



General Assembly

January Session, 2001

Raised Bill No. 6824

LCO No. 4041

Referred to Committee on Government Administration and Elections

Introduced by:
(GAE)

AN ACT CONCERNING THE FILING OF STATEMENTS OF FINANCIAL INTERESTS BY STATE MARSHALS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Section 1-83 of the general statutes is repealed and the
2 following is substituted in lieu thereof:

3 (a) (1) All state-wide elected officers, members of the General
4 Assembly, department heads and their deputies, members of the
5 Gaming Policy Board, the executive director of the Division of Special
6 Revenue within the Department of Revenue Services, members or
7 directors of each quasi-public agency, members of the Investment
8 Advisory Council, [state marshal] and such members of the Executive
9 Department and such employees of quasi-public agencies as the
10 Governor shall require, shall file, under penalty of false statement, a
11 statement of financial interests for the preceding calendar year with the
12 commission on or before the May first next in any year in which they
13 hold such a position. Any such individual who leaves his or her office
14 or position shall file a statement of financial interests covering that
15 portion of the year during which such individual held his or her office

16 or position. The commission shall notify such individuals of the
17 requirements of this subsection within thirty days after their departure
18 from such office or position. Such individuals shall file such statement
19 within sixty days after receipt of the notification.

20 (2) Each state agency, department, board and commission shall
21 develop and implement, in cooperation with the Ethics Commission,
22 an ethics statement as it relates to the mission of the agency,
23 department, board or commission. The executive head of each such
24 agency, department, board or commission shall be directly responsible
25 for the development and enforcement of such ethics statement and
26 shall file a copy of such ethics statement with the Department of
27 Administrative Services and the Ethics Commission.

28 (b) [(1)] The statement of financial interests [, except as provided in
29 subdivision (2) of this subsection,] shall include the following
30 information for the preceding calendar year in regard to the individual
31 required to file the statement and the individual's spouse and
32 dependent children residing in the individual's household: [(A)] (1)
33 The names of all businesses with which associated; [(B)] (2) the
34 category or type of all sources of income in excess of one thousand
35 dollars, without specifying amounts of income; [(C)] (3) the name of
36 securities in excess of five thousand dollars at fair market value owned
37 by such individual, spouse or dependent children or held in the name
38 of a corporation, partnership or trust for the benefit of such individual,
39 spouse or dependent children; [(D)] (4) the existence of any known
40 blind trust and the names of the trustees; [(E)] (5) all real property and
41 its location, whether owned by such individual, spouse or dependent
42 children or held in the name of a corporation, partnership or trust for
43 the benefit of such individual, spouse or dependent children; [(F)] (6)
44 the names and addresses of creditors to whom the individual, the
45 individual's spouse or dependent children, individually, owed debts of
46 more than ten thousand dollars; and [(G)] (7) any leases or contracts
47 with the state held or entered into by the individual or a business with
48 which he was associated. [(2)] The statement of financial interests filed

49 by state marshals shall include only amounts and sources of income
50 earned in their capacity as state marshals.]

51 (c) The statement of financial interests filed pursuant to this section
52 shall be a matter of public information, except the list of names, filed in
53 accordance with [subparagraph (F) of] subdivision [(1)] (6) of
54 subsection (b) of this section shall be sealed and confidential and for
55 the use of the commission only after a complaint has been filed under
56 section 1-82 and such complaint has been determined by a vote of the
57 commission to be of sufficient merit and gravity to justify the
58 unsealing of such list or lists and not open to public inspection unless
59 the respondent requests otherwise. If the commission reports its
60 findings to the Chief State's Attorney in accordance with subsection (c)
61 of section 1-88, the commission shall turn over to the Chief State's
62 Attorney such relevant information contained in the statement as may
63 be germane to the specific violation or violations or a prosecutorial
64 official may subpoena such statement in a criminal action. Unless
65 otherwise a matter of public record, the Ethics Commission shall not
66 disclose to the public any such subpoena which would be exempt from
67 disclosure by the issuing agency.

68 (d) Any individual who is unable to provide information required
69 under the provisions of [subdivision (1) of] subsection (b) of this
70 section by reason of impossibility may petition the commission for a
71 waiver of the requirements.

72 Sec. 2. Subsection (e) of section 1-88 of the general statutes is
73 repealed and the following is substituted in lieu thereof:

74 (e) Any employee or member of the commission who, in violation of
75 this part, discloses information filed in accordance with [subparagraph
76 (B) or subparagraph (F) of subdivision (1)] subdivision (2) or (6) of
77 subsection (b) of section 1-83, as amended by this act, shall be
78 dismissed, if an employee, or removed from the commission, if a
79 member.

80 Sec. 3. This act shall take effect from its passage.

Statement of Purpose:

To repeal the requirement that state marshals file statements of financial interests with the State Ethics Commission.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]