



General Assembly

Amendment

February Session, 2000

LCO No. 3304

Offered by:

SEN. CAPPIELLO, 24th Dist.

SEN. MCKINNEY, 28th Dist.

To: Subst. House Bill No. 5102

File No. 475

Cal. No. 333

"An Act Proposing Comprehensive Campaign Finance Reform For State-Wide Constitutional Offices And General Assembly Offices."

1 Strike out everything after the enacting clause and substitute the
2 following in lieu thereof:

3 "Section 1. Subsection (b) of section 9-333b of the general statutes, as
4 amended by public act 99-264, is repealed and the following is
5 substituted in lieu thereof:

6 (b) As used in this chapter, "contribution" does not mean:

7 (1) A loan of money made in the ordinary course of business by a
8 national or state bank;

9 (2) Any communication made by a corporation, organization or
10 association to its members, owners, stockholders, executive or
11 administrative personnel, or their families;

12 (3) Nonpartisan voter registration and get-out-the-vote campaigns

13 by any corporation, organization or association aimed at its members,
14 owners, stockholders, executive or administrative personnel, or their
15 families;

16 (4) Uncompensated services provided by individuals volunteering
17 their time;

18 (5) The use of real or personal property, and the cost of invitations,
19 food or beverages, voluntarily provided by an individual to a
20 candidate or on behalf of a state central or town committee, in
21 rendering voluntary personal services for candidate or party-related
22 activities at the individual's residence, to the extent that the cumulative
23 value of the invitations, food or beverages provided by the individual
24 on behalf of any single candidate does not exceed two hundred dollars
25 with respect to any single election, and on behalf of all state central
26 and town committees does not exceed four hundred dollars in any
27 calendar year;

28 (6) The sale of food or beverage for use in a candidate's campaign or
29 for use by a state central or town committee at a discount, if the charge
30 is not less than the cost to the vendor, to the extent that the cumulative
31 value of the discount given to or on behalf of any single candidate does
32 not exceed two hundred dollars with respect to any single election,
33 and on behalf of all state central and town committees does not exceed
34 four hundred dollars in a calendar year;

35 (7) Any unreimbursed payment for travel expenses made by an
36 individual who on his own behalf volunteers his personal services to
37 any single candidate to the extent the cumulative value does not
38 exceed two hundred dollars with respect to any single election, and on
39 behalf of all state central or town committees does not exceed four
40 hundred dollars in a calendar year;

41 (8) The payment, by a party committee, political committee or an
42 individual, of the costs of preparation, display, mailing or other
43 distribution incurred by the committee or individual with respect to
44 any printed slate card, sample ballot or other printed list containing

45 the names of three or more candidates;

46 (9) The donation of any item of personal property by an individual
47 to a committee for a fund-raising affair, including a tag sale or auction,
48 or the purchase by an individual of any such item at such an affair, to
49 the extent that the cumulative value donated or purchased does not
50 exceed fifty dollars;

51 [(10) The purchase of advertising space which clearly identifies the
52 purchaser, in a program for a fund-raising affair, provided the
53 cumulative purchase of such space does not exceed two hundred fifty
54 dollars from any single candidate or his committee with respect to any
55 single election campaign or two hundred fifty dollars from any single
56 party committee or other political committee in any calendar year if
57 the purchaser is a business entity or fifty dollars for purchases by any
58 other person;]

59 [(11)] (10) The payment of money by a candidate to his candidate
60 committee;

61 [(12)] (11) The donation of goods or services by a business entity to a
62 committee for a fund-raising affair, including a tag sale or auction, to
63 the extent that the cumulative value donated does not exceed one
64 hundred dollars;

65 [(13)] (12) The advance of a security deposit by an individual to a
66 telephone company, as defined in section 16-1, for telecommunications
67 service for a committee, provided the security deposit is refunded to
68 the individual; or

69 [(14)] (13) The provision of facilities, equipment, technical and
70 managerial support, and broadcast time by a community antenna
71 television company, as defined in section 16-1, for community access
72 programming pursuant to section 16-331a, unless (A) the major
73 purpose of providing such facilities, equipment, support and time is to
74 influence the nomination or election of a candidate or (B) such
75 facilities, equipment, support and time are provided on behalf of a

76 political party.

77 Sec. 2. Subsection (c) of section 9-333j of the general statutes is
78 repealed and the following is substituted in lieu thereof:

79 (c) (1) Each statement filed under subsection (a), (e) or (f) of this
80 section shall include, but not be limited to: (A) An itemized accounting
81 of each contribution, if any, including the full name and complete
82 address of each contributor and the amount of the contribution; (B) in
83 the case of anonymous contributions, the total amount received and
84 the denomination of the bills; (C) an itemized accounting of each
85 expenditure, if any, including the full name and complete address of
86 each payee, the amount and the purpose of the expenditure, the
87 candidate supported or opposed by the expenditure, whether the
88 expenditure is made independently of the candidate supported or is an
89 in-kind contribution to the candidate, and a statement of the balance
90 on hand or deficit, as the case may be; (D) an itemized accounting of
91 each expense incurred but not paid; (E) the name and address of any
92 person who is the guarantor of a loan to, or the cosigner of a note with,
93 the candidate on whose behalf the committee was formed, or the
94 campaign treasurer in the case of a party committee or a political
95 committee or who has advanced a security deposit to a telephone
96 company, as defined in section 16-1, for telecommunications service
97 for a committee; (F) [for each business entity or person purchasing
98 advertising space in a program for a fund-raising affair, the name and
99 address of the business entity and the name of the chief executive
100 officer of the business entity or the name and address of the person,
101 and the amount and aggregate amounts of such purchases; (G)] for
102 each individual who contributes in excess of one hundred dollars but
103 not more than one thousand dollars, in the aggregate, to the extent
104 known, the principal occupation of such individual and the name of
105 the individual's employer, if any; [(H)] (G) for each individual who
106 contributes in excess of one thousand dollars in the aggregate, the
107 principal occupation of such individual, the name of the individual's
108 employer, if any, and a statement indicating whether the individual or
109 a business with which he is associated has a contract with the state

110 which is valued at more than five thousand dollars; and [(I)] (H) for
111 each itemized contribution made by a lobbyist, the spouse of a lobbyist
112 or any dependent child of a lobbyist who resides in the lobbyist's
113 household, a statement to that effect. Each campaign treasurer shall
114 include in such statement an itemized accounting of the receipts and
115 expenditures relative to any testimonial affair held under the
116 provisions of section 9-333k or any other fund-raising affair.

117 (2) Each contributor described in subparagraph [(G), (H) or (I)] (F),
118 (G) or (H) of subdivision (1) of this subsection shall, at the time he
119 makes such a contribution, provide the information which the
120 campaign treasurer is required to include under said subparagraph in
121 the statement filed under subsection (a), (e) or (f) of this section.
122 Notwithstanding any provision of subdivision (2) of section 9-7b, any
123 contributor described in subparagraph [(G)] (F) of subdivision (1) of
124 this subsection who does not provide such information at the time he
125 makes such a contribution and any treasurer shall not be subject to the
126 provisions of subdivision (2) of section 9-7b. If a campaign treasurer
127 receives a contribution from an individual which separately, or in the
128 aggregate, is in excess of one thousand dollars and the contributor has
129 not provided the information required by said subparagraph [(H)] (G),
130 the campaign treasurer: (i) Within three business days after receiving
131 the contribution, shall send a request for such information to the
132 contributor by certified mail, return receipt requested; (ii) shall not
133 deposit the contribution until he obtains such information from the
134 contributor, notwithstanding the provisions of section 9-333h; and (iii)
135 shall return the contribution to the contributor if the contributor does
136 not provide the required information within fourteen days after the
137 treasurer's written request or the end of the reporting period in which
138 the contribution was received, whichever is later. Any failure of a
139 contributor to provide the information which the campaign treasurer is
140 required to include under said subparagraph [(G) or (I)] (F) or (H),
141 which results in noncompliance by the campaign treasurer with the
142 provisions of said subparagraph [(G) or (I)] (F) or (H), shall be a
143 complete defense to any action against the campaign treasurer for

144 failure to disclose such information.

145 (3) Contributions from a single individual to a campaign treasurer
146 in the aggregate totaling thirty dollars or less need not be individually
147 identified in the statement, but a sum representing the total amount of
148 all such contributions made by all such individuals during the period
149 to be covered by such statement shall be a separate entry, identified
150 only by the words "total contributions from small contributors".

151 (4) Statements filed in accordance with this section shall remain
152 public records of the state for five years from the date such statements
153 are filed.

154 Sec. 3. Subsection (g) of section 9-333l of the general statutes is
155 repealed and the following is substituted in lieu thereof:

156 (g) As used in this subsection, "immediate family" means any
157 spouse or dependent child who resides in a lobbyist's household. Each
158 lobbyist who is an individual and, in conjunction with members of his
159 immediate family, makes contributions to or purchases from
160 committees exceeding one thousand dollars in the aggregate during
161 the twelve-month period beginning July 1, 1993, or July first in any
162 year thereafter, shall file a statement, sworn under penalty of false
163 statement, with the Secretary of the State in accordance with the
164 provisions of section 9-333e, on the second Thursday in July following
165 the end of such twelve-month period. The statement shall include: (1)
166 The name of each committee to which the lobbyist or a member of his
167 immediate family has made a contribution and the amount and date of
168 each such contribution; and (2) the name of each committee from
169 which the lobbyist or member of his immediate family has purchased
170 any item of property [or advertising space in a program] in connection
171 with a fund-raising event which is not considered a contribution under
172 subsection (b) of section 9-333b and the amount, date and description
173 of each such purchase. Each lobbyist who is an individual and who, in
174 conjunction with members of his immediate family, does not make
175 contributions to or purchases from committees exceeding one

176 thousand dollars in the aggregate during any such twelve-month
177 period shall file a statement, sworn under penalty of false statement,
178 with the Secretary of the State in accordance with the provisions of
179 section 9-333e, on the second Thursday in July, so indicating.

180 Sec. 4. This act shall take effect July 1, 2000."