



House of Representatives

File No. 829

General Assembly

January Session, 2001

(Reprint of File No. 592)

Substitute House Bill No. 7013
As Amended by House Amendment
Schedule "A"

Approved by the Legislative Commissioner
May 25, 2001

**AN ACT CONCERNING CRIME PREVENTION AND A STATE
PREVENTION COUNCIL.**

Be it enacted by the Senate and House of Representatives in General
Assembly convened:

- 1 Section 1. (NEW) As used in sections 1 to 4, inclusive, of this act:
- 2 (1) "Prevention" means policies and programs that promote healthy,
3 safe and productive lives and reduce the likelihood of crime, violence,
4 substance abuse, illness, academic failure and other socially
5 destructive behaviors.
- 6 (2) "Research-based prevention" means those prevention programs
7 as defined in this section that have been rigorously evaluated and are
8 found to be effective or represent best practices.
- 9 Sec. 2. (NEW) (a) There is established a State Prevention Council
10 consisting of the following members or their designees: (1) The
11 Secretary of the Office of Policy and Management; (2) the
12 Commissioner of Social Services; (3) the Commissioner of Children
13 and Families; (4) the Commissioner of Public Health; (5) the

14 Commissioner of Mental Health and Addiction Services; (6) the
15 Commissioner of Education; (7) the Commissioner of Mental
16 Retardation; and (8) the Chief Court Administrator. The Secretary of
17 the Office of Policy and Management, or the secretary's designee, shall
18 serve as chair of the council. The council may expand its membership
19 to include other state agency representation as it deems appropriate.

20 (b) The State Prevention Council's purpose is to (1) establish a
21 prevention framework for the state, (2) recommend a comprehensive
22 state-wide prevention plan, (3) better coordinate existing and future
23 prevention expenditures across state agencies, and (4) increase fiscal
24 accountability.

25 Sec. 3. (NEW) (a) Not later than July 1, 2002, the State Prevention
26 Council shall identify, within each of the involved state agency
27 budgets, any appropriations for prevention services for the previous
28 fiscal year, and submit a report of its findings to the Secretary of the
29 Office of Policy and Management and the joint standing committee of
30 the General Assembly having cognizance of matters relating to
31 appropriations.

32 (b) Not later than December 1, 2002, the State Prevention Council
33 shall recommend to the Secretary of the Office of Policy and
34 Management and the General Assembly a comprehensive state-wide
35 prevention plan. Such plan may include (1) recommendations to
36 develop and coordinate interagency prevention services and training;
37 (2) an identification of prevention services in the plan which are
38 research-based programs; and (3) any findings as to the effectiveness
39 of prevention programs using outcome performance measures
40 identified by the State Prevention Council.

41 Sec. 4. (NEW) For the biennial budget for the fiscal years
42 commencing July 1, 2003, and ending June 30, 2005, the Governor's
43 budget document shall include a prevention report presenting in detail
44 for each fiscal year of such biennium the Governor's recommendation
45 for appropriations for prevention services classified by those budgeted

46 agencies involved in the State Prevention Council and showing, for
47 each applicable budgeted agency and its subdivisions, a list of agency
48 programs that provide prevention services, the actual prevention
49 services expenditures for the fiscal year ending June 30, 2003, by
50 program, the estimated prevention services expenditures for the fiscal
51 year commencing July 1, 2003, and an identification of research-based
52 prevention services programs. The Governor's budget shall also
53 include a summary of all prevention services by each applicable
54 budgeted agency, identifying the total for prevention services included
55 in the budget.

56 Sec. 5. (NEW) Not later than July 1, 2004, the State Prevention
57 Council shall submit to the Secretary of the Office of Policy and
58 Management and the joint standing committee of the General
59 Assembly having cognizance of matters relating to appropriations its
60 recommendations concerning the potential expansion, including
61 potential use of benchmarks or termination of the State Prevention
62 Council pursuant to section 2c-12 of the general statutes.

63 Sec. 6. This act shall take effect July 1, 2001.

The following fiscal impact statement and bill analysis are prepared for the benefit of members of the General Assembly, solely for the purpose of information, summarization, and explanation, and do not represent the intent of the General Assembly or either House thereof for any purpose:

SHB-7013 - AN ACT CONCERNING CRIME PREVENTION AND A STATE PREVENTION COUNCIL.

As Amended by House "A" (LCO No. 7436)

Senate Cal. No.:

House Cal. No.: 415

OFA Fiscal Note

State Impact: Minimal Cost

Affected Agencies: Various

Municipal Impact: See Explanation Below

Explanation

State Impact:

The bill makes the following changes to the state's policy concerning crime and prevention programming.

Establish State Prevention Council

It establishes a state Prevention Council (Council) consisting of representatives from eight state entities, including the Office of Policy and Management (OPM), and requires the Secretary of OPM to serve as the Council's chair. The bill describes the purpose for the Council and prescribes specific duties for it: (1) by July 1, 2002, the Council shall identify any appropriations for prevention services for the previous fiscal year and submit a report to OPM and the General Assembly; (2) by December 1, 2002, the Council must recommend to OPM and the General Assembly a comprehensive, state-wide

prevention plan; (3) by July 1, 2004, the Council shall make recommendations to OPM and the General Assembly regarding the potential expansion, including the use of benchmarks, or termination of the Council.

It is anticipated that agency representatives appointed as members of the Council will participate to the extent that their normal duties allow and that no fiscal impact would result from their participation. No estimate is being made of the potential increase in funding for prevention programs that could be identified through this process.

The bill does not specify a review or approval process by OPM or the General Assembly of recommendations made by the Council. It is anticipated that any such reviews could be accommodated by a workload increase to OPM and Legislative Management, respectively.

Prevention Report

The bill mandates that the Governor's budget document for the 2003-04 Biennium include a prevention report that: (1) describes the Governor's recommendations for appropriations for prevention services; (2) provides a list of agency programs that provide prevention services; (3) provides actual prevention services expenditures for FY 02 and estimated FY 03 prevention services expenditures by program; and (4) identifies research-based prevention services programs. It is anticipated that OPM can absorb the minimal cost for printing the report.

Municipal Impact:

The bill sets forth protocols under which funding historically awarded by various state agencies to local governments may be subject to enhanced review and proposed modification. Any potential future appropriations actions cannot be determined at this time.

House "A" reduced the cost of the bill by replacing the Prevention Plan and Budget established by the bill with a Prevention Report, and eliminating the Connecticut Prevention Advisory Board and

prevention impact statements. It also reduced the number of agencies represented on the Council.

OLR Amended Bill Analysis

sHB 7013 (as amended by House "A")*

AN ACT CONCERNING CRIME PREVENTION AND A STATE PREVENTION COUNCIL.**SUMMARY:**

This bill creates a State Prevention Council to (1) create a prevention framework for the state, (2) recommend a comprehensive statewide prevention plan, (3) better coordinate existing and future state agency prevention expenditures, and (4) increase fiscal accountability. The council consists of eight agency heads or their designees and other agency representatives that the council decides to include.

The bill defines "prevention" as policies and programs that (1) promote healthy, safe, and productive lives and (2) reduce the likelihood of crime, violence, substance abuse, illness, academic failure, and other socially destructive behaviors.

The bill requires the council to:

1. submit a report to the Office of Policy and Management (OPM) secretary and the Appropriations Committee by July 1, 2002 identifying appropriations for prevention services in each involved agency's budget for the previous fiscal year;
2. recommend a comprehensive statewide prevention plan to the OPM secretary and the General Assembly by December 1, 2002; and
3. submit its recommendations on expanding the council, including the use of potential benchmarks, or terminating it to the OPM secretary and Appropriations Committee by July 1, 2004.

It also requires the governor to include a prevention report in his budget document for the biennial budget covering July 1, 2003 to June 30, 2005.

*House Amendment "A" (1) eliminates provisions in the original bill (a) defining primary and secondary prevention and prevention services, (b) creating a Connecticut Prevention Advisory Board to report to the General Assembly on the prevention plan and budget and make recommendations, (c) requiring OPM to submit a prevention plan and budget to the General Assembly, and (d) requiring council agencies to set goals to allocate funds and submit prevention impact statements; (2) alters the composition of the council and the definition of research-based prevention; and (3) requires (a) the council to recommend its expansion or termination, (b) the governor to include a prevention report in his budget document for FY 2003-05, and (c) the council's report identifying agency prevention services budgets to be made by July 1, 2002 rather than October 1, 2002, requires it to be submitted to the OPM secretary as well as the Appropriations Committee, and removes the requirement for reports every two years on phasing in agency prevention budgets.

EFFECTIVE DATE: July 1, 2001

STATE PREVENTION COUNCIL

Members

The bill makes the following people, or their designees, members of the council:

1. OPM secretary (he or his designee serves as chairman),
2. social services commissioner,
3. children and families commissioner,
4. public health commissioner,
5. mental health and addiction services commissioner,
6. education commissioner,
7. mental retardation commissioner, and
8. chief court administrator.

The council can include other agency representatives that it deems appropriate.

Statewide Prevention Plan

The council must recommend a comprehensive statewide prevention plan to the OPM secretary and the General Assembly by December 1, 2002. The plan can include:

1. recommendations to develop and coordinate prevention services and training among agencies,
2. identification of the plan's prevention services that are research-based programs (programs rigorously evaluated that are effective or represent best practices), and
3. any findings on the effectiveness of prevention programs using outcome measures identified by the council.

GOVERNOR'S BUDGET

The bill requires the governor to include a prevention report in his budget document for the FY 2003-05 biennial budget. The report must detail his recommendation for prevention services appropriations for each council agency for each fiscal year of the biennium. It must show for each agency or its subdivisions:

1. a list of programs providing prevention services,
2. actual prevention services expenditures by program for FY 2002-03,
3. estimated prevention services expenditures for FY 2003-04, and
4. identified research-based prevention services programs.

The governor's budget must also include a summary of prevention services by agency identifying the total for prevention services in the budget.

BACKGROUND

Related Bill

SB 1287 (File 547), "An Act Concerning the Development of a State Children's Policy," contains similar provisions creating a State Prevention Council, an advisory board, requiring the OPM secretary to submit a biennial prevention plan and budget to the legislature, and requiring council agencies to submit prevention impact statements.

Legislative History

On May 8, the House referred the bill to the Appropriations Committee, which reported it favorably without change on May 9. On May 10 the House referred the bill to the Government Administration and Elections Committee, which reported it favorably without change on May 14. On May 17, the House referred the bill to the Legislative Management Committee, which reported it favorably without change on May 22.

COMMITTEE ACTION

Judiciary Committee

Joint Favorable Substitute
Yea 40 Nay 0

Appropriations Committee

Joint Favorable Report
Yea 45 Nay 0

Government Administration and Elections Committee

Joint Favorable Report
Yea 16 Nay 0

Legislative Management Committee

Joint Favorable Report
Yea 22 Nay 7