the cosigner of a note with,  
838 the candidate on whose behalf the committee was formed, or the  
839 campaign treasurer in the case of a party committee [or a political  
840 committee or] who has advanced a security deposit to a telephone  
841 company, as defined in section 16-1, for telecommunications service  
842 for a committee; [(F) for each business entity or person purchasing  
843 advertising space in a program for a fund-raising affair, the name and  
844 address of the business entity and the name of the chief executive  
845 officer of the business entity or the name and address of the person,  
846 and the amount and aggregate amounts of such purchases; (G)] (F) for  
847 each individual who contributes in excess of [one hundred dollars but  
848 not more than one thousand dollars, in the aggregate, to the extent  
849 known] thirty dollars in the aggregate, the principal occupation of  
850 such individual and the name of the individual's employer, if any, [;  
851 (H) for each individual who contributes in excess of one thousand  
852 dollars in the aggregate, the principal occupation of such individual,  
853 the name of the individual's employer, if any,] and a statement  
854 indicating whether the individual or a business with which he is  
855 associated has a contract with the state which is valued at more than  
856 five thousand dollars; and [(I)] (G) for each itemized contribution  
857 made by a lobbyist, the spouse of a lobbyist or any dependent child of  
858 a lobbyist who resides in the lobbyist's household, a statement to that  
859 effect. Each campaign treasurer shall include in such statement an  
860 itemized accounting of the receipts and expenditures relative to any  
861 testimonial affair held under the provisions of section 9-333k or any  
862 other fund-raising affair.

863 (2) Each contributor described in subparagraph [(G), (H) or (I)] (F)  
864 or (G) of subdivision (1) of this subsection shall, at the time [he] the  
865 contributor makes such a contribution, provide the information which  
866 the campaign treasurer is required to include under said subparagraph  
867 in the statement filed under subsection (a), (e) or (f) of this section.

868 Notwithstanding any provision of subdivision (2) of section 9-7b, any  
869 contributor described in subparagraph [(G)] (F) of subdivision (1) of  
870 this subsection who does not provide such information at the time [he]  
871 the contributor makes such a contribution and any treasurer shall not  
872 be subject to the provisions of subdivision (2) of section 9-7b. If a  
873 campaign treasurer receives a contribution from an individual which  
874 separately, or in the aggregate, is in excess of [one thousand] thirty  
875 dollars and the contributor has not provided the information required  
876 by said subparagraph [(H)] (F), the campaign treasurer: (i) Within  
877 [three] seven business days after receiving the contribution, shall send  
878 a request for such information to the contributor by certified mail,  
879 return receipt requested; (ii) shall not deposit the contribution until  
880 [he] the campaign treasurer obtains such information from the  
881 contributor, notwithstanding the provisions of section 9-333h; and (iii)  
882 shall return the contribution to the contributor if the contributor does  
883 not provide the required information within fourteen days after the  
884 treasurer's written request or the end of the reporting period in which  
885 the contribution was received, whichever is later. Any failure of a  
886 contributor to provide the information which the campaign treasurer is  
887 required to include under said subparagraph [(G) or (I)] (F) or (G),  
888 which results in noncompliance by the campaign treasurer with the  
889 provisions of said subparagraph [(G) or (I)] (F) or (G), shall be a  
890 complete defense to any action against the campaign treasurer for  
891 failure to disclose such information.

892 (3) Contributions from a single individual to a campaign treasurer  
893 in the aggregate totaling thirty dollars or less need not be individually  
894 identified in the statement, but a sum representing the total amount of  
895 all such contributions made by all such individuals during the period  
896 to be covered by such statement shall be a separate entry, identified  
897 only by the words "total contributions from small contributors".

898 (4) Statements filed in accordance with this section shall remain  
899 public records of the state for five years from the date such statements  
900 are filed.

901 (d) At the time of filing statements required under this section, the  
902 campaign treasurer of each candidate committee shall send to the  
903 candidate a duplicate statement and the campaign treasurer of each  
904 party committee and each [political committee other than an  
905 exploratory committee] referendum committee shall send to the  
906 chairman of the committee a duplicate statement. Each statement  
907 required to be filed under this section and subsection (g) of section 9-  
908 333l, shall be deemed to be filed in a timely manner if it is delivered by  
909 hand to the office of the proper authority before four-thirty o'clock  
910 p.m. or postmarked by the United States Postal Service before  
911 midnight on the required filing day. If the day for any such filing falls  
912 on a Saturday, Sunday or legal holiday, the statement shall be filed on  
913 the next business day thereafter.

914 (e) (1) Notwithstanding any provisions of this chapter to the  
915 contrary, in the event of a surplus the campaign treasurer of a  
916 candidate committee or of a [political committee, other than a political  
917 committee formed for ongoing political activities or an exploratory  
918 committee] referendum committee shall distribute or expend such  
919 surplus within ninety days after a primary which results in the defeat  
920 of the candidate, an election or referendum, in the following manner:

921 (A) Such [committees] candidate committee may distribute [their]  
922 its surplus to a party committee, [or a political committee organized  
923 for ongoing political activities,] return such surplus to all contributors  
924 to the committee on a prorated basis of contribution, or distribute such  
925 surplus to any charitable organization which is a tax-exempt  
926 organization under Section 501(c)(3) of the Internal Revenue Code of  
927 1986, or any subsequent corresponding internal revenue code of the  
928 United States, as from time to time amended, provided no candidate  
929 committee may distribute such surplus to a committee which has been  
930 established to finance future political campaigns of the candidate;

931 [(B) Each such political committee established by an organization  
932 which received its funds from the organization's treasury shall return  
933 its surplus to its sponsoring organization;]

934 [(C) (i) Each political committee formed solely to aid or promote the  
935 success or defeat of any referendum question, which does not receive  
936 contributions from a business entity or an organization, shall distribute  
937 its surplus to a party committee, to a political committee organized for  
938 ongoing political activities, to a national committee of a political party,  
939 to all contributors to the committee on a prorated basis of contribution,  
940 to state or municipal governments or agencies or to any organization  
941 which is a tax-exempt organization under Section 501(c)(3) of the  
942 Internal Revenue Code of 1986, or any subsequent corresponding  
943 internal revenue code of the United States, as from time to time  
944 amended, (ii) each political committee formed solely to aid or promote  
945 the success or defeat of any referendum question, which receives  
946 contributions from a business entity or an organization,]

947 (B) Each referendum committee shall distribute its surplus to all  
948 contributors to the committee on a prorated basis of contribution, to  
949 state or municipal governments or agencies, or to any organization  
950 which is tax-exempt under said provisions of the Internal Revenue  
951 Code;

952 [(D)] (C) The campaign treasurer of the candidate committee of a  
953 candidate who is elected to office may, upon the authorization of such  
954 candidate, expend surplus campaign funds to pay for the cost of  
955 clerical, secretarial or other office expenses necessarily incurred by  
956 such candidate in preparation for taking office; except such surplus  
957 shall not be distributed for the personal benefit of any individual or to  
958 any organization; and

959 [(E)] (D) The campaign treasurer of a candidate committee [, or of a  
960 political committee, other than a political committee formed for  
961 ongoing political activities or an exploratory committee,] shall, prior to  
962 the dissolution of such committee, either (i) distribute any equipment  
963 purchased, including but not limited to computer equipment, to any  
964 recipient as set forth in subparagraph (A) of this subdivision or (ii) sell  
965 any equipment purchased, including but not limited to computer  
966 equipment, to any person for fair market value and then distribute the

967 proceeds of such sale to any recipient as set forth in said subparagraph  
968 (A).

969 (2) Notwithstanding any provisions of this chapter to the contrary,  
970 the campaign treasurer of the candidate committee of a candidate who  
971 has withdrawn from a primary or election may, prior to the primary or  
972 election, distribute its surplus to any organization which is tax-exempt  
973 under Section 501(c)(3) of the Internal Revenue Code of 1986, or any  
974 subsequent corresponding internal revenue code of the United States,  
975 as from time to time amended, or return such surplus to all  
976 contributors to the committee on a prorated basis of contribution.

977 (3) Within seven days after such distribution or within seven days  
978 after all funds have been expended in accordance with subparagraph  
979 [(D)] (C) of subdivision (1) of this subsection, the campaign treasurer  
980 shall file a supplemental statement, sworn under penalty of false  
981 statement, with the proper authority, identifying all further  
982 contributions received since the previous statement and explaining  
983 how any surplus has been distributed or expended in accordance with  
984 this section. No surplus may be distributed or expended until after the  
985 election, primary or referendum.

986 (4) In the event of a deficit the campaign treasurer shall file a  
987 supplemental statement ninety days after the election, primary or  
988 referendum with the proper authority and, thereafter, on the seventh  
989 day of each month following if on the last day of the previous month  
990 there was an increase or decrease in the deficit in excess of five  
991 hundred dollars from that reported on the last statement filed. The  
992 campaign treasurer shall file such supplemental statements as required  
993 until the deficit is eliminated. If any such committee does not have a  
994 surplus or a deficit, the statement required to be filed within forty-five  
995 days following any election or referendum or within thirty days  
996 following any primary shall be the last required statement.

997 (f) If an exploratory committee has been established by a candidate  
998 pursuant to subsection (c) of section 9-333f, the campaign treasurer of

999 the committee shall file a notice of intent to dissolve it with the  
1000 appropriate authority not later than fifteen days after the candidate's  
1001 declaration of intent to seek nomination or election to a particular  
1002 public office. The campaign treasurer shall also file a statement  
1003 identifying all contributions received or expenditures made by the  
1004 exploratory committee since the previous statement and the balance on  
1005 hand or deficit, as the case may be. In the event of a surplus, the  
1006 campaign treasurer shall, not later than the filing of the statement,  
1007 distribute the surplus to the candidate committee established pursuant  
1008 to said section, except that in the case of a surplus of an exploratory  
1009 committee established for nomination or election to an office other  
1010 than the General Assembly or a state office, as defined in subsection (e)  
1011 of section 9-333l, (1) the campaign treasurer may only distribute to the  
1012 candidate committee for nomination or election to the General  
1013 Assembly or state office, as so defined, of such candidate that portion  
1014 of such surplus which is in excess of the total contributions which the  
1015 exploratory committee received from lobbyists, [or political  
1016 committees established by lobbyists,] during any period in which the  
1017 prohibitions in said subsection (e) of section 9-333l apply and (2) any  
1018 remaining amount shall be returned to all such lobbyists, [and political  
1019 committees established by or on behalf of lobbyists,] on a prorated  
1020 basis of contribution, or distributed to any charitable organization  
1021 which is a tax-exempt organization under Section 501(c)(3) of the  
1022 Internal Revenue Code of 1986, or any subsequent corresponding  
1023 internal revenue code of the United States, as from time to time  
1024 amended. If the candidate decides not to seek nomination or election  
1025 to any office, the campaign treasurer shall, within fifteen days after  
1026 such decision, comply with the provisions of this subsection and  
1027 distribute any surplus in the manner provided by this section for  
1028 [political committees other than those formed for ongoing political  
1029 activities] candidate committees. In the event of a deficit, the campaign  
1030 treasurer shall file a statement thirty days after the decision or  
1031 declaration with the proper authority and, thereafter, on the seventh  
1032 day of each month following if on the last day of the previous month  
1033 there was an increase or decrease in such deficit in excess of five

1034 hundred dollars from that reported on the last statement filed. The  
1035 campaign treasurer shall file supplemental statements until the deficit  
1036 is eliminated. If the exploratory committee does not have a surplus or  
1037 deficit, the statement filed after the candidate's declaration or decision  
1038 shall be the last required statement.

1039 Sec. 11. Subsection (a) of section 9-333k of the general statutes is  
1040 repealed and the following is substituted in lieu thereof:

1041 (a) The chairman of each party committee shall designate a  
1042 campaign treasurer and may designate a deputy campaign treasurer,  
1043 or in the case of a state central committee, not more than two deputy  
1044 campaign treasurers. The campaign treasurer and any deputy  
1045 campaign treasurers so designated shall sign a statement accepting the  
1046 designation, which shall be filed with the proper authority with the  
1047 statement of designation required under subdivision (1) of subsection  
1048 (a) of section 9-333d. No state central committee or town committee  
1049 shall establish a committee other than a single party committee for  
1050 purposes of this chapter. [A party committee or a political committee  
1051 organized for ongoing political activities shall form no other political  
1052 committees, except that two or more such committees may join to form  
1053 a political committee for the purpose of a single fund-raising event.]

1054 Sec. 12. Section 9-333l of the general statutes is repealed and the  
1055 following is substituted in lieu thereof:

1056 (a) Any provision of this chapter to the contrary notwithstanding, a  
1057 candidate committee may join with one or more candidate committees  
1058 to establish a [political] committee for the purpose of sponsoring one  
1059 or more fund-raising events for those candidates. Any individual,  
1060 other than a candidate benefited, who is eligible and qualifies to serve  
1061 in accordance with the provisions of subsection (d) of section 9-333h  
1062 may serve as the campaign treasurer or deputy campaign treasurer of  
1063 such a [political] committee. The statements required to be filed by a  
1064 [political committee] candidate committee under this chapter shall  
1065 apply to any [political] committee established pursuant to this

1066 subsection. After all expenses of the [political] committee have been  
1067 paid by its campaign treasurer for each event, [he] the campaign  
1068 treasurer shall distribute all remaining funds from such event to the  
1069 campaign treasurers of each of the candidate committees which  
1070 established the [political] committee. The distribution to each  
1071 candidate committee shall be made either in accordance with a prior  
1072 agreement of the candidates or, if no prior agreement was made, in  
1073 equal proportions to each candidate committee. Any contribution  
1074 which is made to such [political] committee shall, for purposes of  
1075 determining compliance with the limitations imposed by this chapter,  
1076 be deemed to have been made in equal proportions to each candidate's  
1077 campaign unless (1) a prior agreement was made by the candidates as  
1078 to the disposition of remaining funds and (2) those who contributed to  
1079 the [political] committee were notified of such disposition, in which  
1080 case the contribution shall be deemed to have been made to each  
1081 candidate's campaign in accordance with the agreement.

1082 (b) A candidate committee may pay its pro rata share of the  
1083 expenses of operating a campaign headquarters and of preparing,  
1084 printing and disseminating any political communication on behalf of  
1085 that candidate and any other candidate or candidates.  
1086 Notwithstanding the provisions of subdivision (1) of subsection (a) of  
1087 section 9-333r, a candidate committee may reimburse a party  
1088 committee for any expenditure such party committee has incurred for  
1089 the benefit of such candidate committee.

1090 (c) A candidate may make any expenditure permitted by section 9-  
1091 333i to aid or promote the success of [his] the candidate's campaign for  
1092 nomination or election from [his] the candidate's personal funds, or the  
1093 funds of his immediate family, which for the purposes of this chapter  
1094 shall consist of the candidate's spouse and issue. Any such expenditure  
1095 shall not be deemed a contribution to any committee.

1096 (d) (1) No incumbent holding office shall, during the three months  
1097 preceding an election in which [he] the incumbent is a candidate for  
1098 reelection or election to another office, use public funds to mail or print

1099 flyers or other promotional materials intended to bring about his  
1100 election or reelection.

1101 (2) No official or employee of the state or a political subdivision of  
1102 the state shall authorize the use of public funds for a television, radio,  
1103 newspaper or magazine promotional campaign or advertisement,  
1104 which (A) features the name, face or voice of a candidate for public  
1105 office or (B) promotes the nomination or election of a candidate for  
1106 public office, during the five-month period preceding the election  
1107 being held for the office which the candidate described in this  
1108 subdivision is seeking.

1109 (e) For purposes of this subsection and subsection (f) of this section,  
1110 the exclusions to the term "contribution" in subsection (b) of section 9-  
1111 333b shall not apply; the term "state office" means the office of  
1112 Governor, Lieutenant Governor, Attorney General, State Comptroller,  
1113 State Treasurer or Secretary of the State; and the term "state officer"  
1114 means the Governor, Lieutenant Governor, Attorney General, State  
1115 Comptroller, State Treasurer or Secretary of the State. Notwithstanding  
1116 any provision of this chapter to the contrary, during any regular  
1117 session of the General Assembly, during any special session of the  
1118 General Assembly held between the adjournment of the regular  
1119 session in an odd-numbered year and the convening of the regular  
1120 session in the following even-numbered year or during any  
1121 reconvened session of the General Assembly held in an odd-numbered  
1122 year to reconsider vetoed bills, (1) no lobbyist [or political committee  
1123 established by or on behalf of a lobbyist] shall make or offer to make a  
1124 contribution to or on behalf of, and no lobbyist shall solicit a  
1125 contribution on behalf of, [(A)] a candidate or exploratory committee  
1126 established by a candidate for nomination or election to the General  
1127 Assembly or a state office, [or (B) a political committee (i) established  
1128 for an assembly or senatorial district, (ii) established by a member of  
1129 the General Assembly or a state officer or such member or officer's  
1130 agent, or in consultation with, or at the request or suggestion of, any  
1131 such member, officer or agent, or (iii) controlled by such member,  
1132 officer or agent, to aid or promote the nomination or election of any

1133 candidate or candidates to the General Assembly or a state office,] and  
1134 (2) no such candidate [or political committee] shall accept such a  
1135 contribution. The provisions of this subsection shall not apply to a  
1136 candidate committee established by a member of the General  
1137 Assembly or a candidate for nomination or election to the General  
1138 Assembly, at a special election for the General Assembly, from the date  
1139 on which the candidate or the chairman of the committee files the  
1140 designation of a campaign treasurer and a depository institution under  
1141 section 9-333d with the Secretary of the State, to the date on which the  
1142 special election is held, inclusive, or to an exploratory committee  
1143 established by a member of the General Assembly to promote his  
1144 candidacy for an office other than the General Assembly.

1145 [(f) A political committee established by two or more individuals  
1146 under subparagraph (B) of subsection (3) of section 9-333a, other than a  
1147 committee established solely for the purpose of aiding or promoting  
1148 any candidate or candidates for municipal office or the success or  
1149 defeat of a referendum question, shall be subject to the prohibition on  
1150 acceptance of lobbyist contributions under subsection (e) of this section  
1151 unless the campaign treasurer of the committee has filed a certification  
1152 that the committee is not established for an assembly or senatorial  
1153 district, or by a member of the General Assembly or a state officer, or  
1154 such member or officer's agent, or in consultation with, or at the  
1155 request or suggestion of, any such member, officer or agent, or  
1156 controlled by such member, officer or agent. The campaign treasurer of  
1157 any political committee established by or on behalf of a lobbyist shall  
1158 file a certification to that effect. Such certifications shall be filed with  
1159 the office of the Secretary of the State, on forms prescribed by the  
1160 secretary, on or before November 15, 1994, for all such political  
1161 committees in existence on such date, or upon the registration of the  
1162 committee, and on or before November fifteenth biennially thereafter.  
1163 The secretary shall provide to the State Elections Enforcement  
1164 Commission on or before December 1, 1994, and biennially thereafter,  
1165 a political committee registration report. The report shall include a  
1166 certified copy of each certification filed pursuant to this subsection

1167 prior to December first of the reporting year and a certified copy of a  
1168 list stating the name of each political committee registered pursuant to  
1169 section 9-333g prior to December first of the reporting year and the  
1170 name and address of the campaign treasurer of each such committee.  
1171 In the case of any political committee which registers or files a  
1172 certification on or after December first of any even-numbered year but  
1173 prior to November first of the following even-numbered year, the  
1174 secretary shall provide the commission with a copy of each such  
1175 registration or certification by the close of the next business day  
1176 following receipt. Such registration information or certification shall  
1177 also be included in the biennial political committee registration report  
1178 of the secretary to the commission. The commission shall prepare a list  
1179 of all such committees subject to the prohibitions under subsection (e)  
1180 of this section, according to the certifications filed, which shall be  
1181 available prior to the opening of each regular session of the General  
1182 Assembly, and shall provide a copy of the list to the president pro  
1183 tempore of the Senate, the speaker of the House of Representatives, the  
1184 minority leader of the Senate, the minority leader of the House of  
1185 Representatives and each state officer. During each such regular  
1186 session, the commission shall prepare a supplemental list of  
1187 committees which register after November fifteenth and are subject to  
1188 such prohibitions, and the commission shall provide the supplemental  
1189 list to such legislative leaders and state officers. The filing of the  
1190 certification by the campaign treasurer of the committee shall not  
1191 impair the authority of the commission to act under section 9-7b. Any  
1192 lobbyist or campaign treasurer who acts in reliance on such lists in  
1193 good faith shall have an absolute defense in any action brought under  
1194 subsection (e) and this subsection, subsection (c) of section 9-333f, and  
1195 subsection (f) of section 9-333j.]

1196 [(g)] (f) As used in this subsection, "immediate family" means any  
1197 spouse or dependent child who resides in a lobbyist's household. Each  
1198 lobbyist who is an individual and, in conjunction with members of his  
1199 immediate family, makes contributions to or purchases from  
1200 committees exceeding one thousand dollars in the aggregate during

1201 the twelve-month period beginning July 1, 1993, or July first in any  
1202 year thereafter, shall file a statement, sworn under penalty of false  
1203 statement, with the Secretary of the State in accordance with the  
1204 provisions of section 9-333e, on the second Thursday in July following  
1205 the end of such twelve-month period. The statement shall include: (1)  
1206 The name of each committee to which the lobbyist or a member of his  
1207 immediate family has made a contribution and the amount and date of  
1208 each such contribution; and (2) the name of each committee from  
1209 which the lobbyist or member of his immediate family has purchased  
1210 any item of property [or advertising space in a program] in connection  
1211 with a fund-raising event which is not considered a contribution under  
1212 subsection (b) of section 9-333b and the amount, date and description  
1213 of each such purchase. Each lobbyist who is an individual and who, in  
1214 conjunction with members of his immediate family, does not make  
1215 contributions to or purchases from committees exceeding one  
1216 thousand dollars in the aggregate during any such twelve-month  
1217 period shall file a statement, sworn under penalty of false statement,  
1218 with the Secretary of the State in accordance with the provisions of  
1219 section 9-333e, on the second Thursday in July, so indicating.

1220 Sec. 13. Section 9-333m of the general statutes is repealed and the  
1221 following is substituted in lieu thereof:

1222 (a) No individual shall make a contribution or contributions to, for  
1223 the benefit of, or pursuant to the authorization or request of, a  
1224 candidate or a committee supporting or opposing any candidate's  
1225 campaign for nomination at a primary, or any candidate's campaign  
1226 for election, to the office of (1) Governor, in excess of two thousand  
1227 five hundred dollars; (2) Lieutenant Governor, Secretary of the State,  
1228 Treasurer, Comptroller or Attorney General, in excess of one thousand  
1229 five hundred dollars; (3) chief executive officer of a town, city or  
1230 borough, in excess of one thousand dollars; (4) state senator or probate  
1231 judge, in excess of five hundred dollars; or (5) state representative or  
1232 any other office of a municipality not previously included in this  
1233 subsection, in excess of two hundred fifty dollars. The limits imposed  
1234 by this subsection shall be applied separately to primaries and

1235 elections.

1236 (b) In the case of one or more convention delegate primaries in  
1237 which a slate of candidates for the position of convention delegate are  
1238 committed to a single candidate for state or district office who has  
1239 consented to financing the slate's campaign solely by his candidate  
1240 committee in accordance with section 9-333f, an individual may make  
1241 an additional contribution or contributions to such candidate  
1242 committee not in excess of two hundred fifty dollars for each delegate  
1243 primary financed in such manner.

1244 (c) No individual shall make a contribution or contributions to, or  
1245 for the benefit of, an exploratory committee or a [political committee]  
1246 candidate committee formed by a slate of candidates in a primary for  
1247 the position of delegate to the same convention, in excess of two  
1248 hundred fifty dollars.

1249 (d) No individual shall make contributions to such candidates or  
1250 committees which in the aggregate exceed fifteen thousand dollars for  
1251 any single election and primary preliminary thereto.

1252 (e) No individual shall make a contribution to any candidate or  
1253 committee, other than a contribution in kind, in excess of one hundred  
1254 dollars except by personal check of that individual.

1255 (f) No individual who is less than sixteen years of age shall make a  
1256 contribution or contributions, in excess of thirty dollars to, for the  
1257 benefit of, or pursuant to the authorization or request of: (1) A  
1258 candidate or a committee supporting or opposing any candidate's  
1259 campaign for nomination at a primary to any office; (2) a candidate or  
1260 a committee supporting or opposing any candidate's campaign for  
1261 election to any office; (3) an exploratory committee; or [(4) any other  
1262 political committee in any calendar year; or (5)] (4) a party committee  
1263 in any calendar year. Notwithstanding any provision of subdivision (2)  
1264 of section 9-7b, any individual who is less than sixteen years of age  
1265 who violates any provision of this subsection shall not be subject to the  
1266 provisions of subdivision (2) of section 9-7b.

1267 Sec. 14. Section 9-333n of the general statutes is repealed and the  
1268 following is substituted in lieu thereof:

1269 (a) No individual shall make a contribution or contributions in any  
1270 one calendar year in excess of five thousand dollars to the state central  
1271 committee of any party, or for the benefit of such committee pursuant  
1272 to its authorization or request; or one thousand dollars to a town  
1273 committee of any political party, or for the benefit of such committee  
1274 pursuant to its authorization or request. ]; or one thousand dollars to a  
1275 political committee other than (1) a political committee formed solely  
1276 to aid or promote the success or defeat of a referendum question, (2) an  
1277 exploratory committee, (3) a political committee established by an  
1278 organization, or for the benefit of such committee pursuant to its  
1279 authorization or request or (4) a political committee formed by a slate  
1280 of candidates in a primary for the position of delegate to the same  
1281 convention.] No individual who intends to make a contribution to any  
1282 clearly identifiable candidate's campaign for nomination or election to  
1283 any public office may do so unless the contribution is made directly to  
1284 the candidate's designated candidate or exploratory committee or to a  
1285 solicitor appointed by the campaign treasurer of such committee. A  
1286 party committee may not accept a contribution from an individual  
1287 which is intended to be made for such purpose. This prohibition shall  
1288 not apply to a contribution made to benefit a slate of candidates whose  
1289 campaigns are funded solely by a party committee.

1290 [(b) No individual shall make a contribution to a political committee  
1291 established by an organization which receives its funds from the  
1292 organization's treasury. With respect to a political committee  
1293 established by an organization which has complied with the provisions  
1294 of subsection (b) or (c) of section 9-333p, and has elected to receive  
1295 contributions, no individual other than a member of the organization  
1296 may make contributions to the committee, in which case the individual  
1297 may contribute not more than five hundred dollars in any one calendar  
1298 year to such committee or for the benefit of such committee pursuant  
1299 to its authorization or request.

1300 (c) In no event may any individual make contributions to a  
1301 candidate committee and a political committee formed solely to  
1302 support one candidate other than an exploratory committee or for the  
1303 benefit of a candidate committee and a political committee formed  
1304 solely to support one candidate pursuant to the authorization or  
1305 request of any such committee, in an amount which in the aggregate is  
1306 in excess of the maximum amount which may be contributed to the  
1307 candidate.]

1308 [(d)] (b) Any individual may make unlimited contributions or  
1309 expenditures to aid or promote the success or defeat of any  
1310 referendum question, provided any individual who makes an  
1311 expenditure or expenditures in excess of one thousand dollars to  
1312 promote the success or defeat of any referendum question shall file  
1313 statements according to the same schedule and in the same manner as  
1314 is required of a campaign treasurer of a [political committee]  
1315 referendum committee under section 9-333j.

1316 [(e)] (c) Any individual acting alone may, independent of any  
1317 candidate, agent of the candidate, or committee, make unlimited  
1318 expenditures to promote the success or defeat of any candidate's  
1319 campaign for election, or nomination at a primary, to any office or  
1320 position, provided any individual who makes an independent  
1321 expenditure or expenditures in excess of one thousand dollars to  
1322 promote the success or defeat of any candidate's campaign for election,  
1323 or nomination at a primary, to any such office or position shall file  
1324 statements according to the same schedule and in the same manner as  
1325 is required of a campaign treasurer of a candidate committee under  
1326 section 9-333j.

1327 [(f)] (d) (1) As used in this subsection, "investment services" means  
1328 legal services, investment banking services, investment advisory  
1329 services, underwriting services, financial advisory services or  
1330 brokerage firm services.

1331 (2) No individual who is an owner of a firm which provides

1332 investment services and to which the Treasurer pays compensation,  
1333 expenses or fees or issues a contract, and no individual who is  
1334 employed by such a firm as a manager, officer, director, partner or  
1335 employee with managerial or discretionary responsibilities to invest,  
1336 manage funds or provide investment services for brokerage,  
1337 underwriting and financial advisory activities which are in the  
1338 statutory and constitutional purview of the Treasurer, shall make a  
1339 contribution on or after October 1, 1995, to, or solicit contributions on  
1340 or after said date on behalf of, an exploratory committee or candidate  
1341 committee established by a candidate for nomination or election to the  
1342 office of Treasurer during the term of office of the Treasurer which  
1343 pays compensation, expenses or fees or issues a contract to such firm.

1344 (3) Neither the Treasurer, the Deputy Treasurer, any candidate for  
1345 the office of Treasurer nor any member of the Investment Advisory  
1346 Council established under section 3-13b may solicit contributions on  
1347 behalf of an exploratory committee or candidate committee established  
1348 by a candidate for nomination or election to any public office, from any  
1349 individual who is an owner of a firm which provides investment  
1350 services and to which the Treasurer pays compensation, expenses or  
1351 fees or issues a contract, or from any individual who is employed by  
1352 such a firm as a manager, officer, director, partner or employee with  
1353 managerial or discretionary responsibilities to invest, manage funds or  
1354 provide investment services for brokerage, underwriting and financial  
1355 advisory activities which are in the statutory and constitutional  
1356 purview of the Treasurer.

1357 (4) No member of the Investment Advisory Council appointed  
1358 under section 3-13b shall make a contribution to, or solicit  
1359 contributions on behalf of, an exploratory committee or candidate  
1360 committee established by a candidate for nomination or election to the  
1361 office of Treasurer.

1362 (5) No individual who is an owner of a firm which provides  
1363 investment services and to which the Treasurer pays compensation,  
1364 expenses or fees or issues a contract, and no individual who is

1365 employed by such a firm as a manager, officer, director, partner or  
1366 employee with managerial or discretionary responsibilities to invest,  
1367 manage funds or provide investment services for brokerage,  
1368 underwriting and financial advisory activities which are in the  
1369 statutory and constitutional purview of the Treasurer, may make a  
1370 contribution to, or solicit contributions on behalf of, an exploratory  
1371 committee or candidate committee established by a candidate for  
1372 nomination or election to any public office.

1373 (e) (1) As used in this subsection, "state officer" means the Governor,  
1374 Lieutenant Governor, Secretary of the State, Comptroller, Attorney  
1375 General or Treasurer.

1376 (2) (A) If a state officer signs or has substantial involvement in  
1377 negotiating a contract or contracts which, separately or in the  
1378 aggregate, have a value of fifty thousand dollars or more with a  
1379 business, no individual who is an owner, partner, director or officer of  
1380 said business, or a manager of said business who has substantial policy  
1381 or decision-making authority concerning the administration of the  
1382 contract shall make a contribution or contributions in excess of two  
1383 hundred fifty dollars to, or for the benefit of, said state officer's  
1384 campaign for nomination at a primary or reelection to the same office  
1385 or to the candidate committee of any individual's campaign for  
1386 nomination at a primary or reelection to the same office.

1387 (B) If a state officer signs or has substantial involvement in  
1388 negotiating a contract or contracts which, separately or in the  
1389 aggregate, have a value of fifty thousand dollars or more with a  
1390 business, no individual who is an owner, partner, director or officer of  
1391 said business, or a manager of said business who has substantial policy  
1392 or decision-making authority concerning the administration of the  
1393 contract shall make a contribution or contributions in excess of two  
1394 hundred fifty dollars to, or for the benefit of, said state officer's  
1395 campaign for nomination at a primary or election to any other public  
1396 office or to an exploratory committee formed by said state officer.

1397       (3) Each state officer shall keep a list of all businesses with contracts  
1398 which, separately or in the aggregate, have a value of fifty thousand  
1399 dollars or more, which the state officer has signed or had a substantial  
1400 involvement in negotiating. Said list shall be subject to disclosure  
1401 under the Freedom of Information Act and shall be available to the  
1402 State Elections Enforcement Commission. Each contract issued by a  
1403 state officer shall include the provisions of subdivision (2) of this  
1404 subsection as a condition of the contract. Each such business shall  
1405 maintain a list of the business' owners, partners, directors, officers and  
1406 managers with substantial policy or decision-making authority related  
1407 to the administration of such contracts and shall provide such list to  
1408 the State Elections Enforcement Commission upon request.

1409       (f) No lobbyist shall make a contribution or contributions to, or for  
1410 the benefit of, any candidate's campaign for nomination at a primary  
1411 or election to the office of (1) Governor, in excess of two hundred fifty  
1412 dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer,  
1413 Comptroller or Attorney General, in excess of one hundred fifty  
1414 dollars; (3) state senator, in excess of fifty dollars; or (4) state  
1415 representative, in excess of twenty five dollars. No lobbyist shall make  
1416 a contribution or contributions in any one calendar year in excess of  
1417 one thousand dollars to a state central committee, in excess of five  
1418 hundred dollars to a town committee.

1419       (g) Any candidate who (1) has filed a certification pursuant to  
1420 subdivision (2) or (3) of subsection (b) of section 9-333f, and (2)  
1421 personally makes an expenditure or expenditures in excess of one  
1422 thousand dollars to, or for the benefit of, said candidate's campaign for  
1423 nomination at a primary or election to an office or position shall file  
1424 statements according to the same schedule and in the same manner as  
1425 is required of a campaign treasurer of a candidate committee under  
1426 section 9-333j.

1427       Sec. 15. Section 9-333o of the general statutes is repealed and the  
1428 following is substituted in lieu thereof:

1429 (a) No business entity shall make any contributions or expenditures  
1430 to, or for the benefit of, any candidate's campaign for election to any  
1431 public office or position subject to this chapter or for nomination at a  
1432 primary for any such office or position, or to promote the defeat of any  
1433 candidate for any such office or position, or to promote the success or  
1434 defeat of any political party. [, except as provided in subsection (b) of  
1435 this section.]

1436 [(b) A business entity may make reasonable and necessary transfers  
1437 or disbursements to or for the benefit of a political committee  
1438 established by such business entity, for the administration of, or  
1439 solicitation of contributions to, such political committee. Nonmonetary  
1440 contributions by a business entity which are incidental in nature and  
1441 are directly attributable to the administration of such political  
1442 committee shall be exempt from the reporting requirements of this  
1443 chapter.]

1444 [(c)] (b) The provisions of this section shall not preclude a business  
1445 entity from making contributions or expenditures to promote the  
1446 success or defeat of a referendum question.

1447 [(d) A political committee organized by a business entity shall not  
1448 make a contribution or contributions to or for the benefit of any  
1449 candidate's campaign for nomination at a primary or any candidate's  
1450 campaign for election to the office of: (1) Governor, in excess of five  
1451 thousand dollars; (2) Lieutenant Governor, Secretary of the State,  
1452 Treasurer, Comptroller or Attorney General, in excess of three  
1453 thousand dollars; (3) state senator, probate judge or chief executive  
1454 officer of a town, city or borough, in excess of one thousand dollars; (4)  
1455 state representative, in excess of five hundred dollars; or (5) any other  
1456 office of a municipality not included in subdivision (3) of this  
1457 subsection, in excess of two hundred fifty dollars; or an exploratory  
1458 committee, in excess of two hundred fifty dollars. The limits imposed  
1459 by this subsection shall apply separately to primaries and elections and  
1460 contributions by any such committee to candidates designated in this  
1461 subsection shall not exceed one hundred thousand dollars in the

1462 aggregate for any single election and primary preliminary thereto.  
1463 Contributions to such committees shall also be subject to the  
1464 provisions of section 9-333t in the case of committees formed for  
1465 ongoing political activity or section 9-333u in the case of committees  
1466 formed for a single election or primary.

1467 (e) A political committee organized by a business entity may make  
1468 unlimited contributions to, or for the benefit of, another political  
1469 committee organized by a business entity or to a party committee. No  
1470 political committee organized by a business entity shall make a  
1471 contribution to an exploratory committee in excess of two hundred  
1472 fifty dollars. No such political committee shall make a contribution or  
1473 contributions in excess of two thousand dollars to any other kind of  
1474 political committee, in any one calendar year if organized for ongoing  
1475 political activities, or if formed for a single primary, election or  
1476 referendum, with respect to such primary, election or referendum.

1477 (f) As used in this subsection, "investment services" means legal  
1478 services, investment banking services, investment advisory services,  
1479 underwriting services, financial advisory services or brokerage firm  
1480 services. No political committee established by a firm which provides  
1481 investment services and to which the Treasurer pays compensation,  
1482 expenses or fees or issues a contract shall make a contribution on or  
1483 after October 1, 1995, to, or solicit contributions on or after said date on  
1484 behalf of, an exploratory committee or candidate committee  
1485 established by a candidate for nomination or election to the office of  
1486 Treasurer during the term of office of the Treasurer which does  
1487 business with such firm.]

1488 Sec. 16. Section 9-333p of the general statutes is repealed and the  
1489 following is substituted in lieu thereof:

1490 [(a) An organization may make contributions or expenditures, other  
1491 than those made to promote the success or defeat of a referendum  
1492 question, only by first forming its own political committee. The  
1493 political committee shall then be authorized to receive funds

1494 exclusively from the organization's treasury or from voluntary  
1495 contributions made by its members, but not both, from another  
1496 political committee or, from a candidate committee distributing a  
1497 surplus and (1) to make contributions or expenditures to, or for the  
1498 benefit of, a candidate's campaign or a political party or (2) to make  
1499 contributions to another political committee. No organization shall  
1500 form more than one political committee.

1501 (b) A political committee established by an organization may elect  
1502 to alter the manner in which it is funded if it complies with the  
1503 requirements of this subsection. The committee chairperson shall  
1504 notify the repository with which the committee's most recent statement  
1505 of organization is filed, in writing, of the committee's intent to alter its  
1506 manner of funding. Within fifteen days after the date of receipt of such  
1507 notification, the campaign treasurer of such political committee shall  
1508 return any funds remaining in the account of the committee to the  
1509 organization's treasury after payment of each outstanding liability.  
1510 Within seven days after the distribution and payments have been  
1511 made, the campaign treasurer shall file a statement with the same  
1512 repository itemizing each such distribution and payment. Upon such  
1513 filing, the campaign treasurer may receive voluntary contributions  
1514 from any member of the organization which established such  
1515 committee subject to the limitations imposed in subsection (b) of  
1516 section 9-333n.

1517 (c) The chairperson of each political committee established by an  
1518 organization on or after July 1, 1985, shall designate the manner in  
1519 which the committee shall be funded in the committee's statement of  
1520 organization.]

1521 No organization shall make any contributions or expenditures to, or  
1522 for the benefit of, any candidate's campaign for election to any public  
1523 office or position subject to this chapter or for nomination at a primary  
1524 for any such office or position, or to promote the defeat of any  
1525 candidate for any such office or position, or to promote the success or  
1526 defeat of any political party.

1527 Sec. 17. Section 9-333r of the general statutes is repealed and the  
1528 following is substituted in lieu thereof:

1529 (a) A candidate committee shall not make contributions to, or for the  
1530 benefit of, (1) a party committee, (2) a [political committee, except to a  
1531 political committee which has been formed for a slate of convention  
1532 delegates in a primary] referendum committee, (3) a committee of a  
1533 candidate for federal or out-of-state office, (4) a national committee, or  
1534 (5) another candidate committee except that a pro rata sharing of  
1535 certain expenses in accordance with subsection (b) of section 9-333l  
1536 shall be permitted.

1537 (b) A candidate committee shall not receive contributions from any  
1538 national committee or from a committee of a candidate for federal or  
1539 out-of-state office.

1540 Sec. 18. Section 9-333s of the general statutes is repealed and the  
1541 following is substituted in lieu thereof:

1542 (a) A party committee may make unlimited contributions to, or for  
1543 the benefit of, any of the following: (1) Another party committee; (2) a  
1544 candidate committee; (3) a national committee of a political party; (4) a  
1545 committee of a candidate for federal or out-of-state office or (5) [a  
1546 political committee] an exploratory committee or a referendum  
1547 committee. A party committee may also make contributions to a  
1548 charitable organization which is a tax-exempt organization under  
1549 Section 501(c)(3) of the Internal Revenue Code, as from time to time  
1550 amended, or make memorial contributions.

1551 (b) A party committee may receive contributions from a federal  
1552 account of a national committee of a political party, but may not  
1553 receive contributions from any other account of a national committee  
1554 of a political party or from a committee of a candidate for federal or  
1555 out-of-state office, for use in the election of candidates subject to the  
1556 provisions of this chapter.

1557 Sec. 19. Section 9-333v of the general statutes is repealed and the

1558 following is substituted in lieu thereof:

1559 (a) A [political committee formed solely to aid or promote the  
1560 success or defeat of a referendum question] referendum committee  
1561 shall not make contributions to, or for the benefit of, a party  
1562 committee, [a political committee,] a national committee, a committee  
1563 of a candidate for federal or out-of-state office or a candidate  
1564 committee or exploratory committee, except in the distribution of a  
1565 surplus, as provided in subsection (e) of section 9-333j.

1566 (b) A [political committee formed solely to aid or promote the  
1567 success or defeat of a referendum question] referendum committee  
1568 shall not receive contributions from a national committee or from a  
1569 committee of a candidate for federal or out-of-state office.

1570 (c) No person, as defined in subdivision (9) of section 9-333a, other  
1571 than an individual or a committee, shall make a contribution to a  
1572 [political committee formed solely to aid or promote the success or  
1573 defeat of a referendum question] referendum committee, or to any  
1574 other person, as defined in subdivision (9) of section 9-333a, to aid or  
1575 promote the success or defeat of a referendum question, in excess of  
1576 ten cents for each individual residing in the state or political  
1577 subdivision thereof in which such referendum question is to be voted  
1578 upon, in accordance with the last federal decennial census.

1579 (d) Any such person other than an individual or a committee which  
1580 makes expenditures or has expenses incurred but not paid in excess of  
1581 one thousand dollars in the state or political subdivision thereof in  
1582 which a referendum question is to be voted upon, shall file all  
1583 designations and sworn financial statements required to be filed by  
1584 [political committees] referendum committees and comply with all  
1585 provisions of this chapter which apply to [political committees]  
1586 referendum committees.

1587 Sec. 20. Section 9-333w of the general statutes is repealed and the  
1588 following is substituted in lieu thereof:

1589 (a) No individual shall make or incur any expenditure with the  
1590 cooperation of, at the request or suggestion of, or in consultation with  
1591 any candidate, candidate committee or candidate's agent, and no  
1592 candidate or committee shall make or incur any expenditure for any  
1593 written, typed or other printed communication which promotes the  
1594 success or defeat of any candidate's campaign for nomination at a  
1595 primary or election or solicits funds to benefit any political party or  
1596 committee unless such communication bears upon its face the words  
1597 "paid for by" and the following: (1) In the case of such an individual,  
1598 the name and address of such individual; (2) in the case of a committee  
1599 other than a party committee, the name of the committee and its  
1600 campaign treasurer; or (3) in the case of a party committee, the name of  
1601 the committee.

1602 (b) No business entity, organization, association, committee, or  
1603 group of two or more individuals who have joined solely to promote  
1604 the success or defeat of a referendum question and is required to file a  
1605 certification in accordance with subsection (d) of section 9-333g, shall  
1606 make or incur any expenditure for any written, typed or other printed  
1607 communication which promotes the success or defeat of any  
1608 referendum question unless such communication bears upon its face  
1609 the words "paid for by" and the following: (1) In the case of a business  
1610 entity, organization or association, the name of the entity, organization  
1611 or association and the name of its chief executive officer; [(2) in the case  
1612 of a political committee, the name of the committee and the name of its  
1613 campaign treasurer; (3)] (2) in the case of a party committee, the name  
1614 of the committee; or [(4)] (3) in the case of such a group of two or more  
1615 individuals, the name of the group as it appears on the certification  
1616 filed in accordance with subsection (d) of section 9-333g, and the name  
1617 and address of its agent.

1618 (c) The provisions of subsections (a) and (b) of this section do not  
1619 apply to (1) any editorial, news story, or commentary published in any  
1620 newspaper, magazine or journal on its own behalf and upon its own  
1621 responsibility and for which it does not charge or receive any  
1622 compensation whatsoever, (2) any banner, (3) political paraphernalia

1623 including pins, buttons, badges, emblems, hats, bumper stickers or  
1624 other similar materials, or (4) signs with a surface area of not more  
1625 than thirty-two square feet.

1626 (d) The campaign treasurer of a candidate committee which  
1627 sponsors any written, typed or other printed communication for the  
1628 purpose of raising funds to eliminate a campaign deficit of that  
1629 committee shall include in such communication a statement that the  
1630 funds are sought to eliminate such a deficit.

1631 (e) The campaign treasurer of an exploratory committee or  
1632 candidate committee established by a candidate for nomination or  
1633 election to the office of Treasurer which committee sponsors any  
1634 written, typed or other printed communication for the purpose of  
1635 raising funds shall include in such communication a statement  
1636 concerning the prohibitions set forth in subsection (n) of section 1-84,  
1637 subsection (f) of section 9-333n and subsection (f) of section 9-333o.

1638 (f) In the event a campaign treasurer of a candidate committee is  
1639 replaced pursuant to subsection (c) of section 9-333d, nothing in this  
1640 section shall be construed to prohibit the candidate committee from  
1641 distributing any printed communication subject to the provisions of  
1642 this section that has already been printed or otherwise produced, even  
1643 though such communication does not accurately designate the  
1644 successor campaign treasurer of such candidate committee.

1645 Sec. 21. Subsection (c) of section 9-348ee of the general statutes is  
1646 repealed and the following is substituted in lieu thereof:

1647 (c) On and after January 1, 1999, (1) the campaign treasurer of the  
1648 candidate committee for any other candidate, as defined in section 9-  
1649 333a, who is required to file the financial disclosure statements  
1650 required by section 9-333j with the office of the Secretary of the State  
1651 and (2) the campaign treasurer of any [political committee] exploratory  
1652 committee, referendum committee or party committee, may file in  
1653 electronic form any financial disclosure statements required by said  
1654 section 9-333j. Such filings may be made by either transmitting disks,

1655 tapes or other electronic storage media containing the contents of such  
1656 statements to the proper authority under section 9-333e or transmitting  
1657 the statements on-line to such proper authority. Each such campaign  
1658 treasurer shall use either (A) a software program created by the  
1659 Secretary of the State under subdivision (1) of subsection (a) of this  
1660 section, for all such statements filed in electronic form on or after  
1661 January 1, 1999, or (B) another software program which provides for  
1662 the standard reporting format, and complies with the specifications,  
1663 which are prescribed by the secretary under subdivision (2) of  
1664 subsection (a) of this section, for all such statements filed in electronic  
1665 form on or after July 1, 1999. The proper authority under section 9-333e  
1666 shall accept any statement that uses any such software program.

1667       Sec. 22. Sections 9-333q, 9-333t and 9-333u of the general statutes are  
1668 repealed.

1669       Sec. 23. This act shall take effect July 1, 2001."